NSE Clearing

Circular

DEPARTMENT: COMPLIANCE	
Download Ref No: NCL/CMPL/49764	Date: September 29, 2021
Circular Ref. No: 28/2021	

All Members

Sub: Segregation and Monitoring of Collateral at Client Level - Reporting Format

This is further to our circulars NCL/CMPL/49348 dated August 20, 2021 and NCL/CMPL/49640 dated September 17, 2021 on the captioned subject.

In this regard User Manual for uploading Segregated Client Collateral Report is enclosed as Annexure 1 and Procedure to view Collateral data by clients on the website is enclosed as Annexure 2.

Members who are exempted from reporting shall provide declaration on daily basis. (refer Part B of Annexure 1)

Members are requested to note that the provisions of this circular are effective October 01, 2021 i.e. reporting for October 01, 2021 shall be done before 1 PM on October 04, 2021.

Members may please note that reporting shall be applicable for all working days i.e. Monday to Saturday except public holidays.

For and on behalf of
NSE Clearing Limited

Compliance Department

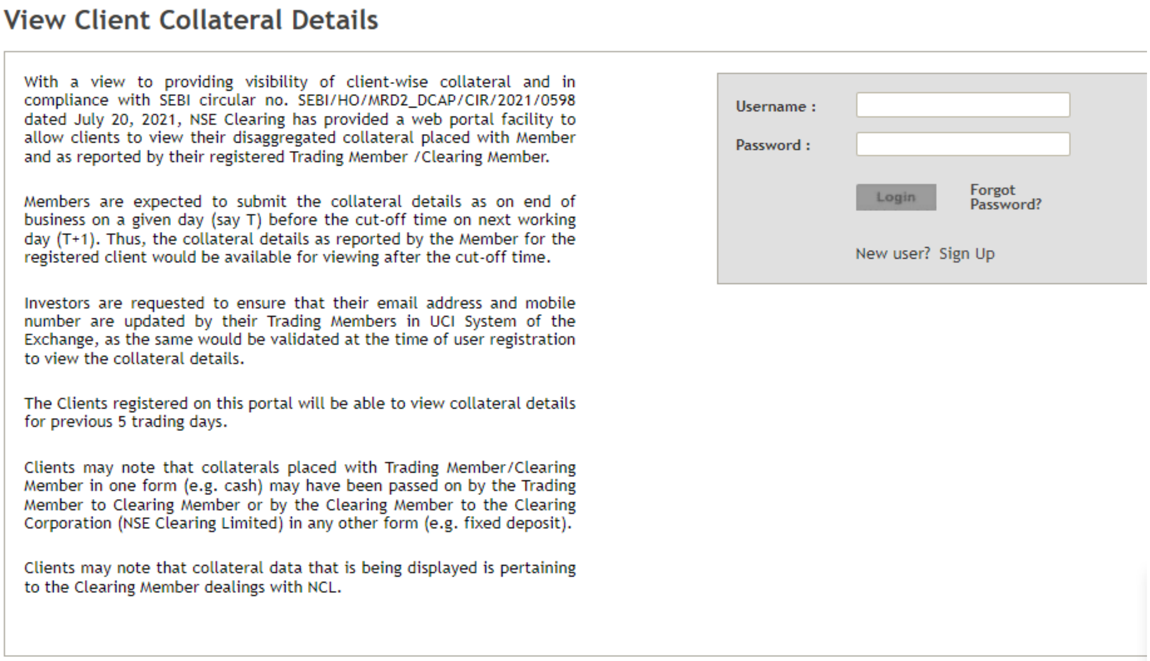
Telephone No	**Fax No**	**Email id**
1800 266 0050	022-26598243	compliance@nsccl.co.in

Annexure -2

Procedure to view collateral data by clients

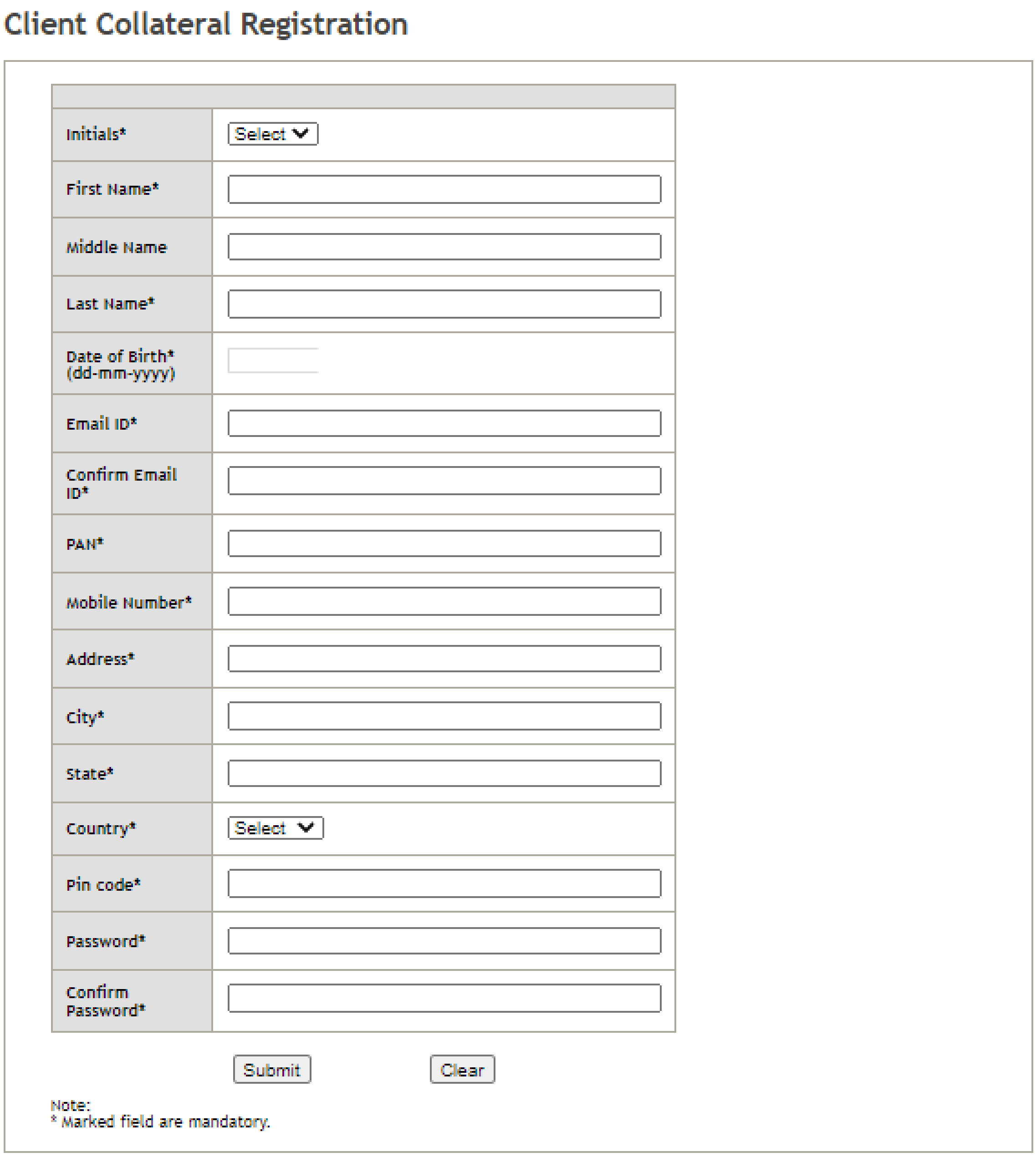
1. Clients can register on the following URL for viewing the collateral information

   https://investorhelpline.nseindia.com/ClientCollateral/welcomeCLUser



2. Client shall signup on the website by clicking on “Sign Up” on the website. On clicking the “Sign Up” option the below screen will be displayed. Client shall be successfully registered if the ‘PAN – Email – Mobile’ combination is available in UCI System of the Exchange.

   Clients are requested to ensure that their email address and mobile number are updated by their Trading Members in UCI System of the Exchange, as the same would be validated at the time of user registration to view the collateral details.



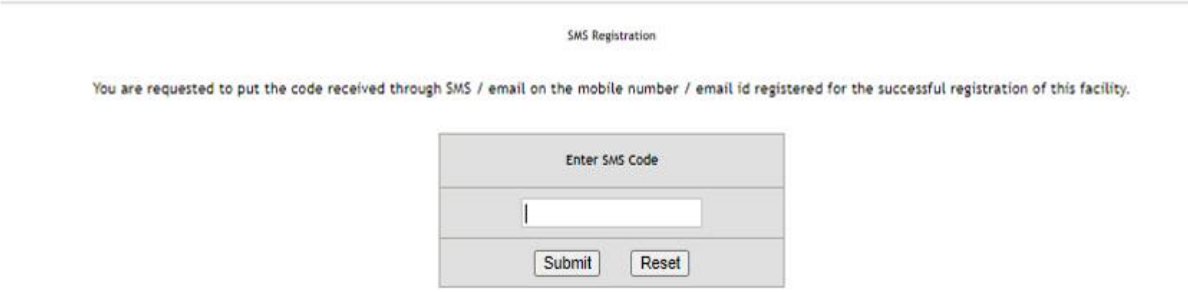
Client Collateral Registration

Initials*	Select
First Name*	
Middle Name	
Last Name*	
Date of Birth* (dd-mm-yyyy)	
Email ID*	
Confirm Email ID*	
PAN*	
Mobile Number*	
Address*	
City*	
State*	
Country*	Select
Pin code*	
Password*	
Confirm Password*	

Submit Clear

Note:
* Marked field are mandatory.

3. After clicking “Submit” on the registration page, client will receive the OTP through SMS and email.



SMS Registration

You are requested to put the code received through SMS / email on the mobile number / email id registered for the successful registration of this facility.

Enter SMS Code
Submit Reset

4. After submitting the OTP, client will receive a link on email for completion of registration.

An email is sent to you on your email ID.

Please click on the link in the mail to complete registration.

5. Once the client clicks on the link the following message will be displayed.

Congratulations! You have been successfully registered.

You can now view your collateral detail by logging in.

Your email id is your username.

6. Client can login in the Client Collateral Module to view collateral details.

7. Client will be able to view the Collateral details across all the trading members under which the client is registered. The data displayed is as reported by the Clearing/Trading Member.

8. Collaterals placed with Trading Member/Clearing Member in one form may be passed on by the Trading Member to Clearing Member or Clearing Member to NSE Clearing Limited in any other form.

9. At any given point in time last 5 trading days data shall be available.

End of document