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To,
ACHIEVERS COMMERCIAL PRIVATE LTD
32/A, Diamond Harbour Road, Sakherbazar, Kolkata - 700008

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D D M M Y Y Y Y

Dear Sir / Madam,

I/We would request you to register me/us as your Client.


I/We would request you to update my KYC informations.

In this regard I/we furnished herewith the required details & documents.


SIGNATURE OF THE CLIENT

PLEASE FILL THIS FORM IN ENGLISH IN BLOCK LETTER AND IN BLACK INK ONLY

A. IDENTITY DETAILS

Name of the Applicant		AMIT SARKAR				
Father's / Spouse Name		AVIJIT SARKAR				
Gender	<input checked="" type="checkbox"/> Male <input type="checkbox"/> Female	Marital Status	<input type="checkbox"/> Single <input checked="" type="checkbox"/> Married		Date of Birth	06/07/1980
Nationality	INDIAN	Status	<input checked="" type="checkbox"/> Resident Individual <input type="checkbox"/> Non Resident <input type="checkbox"/> Foreign National		Amit Sarkar	

(If Non Resident/Foreign National, self-certified copy of statutory approval obtained must be attached)

PAN	ADCPS4142C	UID / Aadhaar, if any	
Any other additional proof of Identity			

B. ADDRESS DETAILS

(Proof of address must be different from the proof of identity submitted).

Address for Correspondence				32/A DIAMOND HARBOUR ROAD, SAKHER BAZAR KOLKATA-700008			
City / District / Village		PIN		State		Country	
SAKHER BAZAR		700008		WEST BENGAL		INDIA	
Tel. (Office)		Tel. (Resi.)		Mobile			
				9830000009			
Fax		E-mail					
		amitsarkar@gmail.com					
Specify the proof of address submitted for correspondence address							
Permanent Address (if different from above)				SAME AS ABOVE			
City / District / Village		PIN		State		Country	
Tel. (Office)		Tel. (Resi.)		Mobile			
Fax		E-mail					
Specify the proof of address submitted for permanent address, if any							

C. OTHER DETAILS

Gross Annual Income Details (please specify) : Income Range per annum:

0-1 Lac 1-5 Lacs 5-10 Lacs 10-25 Lacs >25 Lacs

or NET-WORTH as on (date) ___/___/___ (Rs.) _____ (Net worth should not be older than 1 year)

Occupation (please tick any one and give brief details): Private Sector Public Sector Govt. Service Business

Professional Farmer Others (specify).....

Please tick, if applicable

Politically Exposed Person (PEP) Related to a Politically Exposed Person (PEP)

Not a Politically Exposed Person (PEP) Not Related to a Politically Exposed Person (PEP)

Amit Sarkar
SIGNATURE OF CLIENT

DISCLOSURE INFORMATION OF PROPRIETARY TRADING

To increase transparency in the dealings between us as a Trading Member and you as a Client we do hereby disclose that we are not engaged in Proprietary Based Trading (self account) in ANY SEGMENTS of ANY EXCHANGES in which we are members. You are requested to please take a note of the same.

PART II - TRADING ACCOUNT RELATED DETAILS

FOR INDIVIDUALS & NON-INDIVIDUALS

BANK ACCOUNT(S) DETAILS

	First Account (Default)	Second Account	Third Account
Bank Name	AXIS BANK LTD		
Branch Name	B.B.D BAGC		
Branch Address	64A, HEMANTA BASU SARANI, KOLKATA - 01		
Bank Account No.	911020059416426		
Account Type <i>Saving/Current / Others - In case of NRI/NRE/NRO</i>	SAVING		
MICR Number	560220001		
IFSC Code	UTIB0001138		

Note: Provide a copy of cancelled cheque leaf/ pass book/ bank statement specifying name of the client, MICR Code or/and IFSC Code of the bank

DEPOSITORY ACCOUNT(S) DETAILS, IF AVAILABLE

DP Name			
Depository Name NSDL / CDSL			
DP ID			
Beneficiary ID (BO ID)			
Beneficiary Name 1			
Beneficiary Name 2			
Beneficiary Name 3			

Note: Provide a copy of either Demat Master or a recent holding statement issued by DP bearing name of the client.

TRADING PREFERENCES

Note: Please sign in the relevant boxes against the Exchange with which you wish to trade.
The Exchange not chosen should be struck off by the client.

Sr. No.	Name of the National Commodity Exchanges	Date of Consent for trading on concerned Exchange	SIGNATURE OF THE CLIENT
1.	MULTI COMMODITY EXCHANGE OF INDIA LIMITED	17-03-2008	<i>Amit Sarkar</i>
2.	NATIONAL SPOT EXCHANGE LIMITED	20-09-2010	
3.	ACE DERIVATIVES AND COMMODITY EXCHANGE LIMITED	26-08-2010	

[In case of allowing a client for trading on any other Exchange at a later date, which is not selected now, a separate consent letter is required to be obtained by the Member from client and to be kept as enclosure with this document]

INVESTMENT / TRADING EXPERIENCE

No Prior Experience _____ Years in Commodities _____ Years in other investment related fields

SALES TAX REGISTRATION DETAILS (AS APPLICABLE, STATE WISE)

Local Sales Tax State Registration No.	
Validity Date	Name of the State
Central Sales Tax Registration No.	
Validity Date	
Other Sales Tax State Registration No.	
Validity Date	Name of the State

VAT DETAILS (AS APPLICABLE, STATE WISE)

Local VAT Registration No.	
Validity Date	
Name of the State	
Other VAT Registration No.	
Validity Date	
Name of the State	

PAST REGULATORY ACTIONS

Details of any action/proceedings initiated/pending/taken by FMC/SEBI/Stock Exchange/Commodity Exchange/any other authority against the applicant/constituent or its Partners/promoters/whole time directors/authorized persons in charge during the last 3 years: _____ NO _____

DEALING THROUGH OTHER MEMBERS

If client is dealing through any other Member, provide the following details (in case dealing with multiple Members, provide details of all in a separate sheet containing all the information as mentioned below) :

Member's / AP Name		
Exchange		
Exchange Registration No.		
Concerned Member's Name with whom the AP is registered		
Registered Office Address		
Phone	Fax	Client Code
Email ID		
Website		
Details of disputes/dues pending from/to such Member/AP		

INTRODUCER DETAILS (OPTIONAL)

Name of the Introducer (<i>Surname - Name - Middle Name</i>)	
Status of the Introducer <input type="checkbox"/> Authorized Person <input type="checkbox"/> Existing Client <input type="checkbox"/> Employee <input type="checkbox"/> Others, please specify.....	
Address of the Introducer	
Phone No. of the Introducer	Signature of the Introducer
Mobile No. of the Introducer	

ADDITIONAL DETAILS

Whether you wish to receive communication from Member in electronic form on your Email-id [Yes / No] :
{ If yes then please fill in Appendix-A} (Page No. A-24)

NOMINATION FORM - TO BE FILLED IN BY INDIVIDUAL APPLYING SINGLE OR JOINTLY

I/We the sole holder / Joint holders / Guardian (in case of minor) hereby declare that:

I/We do not wish to nominate any one for this trading / demat account.

I/We nominate the following person who is entitled to receive security balances lying in my/our account, particulars whereof are given below, in the event of my/our death.

REGN. No.:

D	D	M	M	Y	Y	Y	Y

Full Name of the Nominee AMRITA SARKAR				Photograph of Nominee Signature of Nominee across Photograph
PAN No. of the Nominee PAQPT1234D				
Address of Nominee 32/A DIAMOND HARBOUR ROAD, SARHERBAZAR				
City KOLKATA	State N.B	Country INDIA	PIN 700008	
Phone 9830000009	Fax			
Email ID AMSARKAR00@GMAIL.COM				Signature of Nominee <i>Amrita Sarkar</i>
Relationship with BO (if any) WIFE				
Date of Birth : (Mandatory if nominee is a minor)				

Amit Sarkar

SOLE / FIRST HOLDER SIGNATURE

SECOND HOLDER SIGNATURE

THIRD HOLDER SIGNATURE

As the nominee is a minor as on date, to receive the securities in this account on behalf of the nominee in the event of the death of the Sole holder / all Joint holders, I/We appoint following person to act as **Guardian**:

Full name of Guardian of Nominee				Photograph of Guardian (in case of minor) Signature of Guardian across Photograph
Address				
City	State	Country	PIN	
Phone	Fax			
Email ID				
Relationship of Guardian with Nominee				Signature of Guardian

This nomination shall supersede any prior nomination made by me / us and also any testamentary document executed by me / us.

Note : Two witnesses shall attest signature(s) / thumb impression(s)

Witness

Name	Address	Signature with date
1. <i>Rinku Saha</i>	<i>32/A Diamond Harbour</i>	<i>RSaha -</i>
2. <i>Mohit Sarkar</i>	<i>186 - K P Road Kol-8</i>	<i>MSarkar</i>

Amit Sarkar

SOLE / FIRST HOLDER SIGNATURE

SECOND HOLDER SIGNATURE

THIRD HOLDER SIGNATURE

NOMINATION FORM - TO BE FILLED IN BY INDIVIDUAL APPLYING SINGLE OR JOINTLY

I/We the sole holder / Joint holders / Guardian (in case of minor) hereby declare that:

I/We do not wish to nominate any one for this trading / demat account.

I/We nominate the following person who is entitled to receive security balances

lying in my/our account, particulars whereof are given below, in the event of my/our death.

REGN. No.:

D	D	M	M	Y	Y	Y	Y

Full Name of the Nominee				Photograph of Nominee
PAN No. of the Nominee				
Address of Nominee				
City	State	Country	PIN	
Phone		Fax		Signature of Nominee across Photograph
Email ID			Signature of Nominee	
Relationship with BO (if any)				
Date of Birth : (Mandatory if nominee is a minor)				

 **SOLE / FIRST HOLDER SIGNATURE**

 **SECOND HOLDER SIGNATURE**

 **THIRD HOLDER SIGNATURE**

As the nominee is a minor as on date, to receive the securities in this account on behalf of the nominee in the event of the death of the Sole holder / all Joint holders, I/We appoint following person to act as **Guardian**:

Full name of Guardian of Nominee				Photograph of Guardian (in case of minor)
Address				
City	State	Country	PIN	
Phone		Fax		
Email ID			Signature of Guardian	Signature of Guardian across Photograph
Relationship of Guardian with Nominee				

This nomination shall supersede any prior nomination made by me / us and also any testamentary document executed by me / us.

Note : Two witnesses shall attest signature(s) / thumb impression(s)

Witness

Name	Address	Signature with date
1.		
2.		

 **SOLE / FIRST HOLDER SIGNATURE**

 **SECOND HOLDER SIGNATURE**

 **THIRD HOLDER SIGNATURE**

DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
2. I/We confirm having read/been explained and understood the contents of the tariff sheet and all voluntary/non-mandatory documents.
3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s), 'Risk Disclosure Document' and 'Do's and Dont's'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on Member's designated website, if any.

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D D M M Y Y Y Y

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PLACE

Amit Sarkar

SIGNATURE OF CLIENT / (All) AUTHORIZED SIGNATORY (ies)



FOR OFFICE USE ONLY

UCC Code allotted to the Client	A/c. Code uploaded by :
	DOCUMENTS VERIFIED WITH ORIGINALS
Name of the Employee	
Employee Code	
Designation of the employee	
Date	
Signature of the Employee	

I/We undertake that we have made the client aware of tariff sheet and all the voluntary/non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD, 'Do's and Dont's' and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the tariff sheet and all the voluntary/non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

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D D M M Y Y Y Y

SEAL / STAMP OF THE MEMBER
SIGNATURE OF THE AUTHORISED SIGNATORY

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients, including Promoters/Partners/Karta/Trustees and whole time directors and persons authorized to deal in commodity derivatives on behalf of company/firm/others.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a regional language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FIPB/FEMA guidelines and other applicable statutory approvals), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted along with other statutory approvals required for investment in commodities.
10. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI)

List of documents admissible as Proof of Identity:

1. Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/ Driving license.
2. PAN card with photograph.
3. Identity card issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public

Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA)

List of documents admissible as Proof of Address:

(Note: Documents having an expiry date should be valid on the date of submission.)

1. Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License/ Flat Maintenance bill/ Insurance Copy.
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook -- Not more than 3 months old.
4. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
5. Identity card/document with address, issued by any of the following: Central / State Government and its Departments, Statutory / Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
6. The proof of address in the name of the spouse may be accepted.

D. Exemptions to PAN

(Note: Sufficient documentary evidence in support of such claims to be collected.)

1. Transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the State of Sikkim (subject to the continued exemption granted by Government).

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/ Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public,

Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents.

F. In case of Non-Individuals, additional documents to be obtained from non-individuals, over & above the POI & POA, as mentioned below:

For Corporate

- Copy of the balance sheets for the last 2 financial years (to be submitted every year).
- Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year).
- Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations.
- Photograph, POI, POA, PAN of individual promoters holding control either directly or indirectly.
- Copies of the Memorandum and Articles of Association and certificate of incorporation.
- Copy of the Board Resolution for investment in commodity market.
- Copy of Board Resolution or declaration (on the letterhead) naming the persons authorized to deal in commodity derivatives on behalf of company/firm/others and their specimen signatures.

For Partnership Firm

- Copy of the balance sheets for the last 2 financial years (to be submitted every year).
- Certificate of registration (for registered partnership firms only).
- Copy of partnership deed.

- Authorised signatories list with specimen signatures.
- Photograph, POI, POA, PAN of Partners.

For Trust

- Copy of the balance sheets for the last 2 financial years (to be submitted every year).
- Certificate of registration (for registered trust only).
- Copy of Trust deed.
- List of trustees certified by managing trustees/CA.
- Photograph, POI, POA, PAN of Trustees.

For HUF

- PAN of HUF.
- Deed of declaration of HUF & List of coparceners.
- Bank pass-book/bank statement in the name of HUF.
- Photograph, POI, POA, PAN of Karta.

For Government Bodies

- Self-certification on letterhead.
- Authorized signatories list with specimen signatures.

For Registered Society

- Copy of Registration Certificate under Societies Registration Act.
- List of Managing Committee members.
- Committee resolution for persons authorised to act as authorised signatories with specimen signatures.
- True copy of Society Rules and Bye Laws certified by the Chairman/Secretary.


SIGNATURE OF THE CLIENT

RISK DISCLOSURE DOCUMENT

The Exchange does not expressly or impliedly, guarantee nor make any representation concerning the completeness, the adequacy or accuracy of this disclosure documents nor has the Exchange endorsed or passed any merits of participating in the Commodity Derivatives market/trading. This brief statement does not disclose all of the risks and other significant aspects of trading. You should, therefore, study derivatives trading carefully before becoming involved in it.

In the light of the risks involved, you should undertake transactions only if you understand the nature of the contractual relationship into which you are entering and the extent of your exposure to risk.

You must know and appreciate that investment in commodity futures contracts/ derivatives or other instruments traded on the Commodity Exchange(s), which have varying element of risk, is generally not an appropriate avenue for someone of limited resources/ limited investment and/ or trading experience and low risk tolerance. You should, therefore, carefully consider whether such trading is suitable for you in the light of your financial condition. In case, you trade on the Exchange and suffer adverse consequences or loss, you shall be solely responsible for the same and the Exchange shall not be responsible, in any manner whatsoever, for the same and it will not be open for you to take the plea that no adequate disclosure regarding the risks involved was made or that you were not explained the full risk involved by the concerned member. The Client shall be solely responsible for the consequences and no contract can be rescinded on that account.

You must acknowledge and accept that there can be no guarantee of profits or no exception from losses while executing orders for purchase and/or sale of a commodity derivatives being traded on the Exchange.

It must be clearly understood by you that your dealings on the Exchange through a member shall be subject to your fulfilling certain formalities set out by the member, which may, inter alia, include your filing the know your client form and are subject to Rules, Byelaws and Business Rules of the Exchange guidelines prescribed by FMC from time to time and circulars as may be issued by the Exchange from time to time.

The Exchange does not provide or purport to provide any advice and shall not be liable to any person who enters into any business relationship with any member of the Exchange and/ or third party based on any information contained in this document. Any information contained in this document must not be construed as business advice/investment advice. No consideration to trade should be made without thoroughly understanding and reviewing the risks involved in such trading. If you are unsure, you must seek professional advice on the same.

In considering whether to trade, you should be aware of or must get acquainted with the following:-

1. BASIC RISKS INVOLVED IN THE TRADING OF COMMODITY FUTURES CONTRACTS AND OTHER COMMODITY DERIVATIVES INSTRUMENTS ON THE EXCHANGE.

i. Risk of Higher Volatility

Volatility refers to the dynamic changes in price that commodity derivative contracts undergo when trading activity continues on the Commodity Exchange. Generally, higher the volatility of a commodity derivatives contract, greater is its price swings. There may be normally greater volatility in thinly traded commodity derivatives contracts than in actively traded commodities/ contracts. As a result of volatility, your order may only be partially executed or not executed at all, or the price at which your order got executed may be substantially different from the last traded price or change substantially thereafter, resulting in real losses.

ii. Risk of Lower Liquidity

a. Liquidity refers to the ability of market participants to buy and/ or sell commodity derivative contract expeditiously at a competitive price and with minimal price difference. Generally, it is assumed that more the number of orders available in a market, greater is the liquidity. Liquidity is important because with greater liquidity, it is easier for investors to buy and/ or sell commodity derivatives contracts swiftly and with minimal price difference and as a result, investors are more likely to pay or receive a competitive price for commodity derivative contracts purchased or sold. There may be a risk of lower liquidity in some commodity derivative contracts as compared to active commodity derivative contracts. As a result, your order may only be partially executed, or may be executed with relatively greater price difference or may not be executed at all.

b. Buying/ Selling without intention of giving and/ or taking delivery of certain commodities may also result into losses, because in such a situation, commodity derivative contracts may have to be squared-off at a low/ high prices, compared to the expected price levels, so as not to have any obligation to deliver/ receive such commodities.

iii. Risk of Wider Spreads

- a. Spread refers to the difference in best buy price and best sell price. It represents the differential between the price of buying a commodity derivative and immediately selling it or vice versa. Lower liquidity and higher volatility may result in wider than normal spreads for less liquid or illiquid commodities/ commodity derivatives contracts. This in turn will hamper better price formation.

iv. Risk-reducing orders

- a. Most of the Exchanges have a facility for investors to place "limit orders", "stop loss orders" etc. Placing of such orders (e.g. "stop loss" orders or "limit" orders) which are intended to limit losses to certain amounts may not be effective many a time because rapid movement in market conditions may make it impossible to execute such orders.
- b. A "market" order will be executed promptly, subject to availability of orders on opposite side, without regard to price and that while the customer may receive a prompt execution of a "market" order, the execution may be at available prices of outstanding orders, which satisfy the order quantity, on price time priority. It may be understood that these prices may be significantly different from the last traded price or the best price in that commodity derivatives contract.
- c. A "limit" order will be executed only at the "limit" price specified for the order or a better price. However, while the client received price protection, there is a possibility that the order may not be executed at all.
- d. A stop loss order is generally placed "away" from the current price of a commodity derivatives contract, and such order gets activated if and when the contract reaches, or trades through, the stop price. Sell stop orders are entered ordinarily below the current price, and buy stop orders are entered ordinarily above the current price. When the contract approaches pre-determined price, or trades through such price, the stop loss order converts to a market/limit order and is executed at the limit or better. There is no assurance therefore that the limit order will be executable since a contract might penetrate the pre-determined price, in which case, the risk of such order not getting executed arises, just as with a regular limit order.

v. Risk of News Announcements

- a. Traders/Manufacturers make news announcements that may impact the price of the commodities and/or commodity derivatives contracts. These announcements may occur during trading and when combined with lower liquidity and higher volatility may suddenly cause an unexpected positive or negative movement in the price of the commodity/ commodity derivatives contract.

vi. Risk of Rumours

- a. Rumours about the price of a commodity at times float in the market through word of mouth, newspaper, websites or news agencies, etc., the investors should be wary of and should desist from acting on rumours.

vii. System Risk

- a. High volume trading will frequently occur at the market opening and before market close. Such high volumes may also occur at any point in the day. These may cause delays in order execution or confirmation.
- b. During periods of volatility, on account of market participants continuously modifying their order quantity or prices or placing fresh orders, there may be delays in execution of order and its confirmation.
- c. Under certain market conditions, it may be difficult or impossible to liquidate a position in the market at a reasonable price or at all, when there are no outstanding orders either on the buy side or the sell side, or if trading is halted in a commodity due to any action on account of unusual trading activity or price hitting circuit filters or for any other reason.

viii. System/ Network Congestion

- a. Trading on the Exchange is in electronic mode, based on satellite/ leased line communications, combination of technologies and computer systems to place and route orders. Thus, there exists a possibility of communication failure or system problems or slow or delayed response from system or trading halt, or any such other problem/glitch whereby not being able to establish access to the trading system/network, which may be beyond the control of and may result in delay in processing or not processing buy or sell orders either in part or in full. You are cautioned to note that although these problems may be temporary in nature, but when you have outstanding open positions or unexecuted orders, these represent a risk because of your obligations to settle all executed transactions.

2. AS FAR AS FUTURES COMMODITY DERIVATIVES ARE CONCERNED, PLEASE NOTE AND GET YOURSELF ACQUAINTED WITH THE FOLLOWING ADDITIONAL FEATURES:-

Effect of "Leverage" or "Gearing":

- a. The amount of margin is small relative to the value of the commodity derivatives contract so the transactions are 'leveraged' or 'geared'. Commodity Derivatives trading, which is conducted with a relatively small amount of margin, provides the possibility of great profit or loss in comparison with the principal investment amount. But transactions in commodity derivatives carry a high degree of risk. You should therefore completely understand the following statements before actually trading in commodity derivatives contracts and also trade with caution while taking into account one's circumstances, financial resources, etc.
- b. Trading in Futures Commodity Derivatives involves daily settlement of all positions. Every day the open positions are marked to market based on the closing price. If the closing price has moved against you, you will be required to deposit the amount of loss (notional) resulting from such movement. This margin will have to be paid within a stipulated time frame, generally before commencement of trading on the next day.
- c. If you fail to deposit the additional margin by the deadline or if an outstanding debt occurs in your account, the Member of the Exchange may liquidate/square-up a part of or the whole position. In this case, you will be liable for any losses incurred due to such square-up/ Close Outs.
- d. Under certain market conditions, an Investor may find it difficult or impossible to execute the transactions. For example, this situation can occur due to factors such as illiquidity i.e. when there are insufficient bids or offers or suspension of trading due to price limit or circuit breakers etc.
- e. Steps, such as, changes in the margin rate, increase in the cash margin rate etc. may be adopted in order to maintain market stability. These new measures may be applied to the existing open interests. In such conditions, you will be required to put up additional margins or reduce your positions.
- f. You must ask your Member of the Exchange to provide the full details of the commodity derivatives contracts you plan to trade i.e. the contract specifications and the associated obligations.

3. TRADING THROUGH WIRELESS TECHNOLOGY OR ANY OTHER TECHNOLOGY:

Any additional provisions defining the features, risks, responsibilities, obligations and liabilities associated with commodities trading through wireless technology or any other technology should be brought to the notice of the client by the member.

4. GENERAL

i. Deposited cash and property:

You should familiarize yourself with the protections accorded to the money or other property you deposit particularly in the event of a firm become insolvent or bankrupt. The extent to which you may recover your money or property may be governed by specific legislation or local rules. In some jurisdictions, property, which has been specifically identifiable as your own, will be pro-rated in the same manner as cash for purposes of distribution in the event of a shortfall. In case of any dispute with the Member of the Exchange, the same shall be subject to arbitration as per the Rules, Bye-laws and Business Rules of the Exchange.

ii. Commission and other charges:

Before you begin to trade, you should obtain a clear explanation of all commissions, fees and other charges for which you will be liable. These charges will affect your net profit (if any) or increase your loss.

iii. For rights and obligations of the Members/Authorised Persons/ clients, please refer to Annexure 3

iv. The term "Constituent" shall mean and include a Client, a Customer or an Investor, who deals with a member for the purpose of trading in the commodity derivatives through the mechanism provided by the Exchange.

v. The term "member" shall mean and include a Trading Member or a Member/Broker, who has been admitted as such by the Exchange and got a Unique Member Code from FMC.

RIGHTS AND OBLIGATIONS OF MEMBERS, AUTHORIZED PERSONS AND CLIENTS

as prescribed by FMC and Commodity Exchanges

1. The client shall invest/trade in those commodities /contracts/other instruments admitted to dealings on the Exchanges as defined in the Rules, Byelaws and Business Rules/ Regulations of Exchanges/ Forward Markets Commission (FMC) and circulars/notices issued there under from time to time.
2. The Member, Authorized Person and the client shall be bound by all the Rules, Byelaws and Business Rules of the Exchange and circulars/notices issued there under and Rules and Regulations of FMC and relevant notifications of Government authorities as may be in force from time to time.
3. The client shall satisfy himself of the capacity of the Member to deal in commodities and/or deal in derivatives contracts and wishes to execute its orders through the Member and the client shall from time to time continue to satisfy itself of such capability of the Member before executing orders through the Member.
4. The Member shall continuously satisfy itself about the genuineness and financial soundness of the client and investment objectives relevant to the services to be provided.
5. The Member shall take steps to make the client aware of the precise nature of the Member's liability for business to be conducted, including any limitations, the liability and the capacity in which the Member acts.
6. The Authorized Person shall provide necessary assistance and co-operate with the Member in all its dealings with the client(s).

CLIENT INFORMATION

7. The client shall furnish all such details in full as are required by the Member in "Account Opening Form" with supporting details, made mandatory by commodity exchanges/FMC from time to time.
8. The client shall familiarize himself with all the mandatory provisions in the Account Opening documents. Any additional clauses or documents specified by the Member shall be non-mandatory; therefore, subject to specific acceptance by the client.
9. The client shall immediately notify the Member in writing if there is any change in the information in the 'account opening form' as provided at the time of account opening and thereafter; including the information on winding up petition/insolvency petition or any litigation which may have material bearing on his capacity. The client shall provide/update the financial information to the Member on a periodic basis.
10. The Member and Authorized Person shall maintain all the details of the client as mentioned in the account opening form or any other information pertaining to the client, confidentially and that they shall not disclose the same to any person/authority except as required under any law/regulatory requirements. Provided however that the Member may so disclose information about his client to any person or authority with the express permission of the client.

MARGINS

11. The client shall pay applicable initial margins, withholding margins, special margins or such other margins as are considered necessary by the Member or the Exchange or as may be directed by FMC from time to time as applicable to the segment(s) in which the client trades. The Member is permitted in its sole and absolute discretion to collect additional margins (even though not required by the Exchange or FMC) and the client shall be obliged to pay such margins within the stipulated time.
12. The client understands that payment of margins by the client does not necessarily imply complete satisfaction of all dues. In spite of consistently having paid margins, the client may, on the settlement of its trade, be obliged to pay (or entitled to receive) such further sums as the contract may dictate/require.

TRANSACTIONS AND SETTLEMENTS

13. The client shall give any order for buy or sell of commodities derivatives contract in writing or in such form or manner, as may be mutually agreed between the client and the Member however ensuring the regulatory requirements in this regard are complied with. The Member shall ensure to place orders and execute the trades of the client, only in the Unique Client Code assigned to that client.
14. The Member shall inform the client and keep him apprised about trading/settlement cycles, delivery/payment schedules, any changes therein

from time to time, and it shall be the responsibility in turn of the client to comply with such schedules/procedures of the relevant commodity exchange where the trade is executed.

15. The Member shall ensure that the money deposited by the client shall be kept in a separate account, distinct from his/its own account or account of any other client and shall not be used by the Member for himself/itself or for any other client or for any purpose other than the purposes mentioned in Rules, circulars, notices, guidelines of FMC and/or Rules, Business Rules, Bye-laws, circulars and notices of Exchange.
16. Where the Exchange(s) cancels trade(s) suo moto all such trades including the trade/s done on behalf of the client shall ipso facto stand cancelled, Member shall be entitled to cancel the respective contract(s) with client(s).
17. The transactions executed on the Exchange are subject to Rules, Byelaws and Business Rules and circulars/notices issued thereunder of the Exchanges where the trade is executed and all parties to such trade shall have submitted to the jurisdiction of such court as may be specified by the Byelaws and Business Rules of the Exchanges where the trade is executed for the purpose of giving effect to the provisions of the Rules, Byelaws and Business Rules of the Exchanges and the circulars/notices issued thereunder.

BROKERAGE

18. The Client shall pay to the Member brokerage and statutory levies as are prevailing from time to time and as they apply to the Client's account, transactions and to the services that Member renders to the Client. The Member shall not charge brokerage more than the maximum brokerage permissible as per the Rules, Business Rules and Bye-laws of the relevant commodity exchanges and/or Rules of FMC.

LIQUIDATION AND CLOSE OUT OF POSITION

19. Without prejudice to the Member's other rights (including the right to refer a matter to arbitration), the client understands that the Member shall be entitled to liquidate/close out all or any of the client's positions for non-payment of margins or other amounts, outstanding debts, etc. and adjust the proceeds of such liquidation/close out, if any, against the client's liabilities/obligations. Any and all losses and financial charges on account of such liquidation/closing-out shall be charged to and borne by the client.
20. In the event of death or insolvency of the client or his/its otherwise becoming incapable of receiving and paying for or delivering or transferring commodities which the client has ordered to be bought or sold, Member may close out the transaction of the client and claim losses, if any, against the estate of the client. The client or his nominees, successors, heirs and assignee shall be entitled to any surplus which may result there from. The client shall note that transfer of funds/commodities in favor of a Nominee shall be valid discharge by the Member against the legal heir.

DISPUTE RESOLUTION

21. The Member shall co-operate in redressing grievances of the client in respect of all transactions routed through it.
22. The client and the Member shall refer any claims and/or disputes with respect to deposits, margin money, etc., to arbitration as per the Rules, Byelaws and Business Rules of the Exchanges where the trade is executed and circulars/notices issued thereunder as may be in force from time to time.
23. The client/Member understands that the instructions issued by an authorized representative for dispute resolution, if any, of the client/Member shall be binding on the client/Member in accordance with the letter authorizing the said representative to deal on behalf of the said client/Member.

TERMINATION OF RELATIONSHIP

24. This relationship between the Member and the client shall be terminated; if the Member for any reason ceases to be a member of the commodity exchange including cessation of membership by reason of the Member's default, death, resignation or expulsion or if the certificate is cancelled by the Exchange.
25. The Member, Authorized Person and the client shall be entitled to terminate the relationship between them without giving any reasons to the other party, after giving notice in writing of not less than one month to the other parties. Notwithstanding any such termination, all rights, liabilities and obligations of the parties arising out of or in respect of transactions entered into prior to the termination of this relationship shall continue to subsist and vest in/be binding on the respective parties or his/its respective heirs, executors, administrators, legal representatives or successors, as the case may be.
26. In the event of demise/insolvency of the Authorized Person or the cancellation of his/its registration with the Board or/withdrawal of recognition

of the Authorized Person by the commodity exchange and/or termination of the agreement with the Authorized Person by the Member, for any reason whatsoever, the client shall be informed of such termination and the client shall be deemed to be the direct client of the Member and all clauses in the 'Rights and Obligations' document(s) governing the Member, Authorized Person and client shall continue to be in force as it is, unless the client intimates to the Member his/its intention to terminate their relationship by giving a notice in writing of not less than one month.

ADDITIONAL RIGHTS AND OBLIGATIONS

27. The Member and client shall reconcile and settle their accounts from time to time as per the Rules, Business Rules, Bye Laws, Circulars, Notices and Guidelines issued by FMC and the relevant Exchanges where the trade is executed.
28. The Member shall issue a contract note to his clients for trades executed in such format as may be prescribed by the Exchange from time to time containing records of all transactions including details of order number, trade number, trade time, trade price, trade quantity, details of the derivatives contract, client code, brokerage, all charges levied etc. and with all other relevant details as required therein to be filled in and issued in such manner and within such time as prescribed by the Exchange. The Member shall send contract notes to the investors within 24 hours of the execution of the trades in hard copy and/or in electronic form using digital signature.
29. The Member shall make pay out of funds or delivery of commodities as per the Exchange Rules, Bye-Laws, Business Rules and Circulars, as the case may be, to the Client on receipt of the payout from the relevant Exchange where the trade is executed unless otherwise specified by the client and subject to such terms and conditions as may be prescribed by the relevant Exchange from time to time where the trade is executed.
30. The Member shall send a complete 'Statement of Accounts' for both funds and commodities in respect of each of its clients in such periodicity and format within such time, as may be prescribed by the relevant Exchange, from time to time, where the trade is executed. The Statement shall also state that the client shall report errors, if any, in the Statement immediately but not later than 30 calendar days of receipt thereof, to the Member. A detailed statement of accounts must be sent every month to all the clients in physical form. The proof of delivery of the same should be preserved by the Member.
31. The Member shall send margin statements to the clients on monthly basis. Margin statement should include, inter-alia, details of collateral deposited, collateral utilized and collateral status (available balance/due from client) with break up in terms of cash, Fixed Deposit Receipts (FDRs), Bank Guarantee, warehouse receipts, securities etc.
32. The Client shall ensure that it has the required legal capacity to, and is authorized to, enter into the relationship with Member and is capable of performing his obligations and undertakings hereunder. All actions required to be taken to ensure compliance of all the transactions, which the Client may enter into shall be completed by the Client prior to such transaction being entered into.
33. In case, where a member surrenders his/ her/ its membership, Member gives a public notice inviting claims, if any, from investors. In case of a claim relating to transactions executed on the trading system of the Exchange, ensure that client lodge a claim with the Exchange within the stipulated period and with the supporting documents.

ELECTRONIC CONTRACT NOTES (ECN)

34. In case, client opts to receive the contract note in electronic form, he shall provide an appropriate e-mail id (created by the client) to the Member (Kindly refer Appendix A of Annexure 1). Member shall ensure that all the rules/Business Rule/Bye-Laws/ circulars issued from time to time in this regard are complied with. The client shall communicate to the Member any change in the email-id through a physical letter. If the client has opted for internet trading, the request for change of email id may be made through the secured access by way of client specific user id and password.
35. The Member shall ensure that all ECNs sent through the e-mail shall be digitally signed, encrypted, non-tamperable and in compliance with the provisions of the IT Act, 2000. In case, ECN is sent through e-mail as an attachment, the attached file shall also be secured with the digital signature, encrypted and non-tamperable.
36. The client shall note that non-receipt of bounced mail notification by the Member shall amount to delivery of the contract note at the e-mail ID of the client.
37. The Member shall retain ECN and acknowledgement of the e-mail in a soft and non-tamperable form in the manner prescribed by the exchange in compliance with the provisions of the IT Act, 2000 and as per the extant rules/circulars/guidelines issued by FMC/Commodity exchanges from time to time. The proof of delivery i.e., log report generated by the system at the time of sending the contract notes shall be maintained by the

Member for the specified period under the extant rules/circulars/guidelines issued by FMC/Commodity exchanges. The log report shall provide the details of the contract notes that are not delivered to the client/e-mails rejected or bounced back. The Member shall take all possible steps to ensure receipt of notification of bounced mails by him at all times within the stipulated time period under the extant rules/circulars/guidelines issued by FMC/Commodity exchanges.

38. The Member shall continue to send contract notes in the physical mode to such clients who do not opt to receive the contract notes in the electronic form. Wherever the ECNs have not been delivered to the client or has been rejected (bouncing of mails) by the e-mail ID of the client, the Member shall send a physical contract note to the client within the stipulated time under the extant Regulations/ Rules, Bye-Laws, Business Rules and Circulars of FMC/commodity exchanges and maintain the proof of dispatch and delivery of such physical contract notes.
39. In addition to the e-mail communication of the ECNs to the client, the Member shall simultaneously publish the ECN on his designated web-site, if any, in a secured way and enable relevant access to the clients and for this purpose, shall allot a unique user name and password to the client, with an option to the client to save the contract note electronically and/or take a print out of the same.
40. The Electronic Contract Note (ECN) declaration form obtained from the Client who opts to receive the contract note in electronic form must be obtained afresh before 1st April of every financial year by following the same procedure. Thus, such declaration would be valid for that financial year only.

LAW AND JURISDICTION

41. In addition to the specific rights set out in this document, the Member, Authorised Person and the client shall be entitled to exercise any other rights which the Member or the client may have under the Rules, Bye-laws and Business Rules of the Exchanges in which the client chooses to trade and circulars/notices issued thereunder or Rules of FMC.
42. The provisions of this document shall always be subject to Government notifications, any rules, guidelines and circulars/notices issued by FMC and Circulars, Rules, Business Rules and Bye laws of the relevant commodity exchanges, where the trade is executed, that may be in force from time to time.
43. The Member and the client shall abide by any award passed by the Arbitrator(s) under the Arbitration and Conciliation Act, 1996. However, there is also a provision of appeal, if either party is not satisfied with the arbitration award.
44. Words and expressions which are used in this document but which are not defined herein shall, unless the context otherwise requires, have the same meaning as assigned thereto in the Rules, Byelaws and Regulations/Business Rules and circulars/notices issued thereunder of the Exchanges/FMC.
45. All additional voluntary/nonmandatory clauses/document added by the Member should not be in contravention with Rules/ Business Rules/Notices/Circulars of Exchanges/FMC. Any changes in such voluntary clauses/document(s) need to be preceded by a notice of 15 days. Any changes in the rights and obligations which are specified by Exchanges/FMC shall also be brought to the notice of the clients.
46. If the rights and obligations of the parties hereto are altered by virtue of change in Rules of FMC or Bye-laws, Rules and Business Rules of the relevant commodity exchanges where the trade is executed, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.
47. Members are required to send account statement to their clients every month in physical form..


SIGNATURE OF THE CLIENT

INTERNET & WIRELESS TECHNOLOGY BASED TRADING FACILITY PROVIDED BY MEMBERS TO CLIENT

(All the clauses mentioned in the 'Rights and Obligations' document(s) shall be applicable. Additionally, the clauses mentioned herein shall also be applicable.)

1. Member is eligible for providing Internet based trading (IBT) and commodities trading through the use of wireless technology that shall include the use of devices such as mobile phone, laptop with data card, etc. which use Internet Protocol (IP). The Member shall comply with all requirements applicable to internet based trading/- commodities trading using wireless technology as may be specified by FMC& the Exchanges from time to time.
2. The client is desirous of investing/trading in commodities and for this purpose, the client is desirous of using either the internet based trading facility or the facility for commodities trading through use of wireless technology. The Member shall provide the Member's IBT Service to the Client, and the Client shall avail of the Member's IBT Service, on and subject to FMC/Exchanges Provisions and the terms and conditions specified on the Member's IBT Web Site provided that they are in line with the norms prescribed by Exchanges/FMC.
3. The Member shall bring to the notice of client the features, risks, responsibilities, obligations and liabilities associated with commodities trading through wireless technology/internet or any other technology should be brought to the notice of the client by the Member.
4. The Member shall make the client aware that the Member's IBT system itself generates the initial password and its password policy as stipulated in line with norms prescribed by Exchanges/FMC.
5. The Client shall be responsible for keeping the Username and Password confidential and secure and shall be solely responsible for all orders entered and transactions done by any person whosoever through the Member's IBT System using the Client's Username and/or Password whether or not such person was authorized to do so. Also the client is aware that authentication technologies and strict security measures are required for the internet trading/ commodities trading through wireless technology through order routed system and undertakes to ensure that the password of the client and/or his authorized representative are not revealed to any third party including employees and dealers of the Member.
6. The Client shall immediately notify the Member in writing if he forgets his password, discovers security flaw in Member's IBT System, discovers/suspects discrepancies/ unauthorized access through his username/password/account with full details of such unauthorized use, the date, the manner and the transactions effected pursuant to such unauthorized use, etc.
7. The Client is fully aware of and understands the risks associated with availing of a service for routing orders over the internet/ commodities trading through wireless technology and Client shall be fully liable and responsible for any and all acts done in the Client's Username/password in any manner whatsoever.
8. The Member shall send the order/trade confirmation through email to the client at his request. The client is aware that the order/ trade confirmation is also provided on the web portal. In case client is trading using wireless technology, the Member shall send the order/trade confirmation on the device of the client.
9. The client is aware that trading over the internet involves many uncertain factors and complex hardware, software, systems, communication lines, peripherals, etc. are susceptible to interruptions and dislocations. The Member and the Exchange do not make any representation or warranty that the Member's IBT Service will be available to the Client at all times without any interruption.
10. The Client shall not have any claim against the Exchange or the Member on account of any suspension, interruption, non-availability or malfunctioning of the Member's IBT System or Service or the Exchange's service or systems or non-execution of his orders due to any link/system failure at the Client/Members/Exchange end for any reason beyond the control of the Member/Exchanges.

DO'S

1. Trade only through Registered Members of the Exchange. Check from the Exchange website at following link MCX- <http://www.mcxindia.com/SitePages/TradeVerification.aspx>, ACE <http://www.aceindia.com/market-data#>, NSEL- <http://www.nationalspotexchange.com/Sitepages/MarketMaster.htm?pg=11> to see whether the Member is registered with the Exchange.
2. Insist on filling up a standard 'Know Your Client (KYC)' form before you commence trading.
3. Insist on getting a Unique Client Code (UCC) and ensure all your trades are done under the said UCC.
4. Insist on reading and signing a standard 'Risk Disclosure Agreement'.
5. Obtain a copy of your KYC and/ or other documents executed by you with the Member, from the Member.
6. Cross check the genuineness of trades carried out at the Exchange through the trade verification facility available on the Exchange website at the following link MCX - <http://www.mcxindia.com/SitePages/TradeVerification.aspx>, ACE - <http://www.aceindia.com/market-data#>, NSEL - <http://www.nationalspotexchange.com/Sitepages/MarketMaster.htm?pg=11>. The trades can be verified online where trade information is available up to 5 working days from the trade date.
7. Insist on a duly signed Contract Note in specified format for every executed trade within 24 hours of trade, highlighting the details of the trade along with your UCC.
8. Ensure that the Contract Note contains all the relevant information such as Member Registration Number, Order No., Order Date, Order time, Trade No., Trade rate, Quantity, Arbitration Clause, etc.
9. Obtain receipt for collaterals deposited with the Member towards margins.
10. Go through the Rules, Bye-laws, Regulations, Circulars, Directives, Notifications of the Exchange as well as of the Regulators, Government and other authorities to know your rights and duties vis-à-vis those of the Member.
11. Ask all relevant questions and clear your doubts with your Member before transacting.
12. Insist on receiving the bills for every settlement.
13. Insist on Monthly statements of your ledger account and report any discrepancies in the statement to your Member within 7 working days. In case of unsatisfactory response report the discrepancy to the Exchange within 15 working days from the date of cause of action.
14. Scrutinize minutely both the transaction & holding statements that you receive from your Depository Participant.
15. Keep Delivery Instruction Slips (DIS) book issued by DPs in safe possession.
16. Ensure that the DIS numbers are preprinted and your account number (UCC) is mentioned in the DIS book.
17. Freeze your Demat account in case of your absence for longer duration or in case of not using the account frequently.
18. Pay required margins in time and only by Cheque and ask for receipt thereof from the Member.
19. Deliver the commodities in case of sale or pay the money in case of purchase within the time prescribed.
20. Understand and comply with accounting standards for derivatives.
21. Ensure to read, understand and then sign the voluntary clauses, if any, agreed between you and the Member. Note that the clauses as agreed between you and the Member cannot be changed without your consent.
22. Get a clear idea about all brokerage, commissions, fees and other charges levied by the Member on you for trading and the relevant provisions/ guidelines specified by FMC/Commodity exchanges.
23. Make the payments by account payee cheque in favour of the Member. Ensure that you have a documentary proof of your payment/deposit of commodities with the Member, stating date, commodity, quantity, towards which bank/ demat account such money or commodities (in the form of warehouse receipts) deposited and from which bank/ demat account.
24. The payout of funds or delivery of commodities (as the case may be) shall not be made to you within one working day from the receipt of payout from the Exchange, in case you have given specific authorization for maintaining running account to the member. Thus, in this regard, the running account authorization provided by you to the Member shall be subject to the following conditions:
 - a) Such authorization from you shall be dated, signed by you only and contains the clause that you may revoke the same at any time.
 - b) You need to bring any dispute arising from the statement of account to the notice of the Member in writing preferably within 7 (seven)

working days from the date of receipt of funds/commodities or statement, as the case may be. In case of dispute, refer the matter in writing to the Investors Grievance Cell of the relevant Commodity exchanges without delay.

- c) In case you have not opted for maintaining running account and pay-out is not received on the next working day of the receipt of payout from the exchanges, please refer the matter to the Member. In case there is dispute, ensure that you lodge a complaint in writing immediately with the Investors Grievance Cell of the relevant Commodity exchange.
 - d) Please register your mobile number and email id with the Member, to receive trade confirmation alerts/ details of the transactions through SMS or email, by the end of the trading day, from the commodity exchanges.
25. You should familiarize yourself with the protection accorded to the money or other property you may deposit with your member, particularly in the event of a default in the commodity derivatives market or the member becomes insolvent or bankrupt.
26. Please ensure that you have a documentary proof of having made the deposit of such money or property with the member, stating towards which account such money or property deposited.
27. In case your problem/grievance/issue is not being sorted out by concerned Member/Authorised Person then you may take up the matter with the concerned Commodity Exchange. If you are not satisfied with the resolution of your complaint then you can escalate the matter to FMC.

DONT'S

1. Do not deal with any unregistered intermediaries.
2. Do not undertake off-market transactions as such transactions are illegal and fall outside the jurisdiction of the Exchange.
3. Do not enter into assured returns arrangement with any Member.
4. Do not get carried away by luring advertisements, rumours, hot tips, explicit/ implicit promise of returns, etc.
5. Do not make payments in cash/ take any cash towards margins and settlement to/ from the Member.
6. Do not start trading before reading and understanding the Risk Disclosure Agreement.
7. Do not neglect to set out in writing, orders for higher value given over phone.
8. Do not accept unsigned/duplicate contract note/confirmation memo.
9. Do not accept contract note/confirmation memo signed by any unauthorized person.
10. Don't share your internet trading account's password with anyone
11. Do not delay payment/deliveries of commodities to Member.
12. Do not forget to take note of risks involved in the investments.
13. Do not sign blank Delivery Instruction Slips (DIS) while furnishing commodities, deposits and/or keep them with Depository Participants (DP) or member to save time.
14. Do not pay brokerage in excess of that rates prescribed by the Exchange
15. Don't issue cheques in the name of Authorized Person.

TARIFF SHEET / BROKERAGE SLAB								
SEGMENT	DELIVERY		MINIMUM (PAISA)		SQUARE OFF		MINIMUM (PAISA)	
	OFFLINE	ONLINE	OFFLINE	ONLINE	OFFLINE	ONLINE	OFFLINE	ONLINE
FUTURE								
SPOT								

(The above rates are exclusive of Service Tax, Stamp Duty, FMC Fee, Transaction Charges & other charges, if any, which will be separately charged as per applicable rates from time to time.)

A-22

Amit Sarkar
SIGNATURE OF THE CLIENT

To,
ACHIEVERS COMMERCIAL PRIVATE LIMITED
 32/A, Diamond Harbour Road, Sakherbazar, Kolkata - 700008.

D	D	M	M	Y	Y	Y	Y

Dear Sir,

I, Amit Sarkar a client with Member ACHIEVERS Commercial Private Ltd of MCX/ACE/NSEL Exchange undertake as follows:

I am aware that the Member has to provide physical contract note in respect of all the trades placed by me unless I myself want the same in the electronic form.

I am aware that the Member has to provide electronic contract note for my convenience on my request only. Though the Member is required to deliver physical contract note, I find that it is inconvenient for me to receive physical contract notes. Therefore, I am voluntarily requesting for delivery of electronic contract note pertaining to all the trades carried out / ordered by me.

I have access to a computer and am a regular internet user, having sufficient knowledge of handling the email operations.

My email id is amitsarkar@gmail.com

This has been created by me and not by someone else. I am aware that this declaration form should be in English or in any other Indian language known to me. This declaration is valid till 31st March _____ (yyyy)

[The above declaration has been read and understood by me.

I am aware of the risk involved in dispensing with the physical contract note, and do hereby take full responsibility for the same]

(THE ABOVE LINES MUST BE REPRODUCED IN OWN HANDWRITING OF THE CLIENT.)

The above declaration has been read and understood by me. I am aware of the risk involved in dispensing with the physical contract note and do hereby take full responsibility for the same.

Client Name: AMIT SARKAR

Verification of the client signature done by,

Unique Client Code : _____

Name of the designated officer of the Member

PAN: _____

Signature

Address : 32/A DIAMOND HARBOUR ROAD, SAKHER BAZAR KOL - 08

Date

Date :

Place:

Instructions:

This declaration form has to be sent by the Member to the client on the email id provided by client while opening the trading account.

The client shall, on receipt of this email, print the email as well as the declaration form.

The hard copy of the declaration shall be filled up by the client and submitted to the Member along with a signed hard copy of the email. The Member shall acknowledge the receipt of the declaration from the client.

Amit Sarkar
 SIGNATURE OF THE CLIENT

INFORMATION PROVIDED BY THE CLIENT IN RELATION TO THE PREVENTION OF MONEY LAUNDERING ACT, 2002

Name of the Client : AMIT SARKAR Client Code : _____

If Business / Profession : Nature of Business : _____

Industry : _____

Details of my/our Relatives, having account with ACPL

Name	Relationship	UCC (Client Code)
1.		
2.		

Details of the Corporate/Partnership Firm/Trust etc. where I/We am/are affiliated

Name	Entity Type	Nature of business	Relationship	UCC (Client Code)
1.				
2.				
3.				
4.				

I/We agree to submit every year any one of following documents to ACPL :

- | | |
|--|-------------------------------------|
| 1. Profit & Loss Account and Balance Sheet | 2. Form No. 16 (Salary Certificate) |
| 3. Self attested copy of Income Tax Return | 4. Networth Certificate |
| 5. Demat Transaction Statement & Bank Statement (for 6 months) | |

I/We confirm that I/We will immediately inform ACPL in case I/We am/are convicted under any grounds or any action is taken against me/us by any authority(ies).

- I/We hereby declare that I/We do not fall under the Clients of Special Category as defined in Prevention of Money Laundering Act, 2002, or
- I/We declare that I/We fall under Clients of Special Category as defined in Prevention of Money Laundering Act, 2002 (choose the relevant category as under) :
- Non resident Client
 - Politically Exposed Persons
 - Non face to face client
 - Companies having close family shareholdings or beneficial ownership
 - Trusts, Charities, Non- Governmental Organisations (NGOs) and organizations receiving donations
 - Clients in high risk countries where existence / effectiveness of money laundering controls is suspect etc.
 - High Net-worth Clients
 - Companies offering foreign exchange offerings
 - Clients with dubious reputation as per public information available etc.

I/We intend to invest in the stock market with : Own Funds [] Borrowed Funds
(If Borrowed Funds, then please specify below Sources of Funds):

Sources of Borrowed Funds (if any)	Amount (Rs.)

I/We hereby declare that I/We am/are beneficial owner of the Trading/Online Account opened with ACPL

Amit Sarkar
SIGNATURE OF THE CLIENT

FOR OFFICE USE ONLY

RISK CATEGORY : HIGH MEDIUM LOW

VOLUNTARY

A-25

To,
ACHIEVERS COMMERCIAL PRIVATE LTD
 32/A, Diamond Harbour Road, Sakherbazar, Kolkata - 700008

D	D	M	M	Y	Y	Y	Y

Dear Sir/Madam,

I/We as a Client in Commodity Market Segment of MCX/ACE/NSEL hereby authorizes ACHIEVERS COMMERCIAL PRIVATE LTD (Member of MCX/ACE/NSEL) for the following:

That to maintain a running account, instead of settlement to settlement clearance of funds/commodities due to me/us. In this regard, I/we have been informed about the relevant circular of Exchange/FMC and I/we have read and understood the same. I/We hereby authorize ACPL to maintain a running account in all segments across the exchange (MCX/ACE/NSEL).

I/We hereby confirm you to settle my/our account of funds and commodities once in Ninety (90) days

Such settlement will be done on any day at its discretion in a calendar month or quarter (hereinafter referred as "settlement period") as the case may be. If my/our account turns into debit on any day during the settlement period, the same may be treated as settlement of funds for that settlement period and ACPL will not be required to settle the credit if any arising subsequently during the settlement period. I/We agree that I/we shall be liable to pay the debit standing to my/our account on the settlement date or any other date.

I/We authorize you to withhold any credit balance as collateral/margin against my/our future trades/obligations and/or adjust outstanding fund/commodities receivable from you against my/our purchase in subsequent settlement with no interest accruing on the above fund/securities.

I/We authorize you to set off a part or whole of the margin deposited by me/us against any of my/our dues, by appropriating relevant amount of fund or by sale of commodities or by liquidating the open position which form part of margin.

I/We agree that fund given towards collaterals/margins in form of Bank Guarantee (BG)/Fixed Deposit Receipts (FDR) may not be periodically settled.

I/We agree that (a) in respect of derivatives market transactions, the Member may retain the requisite collaterals/securities/funds on settlement date to take care of any margin obligation arising in next 3 days, calculated in the manner specified by the exchange, (b) in respect of spot market transactions, the Member may retain entire pay-in obligations of funds & commodities due from me/us as on the date of settlement and for next day's business, Member may retain funds/commodities /margin to the extent of value of transactions executed on the day of such settlement.

That to keep my/our commodities purchased from you, in your margin account and to deliver and/or adjust said commodities against our sales in subsequent settlement i.e. inter transferring the commodities from one settlement (purchase) to another settlement (sales) in Spot and/or F&O Segments of Exchange unless you receive any verbal or written instruction from me/us to deliver the same to my/our Depository Account. Similarly, I/We authorize you to issue the cheque against my/our fund pay out after getting the telephonic or written instruction from me/us, otherwise the same will remain with you against my/our margin obligation towards Spot Market Segment and / or F&O Segment.

I/We confirm you that I/we will bring to your notice any dispute arising from the statement of account or settlement so made in writing within 7 working days from the date of receipt of funds/commodities or statement of account or statement related to it, as the case may be at your registered office. After that I/we shall have no right to dispute the transaction, funds and/or commodities ever and agree that you shall not be liable for any incidental loss/damage caused due to retention of funds and/or commodities.

I/We further declare that this authorization will remain in force unless revoked earlier by me/us in writing.

Thanking You,

Yours Faithfully


 SIGNATURE OF THE CLIENT

To,

ACHIEVERS COMMERCIAL PRIVATE LTD

32/A, Diamond Harbour Road, Sakherbazar, Kolkata - 700008

D	D	M	M	Y	Y	Y	Y

Dear Sir/Madam,

1. I/We hereby authorise ACPL not to provide me Order Confirmation / Modification / Cancellation Slips and Trade Confirmation Slips to avoid unnecessary paper work. I/We hereby request ACPL to kindly accept my/our mandate holder's verbal orders/instructions in person or over phone and execute the same. I/We shall get the required details from the contract notes issued by you. I/We understand the risk associated with placement of verbal orders and accept the same. I/We shall not disown orders under the plea that the same was not placed by me. I/We indemnify ACPL and keep you indemnified against all losses, damages, actions which you may suffer or face, as a consequence of adhering to and carrying out my/our orders placed verbally. In case I/we wish to withdraw this consent I/we shall inform ACPL in writing and get the same acknowledged by ACPL at least one week in advance from the date of withdrawal.
2. I/We hereby authorise ACPL to deposit collateral / commodities received from me/us or purchased through ACPL lying in my/our account to the Exchange(s) or their custodian appointed by them for the purpose of margin/other obligation and/or to facilitate my/our transaction in the normal course of business. Further, ACPL shall release the collaterals / commodities to me/us on my/our request, if the same is releasable to me/us.
3. Trading of all Exchanges is in Electronic Mode, based on VSAT, Leased line, ISDN, Modem and VPN, combination of technologies and computer systems to place and route orders. I/We understand that there exists a possibility of communication failure or system problems or slow or delayed response from system or trading halt, of any such other problem/glitch whereby not being able to establish access to the trading system/network, which may be beyond your control and may result in delay in processing of buy or sell orders either in part or in full. I/We shall be fully liable and responsible for any such problems/fault.
4. I/We agree not to hold ACPL liable or responsible for delay or default in performance of your obligations due to contingencies beyond your control such as fire, flood, civil commotion, earthquake, riots, war strikes, failure of systems, failure of internal links, government/regulatory actions or any other contingencies beyond your control.
5. I/We hereby confirm that I/we will never sublet the trading terminal on any term of connectivity, from my/our place to any other place without your prior approval.
6. I/We am/are agreeable for inter-settlement transfer of commodities towards settlements.
7. I/We am/are agreeable for & authorise ACPL to with hold funds pay-out towards all applicable margins and debits.
8. All fines/penalties and charges levied upon ACPL due to my acts/deeds or transactions may be recovered by ACPL from my account.
9. Any queries related to commodities / collaterals delivered by ACPL, would be brought to the notice of ACPL within two days of the receipt of such in my / our Demat Account or otherwise it can be presumed that I / We have checked all the collaterals / commodities received by me / us and that they are good as per the prevailing norms.
10. Any queries related to my/our Contract cum Bill, shall be brought to the notice of the ACPL within 24 hours of the receipt of Contract cum Bill, however not after than 4 days from the execution of the trade.
11. ACPL is hereby advised to keep this instructions in force unless specifically informed by me / us in writing.
12. I / We hereby reconfirm and accept all the terms & conditions mentioned hereinabove.
13. I/We will be extending all co-operation to ACPL in their endeavour towards Anti-Money Laundering. ACPL may initiate any enquiry against me/us and/or my/our transactions any time without any legal implication whatsoever against them.
14. I/We understand that information about me/us and my/our transactions may be reported by ACPL to FIU/concerned authorities without any intimation to me/us and have no objection to the same.
15. I/We further declare that above mentioned statement is true & correct.

Thanking You,

Yours Faithfully,


SIGNATURE OF THE CLIENT

A-27

CONSENT LETTER FOR RECEIVING ALERTS, TRADING CALLS, RESEARCH REPORT ETC. ON MOBILE

VOLUNTARY

To,
ACHIEVERS COMMERCIAL PRIVATE LTD
 32/A, Diamond Harbour Road, Sakherbazar, Kolkata - 700008

D	D	M	M	Y	Y	Y	Y

Dear Sir / Madam,

I/We hereby give my/our consent to give me/us Alerts, Research Calls, Reports, News, Live Updates or any other information on my Email ID given earlier for Electronic Communication and also on my Mobile No. 9 8 3 0 0 0
0 0 0 9.

This shall not be treated as violation of any DND or any other similar rules applicable from time to time. The number may be given to the exchange database also.

Further, I/we undertake to ACPL and confirm to use my/our own judgement in taking a view and execute trade in the identified security(s) according to my/our financial strength/capabilities and shall not hold ACPL responsible for any loss suffered by me/us on account of executing or omitting to execute any trades in pursuance of such communication and/or investment advises sent by ACPL.

I/We further declare that the above mentioned statement is true and correct.

Thanking you,
 Yours faithfully,

SMS Research calls, News and Live Updates,
 please tick to register for **MOBILE ALERT SMS**

Amit Sarkar
 SIGNATURE OF THE CLIENT

AUTHORITY LETTER TO DEBIT THE DEMAT ACCOUNT OPERATING CHARGES

VOLUNTARY

To,
ACHIEVERS COMMERCIAL PRIVATE LTD
 32/A, Diamond Harbour Road, Sakherbazar, Kolkata - 700008

D	D	M	M	Y	Y	Y	Y

Dear Sir / Madam,

I/We have been dealing through you as my/our broker on Spot Market Segment / Commodity Futures Segment .

As my Broker, I/we authorize you to debit my/our trading account against charges raised by you on account of Depository Services provided by you or by _____ on account of Depository Services provided by them and bill raised by them in this regards. The details of Demat account(s) are as follows.

DEPOSITORY	DEPOSITORY PARTICIPANT ACCOUNT NO.	BENEFICIARY ACCOUNT NO.																
CDSL/NSDL	<table border="1"><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>									<table border="1"><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>								
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Thanking you,
 Yours faithfully,

Amit Sarkar
 SIGNATURE OF THE CLIENT

ELECTRONIC PAYOUT REQUEST

VOLUNTARY

To,
ACHIEVERS COMMERCIAL PRIVATE LTD
 32/A, Diamond Harbour Road, Sakherbazar, Kolkata - 700008

D	D	M	M	Y	Y	Y	Y

Dear Sir / Madam,

I/We furnish below the details of my Bank Account to enable the transfer of funds payable to me/us against sale of Commodities / Credit Balances in my account.

Bank : AXIS BANK LTD	Branch : BBD BAGC
Type of Account : <input checked="" type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> Others (Specify)	MICR No.: 560220001
Copy of cheque must be enclosed.	A/c. No. : 911020059416426 IFSC CODE (RTGS / NEFT) UTIB0001138

Name of Joint Account Holder (if any) : _____

I/We hereby declare that the particulars mentioned above are correct, complete and true and I/we request ACPL to transfer the funds against payments due to me into the above mentioned Bank Account until any other instruction is given by me/us. It is also agreed by me/us that the above facility is being provided to me/us by ACPL at my request and it can be discontinued/withdrawn by ACPL at its discretion at any point of time, as and when required without prior notice.

1. The transfer of funds to my/our bank account will continue to be governed by the terms and conditions as per my declaration to ACPL.
2. I/We shall review, upon receipt, all transfers of funds made into the aforesaid bank account. In case fund payment is not received in my/our account on the scheduled date then I/We will notify the same to ACPL within two days, failing which it shall be assumed that the funds have been credited to my account and ACPL shall not be liable in any manner thereafter for the same.
3. If the transaction is delayed or not effected at all for incomplete or incorrect information provided by me/us, I/we would not hold ACPL responsible and hereby indemnify ACPL from any losses or damages there from.
4. All charges levied by Bank for the said facility shall be borne by me/us.

Thanking you,
 Yours faithfully,


 SIGNATURE OF THE CLIENT

BANK VERIFICATION LETTER**VOLUNTARY**

To,
ACHIEVERS COMMERCIAL PRIVATE LTD
 32/A, Diamond Harbour Road, Sakherbazar, Kolkata - 700008

D	D	M	M	Y	Y	Y	Y

THIS IS TO CERTIFY THAT Mr. / Ms. / M/s. (Name of the Client) _____
 resident of (full address) _____
 _____, is holding a Savings/Current Bank Account No. _____
 jointly with _____ and _____ at _____
 with our _____ branch since _____. His / her /
 their specimen signature(s) and photograph(s) as per the specimen recorded with us is being attested below :

Name of the First Holder _____

Signature _____

Name of the Second Holder _____

Signature _____

Name of the Third Holder _____

Signature _____

Signed in my presence and attested
Name of Branch Manager
Employee Code of Branch Manager
Signature of Branch Manager
Stamp of the Bank

LETTER IN CASE TRADING ACCOUNT IS TO BE MAPPED WITH JOINT BANK ACCOUNT**VOLUNTARY**

To,
ACHIEVERS COMMERCIAL PRIVATE LTD
 32/A, Diamond Harbour Road, Sakherbazar, Kolkata - 700008

D	D	M	M	Y	Y	Y	Y

This is with reference to application for opening of trading account / the trading account code _____ opened by Mr. /Ms. _____ with ACPL

The bank account no. with (name of bank) proposed to be linked to the abovementioned trading account is in the joint names of _____ as the first holder, _____ as second holder and _____ as third holder.

We hereby confirm that we have no objection with regard to mapping the said bank account with the above mentioned trading account. We also confirm that we are aware that transfer of funds shall be effected to and from the above mentioned bank account and that we do not have any objection to transfer of such funds, towards transaction in the said trading account.

Yours Faithfully,



1st Holder Signature



2nd Holder Signature



3rd Holder Signature

Note: Please enclose self attested signature proof of all the account holders.

AUTHORITY TO PLACE INSTRUCTIONS WITH ACPL ON MY/OUR BEHALF

VOLUNTARY

To,
ACHIEVERS COMMERCIAL PRIVATE LTD
 32/A, Diamond Harbour Road, Sakherbazar, Kolkata - 700008

D	D	M	M	Y	Y	Y	Y

I/We hereby authorize the following persons whose specimen signatures are appended hereunder to give instructions/ place orders with ACPL for buying and selling on Spot Market Segment / Commodity Futures Segment on any exchanges on my/our behalf.

SL. NO.	NAME	MOBILE NUMBER	SPECIMEN SIGNATURE

Amit Sarkar
 SIGNATURE OF THE CLIENT

AUTHORITY TO SIGN, EXECUTE AND OR / ACKNOWLEDGE ANY DOCUMENT

VOLUNTARY

To,
ACHIEVERS COMMERCIAL PRIVATE LTD
 32/A, Diamond Harbour Road, Sakherbazar, Kolkata - 700008

D	D	M	M	Y	Y	Y	Y

I/We hereby authorize the following persons whose specimen signatures are appended hereunder to sign, execute and / or acknowledge any document including Contract Notes, Statement of Margins and Statement of Accounts etc on my/our behalf.

SL. NO.	NAME	MOBILE NUMBER	SPECIMEN SIGNATURE

Amit Sarkar
 SIGNATURE OF THE CLIENT

WAIVER OF DISCLAIMER CLAUSE FOR TECHNICAL CALLS

VOLUNTARY

To,
ACHIEVERS COMMERCIAL PRIVATE LTD
 32/A, Diamond Harbour Road, Sakherbazar, Kolkata - 700008

D	D	M	M	Y	Y	Y	Y

Dear Sir / Madam,

Sub: Request for sending technical calls by SMS to my mobile no. 9830000009 /
 by email to my/our email id amitsarkar@gmail.com

I/We have registered as a client of Achievers Commercial Private Ltd with the aforesaid client code. I/We hereby request you to send me/us the technical calls through SMS on my mobile number mentioned above or by email on my designated email id. I/We have noted that incorporation of the standard disclaimer clause by ACPL will obstruct/delay the smooth transmission of the SMS message content / email and also put me/us into inconvenience. Accordingly, I/We hereby request you not to include any disclaimer clause and I/We declare that I/We will be bound by the said clause, which I/We have duly taken note of. I/We hereby agree and voluntarily waive the inclusion of the disclaimer (text mentioned overleaf) and I/We shall treat the same as part and parcel of the SMS message / email to be sent by you without such disclaimer at my/our request.

Thanking You,
 Yours Faithfully,

Amit Sarkar
 SIGNATURE OF THE CLIENT

1. Short-term trading on the basis of technical calls is a high risk and skill oriented venture and may result in huge losses also. Traders doing so are doing at their own risk. We are not responsible for any damages. For any buy/sell position, specific stop loss should be maintained.
2. Mostly it is not advisable to buy/sell a commodities if it touches the target price first and then comes within recommended range of buy/sell.
3. Try to book partial profit at the first target & hold remaining position for the second target.
4. For Short term call stop loss is maintained on closing basis.

DISCLAIMER

This communication is for the personal information of the authorised recipient and is provided for assistance only, and is not intended to be, and must not be taken as the basis for an investment decision or considered as an investment or financial advice nor should this communication be construed as an advice to buy or sell or as a solicitation to buy or sell the commodities if any referred to herein. The intent of this communication is not recommendatory in nature. This communication has been prepared for the general use of the clients of the Achievers Commercial Private Ltd (ACPL) and must not be copied, either in whole or in part, or distributed or redistributed to any other person in any form. If you are not the intended recipient you must not use or disclose this communication in any way. Though disseminated to all the customers simultaneously, not all customers may receive this report at the same time. ACPL will not treat recipients as customers by virtue of their receiving this report. Neither this communication nor any copy of it may be taken or transmitted into the United States (to US Persons), Canada or Japan or distributed, directly or indirectly, in the United States or Canada or distributed, or redistributed in Japan to any residents thereof. The distribution of this communication in other jurisdictions may be restricted by applicable law in the relevant jurisdictions and persons into whose possession this document comes should inform themselves about and observe any such restrictions. In preparing this communication, the investment objectives, financial situation and particular needs of the recipient have not taken into account. This communication is based upon information obtained from sources believed to be reliable, but we do not make any representation or warranty that it is accurate, complete or up to date and it should not be relied upon as such. It is may be noted that none of the research analysts or any of the employees of ACPL are associated in preparation of this communication. This communication is based upon information obtained from sources believed to be reliable, but we do not make any representation or warranty that it is accurate, complete or up to date and it should not be relied upon as such. Neither ACPL nor its directors, employees, agents, representatives or any of its affiliates shall be liable for any damages whether direct or indirect, incidental, special or consequential including lost revenue or lost profits that may arise from or in connection with the use of the information contained in this report. The recipients of this communication should rely on their own investigations. This information is subject to change without any prior notice. ACPL reserves at its absolute discretion the right to make or refrain from making modifications and alterations to this statement from time to time. Before making an investment decision on the basis of this communication, the recipient needs to consider, with or without the assistance of an adviser, whether the advice is appropriate in light of their particular investment needs, objectives and financial circumstances. There are risks involved in commodities trading. The price of commodities can and does fluctuate, and an individual security may even become valueless. International investors are reminded of the additional risks inherent in international investments, such as currency fluctuations and international commodities market or economic conditions, which may adversely affect the value of the investment.

LETTER OF AUTHORITY

VOLUNTARY

To,
ACHIEVERS COMMERCIAL PRIVATE LTD
 32/A, Diamond Harbour Road, Sakherbazar, Kolkata - 700008

D	D	M	M	Y	Y	Y	Y

Dear Sir / Madam,

I/We hereby irrevocably confirm & agree that the Achievers Commercial Private Ltd. shall be entitled to set-off and adjust the moneys and/or securities/commodities owned of Achievers Equities Ltd/Achievers Commercial Private Ltd or any other group company by the following persons (family/associate accounts) against moneys and/or securities/commodities owned to me/us by ACPL.

SL. NO.	NAME	RELATIONSHIP	CLIENT CODE (FOR OFFICE USE ONLY)

In order to facilitate operations I/we hereby authorize you to set off the outstanding in any of the above mentioned accounts against credits available or arising in any of the above accounts irrespective of the fact that such credits in the accounts may pertain to transactions in any segment of any Exchange and / or against the value of cash margin or collateral shares provided to you by any member(s) of the family.

I/We agree to intimate you from time to time of any additions or deletions of clients to the said family. I/We agree that any deletion shall take effect only on completion of settlement and adjustments of balances in all the accounts of the clients belonging to the said list.

In order to facilitate operations, I/we authorize the Member to maintain a running account instead of settlement to settlement clearance of dues or delivery of securities/commodities to me/us.

Thanking you,
 Yours faithfully,


 SIGNATURE OF THE CLIENT

CLIENT APPROVAL FOR AMOUNT DEDUCTION

VOLUNTARY

To,
ACHIEVERS COMMERCIAL PRIVATE LTD
 32/A, Diamond Harbour Road, Sakherbazar, Kolkata - 700008

D	D	M	M	Y	Y	Y	Y

NAME	AMIT SARKAR
ADDRESS	32/A DIAMOND HARBOUR ROAD, SAKHER BAZAR, KOLKATA - 700008
CONTACT No.	9830000009
EMAIL	AMITSARKAR@GMAIL.COM
BANK NAME	AXIS BANK LTD.
BRANCH NAME	B.B.D BAGC
AMOUNT IN FIGURES	20,000/-
AMOUNT IN WORDS	20 TWENTY THOUSAND ONLY
MICR / IFSC CODE	56022001 / UTIB0001138
CHEQUE NO.	002001

I/We Amit Sarkar permitting you to deduct the Account opening charge amounting Rs. from the given margin cheque (as mentioned above), and the residual figure to be treated as margin amount.

[] I/We permitting you to deduct the VAS plan charge amounting Rs. from the given margin cheque (as mentioned above), and the residual figure to be treated as margin amount.

[] I/We permitting you to deduct the Life Time offer charge amounting Rs. from the given margin cheque (as mentioned above), and the residual figure to be treated as margin amount.

[PLEASE TICK THE RELEVANT BOXES & STRIKE OFF WHICHEVER IS NOT APPLICABLE]

Place : _____

Amit Sarkar
 SIGNATURE OF THE CLIENT

ACKNOWLEDGEMENT

From,

D	D	M	M	Y	Y	Y	Y

To

ACHIEVERS COMMERCIAL PRIVATE LTD

32/A, Diamond Harbour Road, Sakherbazar, Kolkata - 700008

Dear Sir / Madam,

Ref. : Acknowledgement for the receipt of documents

This is to acknowledge the receipt of a copy of the following documents viz.,

- A copy of Know Your Client (KYC) Application Form, duly executed
- Instruction / Checklist
- Uniform Risk Disclosure Document
- Rights & Obligations of Members, Authorised Person & Clients
- Do's & Donts for the Investors
- Tariff Sheet
- A copy of other documents in Voluntary Section executed by me as a Client.

Thanking You,

Yours Faithfully,


 SIGNATURE OF THE CLIENT

A-39

ACKNOWLEDGEMENT RECEIPT

From

ACHIEVERS COMMERCIAL PRIVATE LTD

32/A, Diamond Harbour Road, Sakherbazar, Kolkata - 700008

D	D	M	M	Y	Y	Y	Y

Received the application from Mr. / Ms. _____ as the sole / first holder alongwith _____ and _____ as the second and third holder respectively for opening a account. Your Unique Client Code will be intimated to you shortly. Please quote the Unique Client Code allotted to you in all your future correspondence.

EMPLOYEE NAME

EMPLOYEE CODE

SIGNATURE OF THE AUTHORISED SIGNATORY