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## APPLICATION FORM FOR ENROLLMENT AS BUSINESS ASSOCIATE

**Business Associate Code / Sub Broker Code:** \_\_\_\_\_ (For Official Use only)

<b>Name of Business Associate:</b>		
Address :		
City :	Pin Code:	Mobile :
Tel. No (Res) :	Tel. No (Off):	Email ID :

**Name of the Contact Person (s) :**

Address :		
City :	Pin Code:	Mobile :
Tel. No (Res) :	Tel. No (Off):	Email ID :

**\*Details of your Bank Account**

Bank Name :		
SB / Current A/c No.		
Branch :		
ECS 9 Digit Code :		

\* PAN No. \_\_\_\_\_

**Existing Relationship with Achievers Equities Ltd (If any)**

DP A/c No. \_\_\_\_\_  Broking No. \_\_\_\_\_  
 MF Investments \_\_\_\_\_  Others \_\_\_\_\_

\* Please note: Filling of these columns are mandatory.

**Business Profile** (Please Tick)

Full Time     Part Time

**Are you AMFI certified**  Yes (Enclose Certificate)     No

If Yes, specify ARN No. \_\_\_\_\_

**Are you a certified Insurance agent**     Yes (Enclose Certificate)     No

**Approximate Customers serviced by you**

Upto 25     26 to 50     51 to 100     101 to 250     251 to 500     Above 500

**Products Interested**

Mutual Funds     IPOs     Stock Broking     Others (Specify) \_\_\_\_\_  
 Commodities     Insurance     Demat Account    \_\_\_\_\_

**Enclosures**

Copy of Pan Card     Copy of the AMFI Mark Sheet / ARMFA Certificate     Others (Specify) \_\_\_\_\_

## TERMS & CONDITIONS

### 1. **General Conditions:**

- a. You shall ensure that your Code is stamped prominently in the box indicating Sub-broker / Sub-agent Codes on all application forms that are used by you.
- b. This agreement is valid only for Financial Products Distribution and is restricted to commission payable on allotments bearing your Code.
- c. Associates should follow all guidelines, rules & regulations from AMFI, IRDA, SEBI and other authorities.
- d. All disputes fall under the jurisdiction of Kolkata only.

### 2. **Commission Payment:**

- a. In case of IPOs, the commission structure will be communicated to you through a separate circular for every issue.
- b. For all IPOs, you will be paid commission on the amount allotted with application bearing your Code. A statement giving details of the applications allotted under your code the commission due thereon will be made available along with every payment. The commission payment shall be made on receipt of the payment from the respective companies.
- c. **For being eligible to commission on Mutual Funds (both ongoing as well as NFOs), you should be AMFI certified.**
- d. For Mutual Fund (Ongoing schemes) you will be paid commission on a fortnightly basis based on the transaction confirmations received from the respective companies.
- e. On New Fund Offerings (NFOs) the commission payment shall be made on receipt of payment from the respective companies.
- f. As per the prevailing tax laws, Service Tax & other taxes as applicable will be deducted while making the payment.

### 3. **ENCLOSURES REQUIRED:**

- a. **Copy of PAN Card.**
- b. **Copy of the AMFI Mark sheet / ARMFA Certificate.**
- c. **Copy of the Insurance Certificate**

### **Others**

- a. You may obtain stationery for financial products from any of our branches located nearest to your place.
- b. Additional stationery requirement for IPO, Stationery for all other products will be supplied from time to time based on specific requests. For this you may contact our nearest branch.
- c. Performance of the Business Associates will be reviewed periodically and intimated to you. Where the performance is not upto our expectations, we reserve the right to cancel the sub-broker code without notice.
- d. The terms & conditions mentioned above are subject to change without any prior intimation.
- e. **The Enrollment form should be complete in all respects.**
- f. The Company reserves the right to accept / reject request for empanelment as sub-broker without having to assign any reason.

For **ACHIEVERS EQUITIES LTD – DISRIBUTION DIVISION**

Sd/-

**(AUTHORISED SIGNATORY)**

**I / We accept the above terms & conditions**

Date:

(Signature of the applicant)

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**ACHIEVERS EQUITIES LTD**

Member of NSE, BSE and MCX-SX

Reg. Office: 32/A, Diamond Harbour Road, Shakerbazar, Kolkata 700008

Tel: 033 2445 6442/66063000 / 1860 420 3333, Fax: 033 2407 5408

Email: info@achieversequitiesltd.com , customer.care@achieversequitiesltd.com

