

INDEX

19	CLIENT APPROVAL FOR AMOUNT DEDUCTION	A-24
CDSL - DEMAT ACCOUNTS DOCUMENTS		
1	APPLICATION FORM FOR OPENING A DEMAT ACCOUNT - CDSL	A-26
2	ADDITIONAL DETAILS FOR OPENING A DEMAT ACCOUNT - CDSL	A-27
3	ANNEXURE 2.4	A-29
4	ANNEXURE 2.6	A-31
5	ANNEXURE 17.9a	A-33
6	ANNEXURE 17.9b	A-34
7	ANNEXURE 17.9c	A-35
8	POWER OF ATTORNEY - EQUITY ACCOUNT	A-37
9	POWER OF ATTORNEY	A-40
10	CHARGES FOR DEPOSITORY SERVICES	A-42
11	DECLARATION BY HUF	A-44
12	CONSENT LETTER FOR E-STATEMENT	A-45
	ACKNOWLEDGEMENT FOR THE RECEIPTS OF DOCUMENTS	A-46
	ACKNOWLEDGEMENT FOR THE RECEIPTS OF DOCUMENTS	A-47
	VERNACULAR DECLARATION	A-48

To,
ACHIEVERS EQUITIES LTD
 32/A, Diamond Harbour Road, Sakherbazar, Kolkata - 700008

D	D	M	M	Y	Y	Y	Y	Y	Y

Dear Sir / Madam,

I/We would request you to register me/us as your Client.

I/We would request you to update my KYC informations.

In this regard I/we furnished herewith the required details & documents.

Amit Sarkar
SIGNATURE OF THE CLIENT

DISCLOSURE INFORMATION OF PROPRIETARY TRADING

To increase transparency in the dealings between us as a TRADING MEMBER and you as a CLIENT we do hereby disclose that we are not engaged in PROPRIETARY BASED TRADING (self account) in ANY SEGMENTS of ANY EXCHANGES in which we are members. You are requested to please take a note of the same.

PLEASE FILL THIS FORM IN ENGLISH IN BLOCK LETTER AND IN BLACK INK ONLY

PART II - TRADING ACCOUNT RELATED DETAILS

FOR INDIVIDUALS & NON-INDIVIDUALS

BANK ACCOUNT(S) DETAILS			
	First Account (Default)	Second Account	Third Account
Bank Name	AXIS BANK LTD		
Branch Name	B. B. D BAGH		
Branch Address	64A, HEMANTA BASU SARANI, KOLKATA - 01		
Bank Account No.	911020059416426		
Account Type <small>Saving/Current / Others - In case of NRI/NRE/NRO</small>	SAVING		
MICR Number	560220001		
IFSC Code	UTIB00001138		

IF 2ND & 3RD ARE SAME AS 1ST

- i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
- (ii) Photocopy of the Bank Statement having name and address of the BO (or)
- (iii) Photocopy of the Passbook having name and address of the BO, (or)
- (iv) Letter from the Bank.
 - In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document.

DEPOSITORY ACCOUNT(S) DETAILS (OFFICE USE ONLY)			
DP Name			
Depository Name NSDL / CDSL			
DP ID			
Beneficiary ID (BO ID)			
Beneficiary Name 1			
Beneficiary Name 2			
Beneficiary Name 3			

DO NOT FILL UP THIS PORTION

TRADING PREFERENCES

*Please sign in the relevant segment where you wish to trade. The segment not chosen should be struck off by the client.

NSE-CM  SIGNATURE OF THE CLIENT
NSE-F&O  SIGNATURE OF THE CLIENT
NSE-CDS  SIGNATURE OF THE CLIENT

BSE-CM  SIGNATURE OF THE CLIENT
BSE-F&O  SIGNATURE OF THE CLIENT
BSE-CDS  SIGNATURE OF THE CLIENT

MCX-SX
CM  SIGNATURE OF THE CLIENT
MCX-SX
F&O  SIGNATURE OF THE CLIENT
MCX-SX
CDS  SIGNATURE OF THE CLIENT

NSE-IBT  SIGNATURE OF THE CLIENT
BSE-IBT  SIGNATURE OF THE CLIENT
MCX-SX
IBT  SIGNATURE OF THE CLIENT

NSE-MF  SIGNATURE OF THE CLIENT
BSE-MF  SIGNATURE OF THE CLIENT

DSE  SIGNATURE OF THE CLIENT
USE-CDS  SIGNATURE OF THE CLIENT

If, in future, the client wants to trade on any new segment/new exchange, separate authorization/letter should be taken from the client by the stock broker.

PAST ACTIONS

Details of any action/proceedings initiated/pending/taken by SEBI/Stock exchange/any other authority against the applicant/constituent or its Partners/promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years: _____

DEALING THROUGH SUB-BROKERS & OTHER STOCK BROKERS

If client is dealing through the sub-broker, provide the following details:

Sub-broker's Name		
SEBI Registration number		
Registered office address		
Phone	Fax	Signature of Sub-Broker (optional)
Website		
Email ID		

Whether dealing with any other stock broker/sub-broker*(if case dealing with multiple stock brokers/sub-brokers, provide details of all)*

Name of stock broker	Name of Sub-Broker, if any
Client Code	Exchange
Details of disputes/dues pending from/to such stock broker/sub- broker	

ADDITIONAL DETAILS

Whether you wish to receive Physical Contract Note or Electronic Contract Note (ECN) (please specify) : _____

Specify your Email id, if applicable: amitsarkar@gmail.com

Whether you wish to avail of the facility of internet trading/wireless technology (please specify) : _____

Number of years of Investment/Trading Experience _____

Any other information _____

INTRODUCER DETAILS

Name of the Introducer (Surname - Name - Middle Name) _____

Status of the Introducer Sub-broker Remisier Authorized Person Employee
 Existing Client Others, please specify.....

Address of the Introducer _____

Phone No. of the Introducer	Signature of the Introducer
Mobile No. of the Introducer	

DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.

D	D	M	M	Y	Y	Y	Y

--

PLACE

Amit Sarkar

 SIGNATURE OF CLIENT / (All) AUTHORIZED SIGNATORY (ies)

FOR OFFICE USE ONLY

UCC Code allotted to the Client		A/c. Code uploaded by :	
	DOCUMENTS VERIFIED WITH ORIGINALS	CLIENT INTERVIEWED BY	
Name of the Employee / AP			
Employee / AP Code			
Designation			
Date			
Signature			

We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. We have also given / sent him a copy of 'Rights and Obligations' document (s), Risk Disclosure Document (RDD), Guidance Note and made him aware of the contents therein. We have given/sent him a copy of all the KYC documents. We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. We also undertake that any change in the 'Rights and Obligations' and Risk Disclosure Document (RDD) would be made available on our website for the information of the clients.

D	D	M	M	Y	Y	Y	Y

 SEAL / STAMP OF THE STOCK BROKER
 SIGNATURE OF THE AUTHORISED SIGNATORY

A) Refusal of orders for penny stocks:

Penny stocks are those stocks which are not very liquid in nature / which are very thinly traded in the Exchanges and trade at a relatively low price and with low market capitalization. These types of stocks are generally considered to be highly speculative and of high risk because of their lack of liquidity, large bid-ask spreads, small capitalization and limited following and disclosure. As per company policy, "Penny Stocks" will include those scripts which appear in the list of illiquid securities issued by the Exchanges every month. The list is hosted in our website. The company shall have the absolute discretion to accept, refuse or partially accept any buy or sell orders for execution. The trades done in such stocks will be monitored. If any client is found to be indulging in trading in such stocks in high volume (as a % to the total market volume), the Broker may require client to submit adequate clarification. As per its due diligence measures, if found improper, (i.e. If found that the trading is being done with an intention to manipulate market price or create false volume in the market) the Broker will disallow the client from trading in those scripts.

B) Setting up client's exposure limit:

In Cash segment, we may provide a exposure limit to a client which would be a multiple of the clear ledger balance in the account of the client plus value of paid up collaterals computed after appropriate haircut. The value of the "multiple" and the "haircut" shall be decided by the company based on Market Volatility and quality of collaterals.

It is not compulsory to collect upfront margin from clients for Cash segment. Generally the client is allowed to trade upto certain limit, at our discretion, depending on various factors like financial credibility of the client, request from sub broker regarding setting client's exposure limit etc.

In Futures & Options segment, exposure limit of each client is set, based on Margin money given by the client, as per the Exchange Regulations. Upfront margin is collected from client.

Achievers Equities Ltd shall have the prerogative to allow differential purchase limits and sell limits varying from client to client, depending upon credit worthiness, integrity and past conduct of each client.

C) Applicable Brokerage Rate:

The Trading Member is entitled to charge brokerage within the limits imposed by exchange which at present is as under:—

a. For Cash Market Segment:

The maximum brokerage chargeable in relation to trades effected in the securities admitted to dealing on the Capital Market Segment of the Exchange shall be 2.5% of the contract price exclusive of statutory levies.

b. For Option contracts:

Brokerage for option contracts shall be charged on the premium amount at which the option contract was bought or sold and not on the strike price of the option contract. It is hereby further clarified that brokerage on the options contracts shall not exceed

2.5% of the premium amount or ₹ 100/- (per lot), whichever is higher exclusive of statutory levies.

c. For Future contracts:

Brokerage for future contracts shall be charged on the value at which the securities are bought or sold. It is hereby further clarified that brokerage on the future contracts shall not exceed 2.5% of the Contract value exclusive of statutory levies.

Service Tax on brokerage value will be levied in addition to brokerage as applicable. Securities Transactions Tax is levied on Transaction value as prescribed by Government.

The Client is charged brokerage as per the scheme selected by him. The same is subject to change at the mutual understanding of the parties.

d) Imposition of Delay payment charge:

As per the Regulation, the client should pay his pay-in obligation of funds on or before one day from the Exchange Pay in day.

As per our policy, in capital market segment, if the client fails to provide clear balance in our designated bank a/c towards his dues within T+2 day, a Delay payment charge not exceeding 24% p.a. on the dues will be levied on the client.

In the F&O segment, if the client fails to provide clear balance in our designated bank a/c towards his dues within T+1 day, a delay payment charge not exceeding 24% on the dues will be levied.

AEL may impose fines / penalties for any orders / trades / deals / actions of the client which are contrary to this agreement / rules / regulations / bye laws of the exchange or any other law for the time being in force, at such rates and in such form as it may deem fit. Further where AEL has to pay fine or bear any punishment from any authority in connection with / as a consequence of / in relation to any of the orders / trades / deals / actions of the client, the same shall be borne by the client.

Hence the client is advised to make timely payment. Apart from imposition of charges we may not allow the client to take further Buy position.

Interest Free Deposits:

AEL shall not pay any interest or other benefit to the client for maintaining cash balances or depositing collateral margins with the company.

E) The right to sell clients' securities or close clients' positions, without giving notice to the client, on account of non-payment of client's dues:

AEL shall have right to sell client's securities, both unpaid securities as well as collaterals deposited towards margins, or close out client's open positions, without giving notice to the client where there is a delay/ failure of the client to meet the pay-in obligations and / or there is a failure of the client to bring additional margins to cover the increase in risk in the dynamic market conditions.

a. Unpaid Securities in Capital Market :

i. In case of unpaid obligation on T+5, AEL may sell the unpaid/ partially paid securities. In addition AEL may sell the collaterals deposited by the client towards margins and/ or paid securities

purchased by the client in earlier settlements where the sale of proceeds of unpaid securities are inadequate to cover the pay-in obligations and/ where the unpaid securities appear to be comparatively illiquid and cannot be sold at reasonable rates to the extent required.

- ii. AEL may follow the LIFO method for liquidation of securities but it may not binding on it to follow this method in all cases.
- b. The margin shortfall in F&O:
 - i. Positions of the client may be closed out to the extent of margin shortfall on the T + 1 basis.
 - ii. While computing margin shortfall, value of unapproved securities shall not be considered.
 - iii. As per the current Exchange requirements, the Member Broker is required to maintain a 50:50 ratio between cash and collaterals margin deposited with the Exchange. AEL shall therefore have the prerogative to insist for at least 50% of margin in cash and may not consider the value of securities over and above the cash component for the purpose of calculating margins shortfall and close the F&O position where it finds the deviation. However, sales made in capital market segment are not considered while closing F&O positions on T + 1 basis due to margin shortfall.
- c. Intra-day Positions:

AEL shall have right to close out any intra-day positions taken by the client after a defined "Cut-off" time (Presently 15 minutes before close of market).
- d. General:
 - i. While selling the securities/ closing the clients positions, AEL may take into account the sales made by the client, positions closed by the client or collections received from the client till a cut-off time (presently 10.45am).
 - ii. While selling the securities/ closing the clients positions, AEL may not take into consideration Cheques/Bank drafts/Pay orders deposited by the client with AEL until clear proceeds of such instruments are received by AEL in its bank account.
 - iii. AEL shall have the right to sell client's securities or close out client's open positions but it shall not be under any obligations to undertake this exercise compulsorily. AEL shall therefore not be under any obligation to compensate/ or provide reasons of any delay or omission on its part to sell client's securities or close open positions of the client.

F) Shortages in obligations arising out of internal netting of trades:

In case of shortages in obligations arising out of internal netting of trades we follow the same policy as followed by Exchange. Short shares are bought in the market at market rate in the defaulter client code. Shares are delivered to the Buyer client on payout day. The ledger of the client who failed to deliver shares is debited for such market purchase.

G) Conditions under which a client may not be allowed to take further position:

Under the following conditions a client may not be allowed to take further position,

1. The client has a continuous due / debit balance - Such clients are allowed to close out his open position but is not allowed to take

any new position.

2. The client has not able to meet his pay-in obligation in cash by the schedule date of pay-in
3. The client has not met Market to Market loss in cash.
4. The "open" positions in a contract exceed or are close to market wide cut-off limits.
5. The client's position is close to client-wise permissible "open" positions
6. The client had defaulted in meeting cash or securities obligation leading to compulsory close out of the position.
7. If the exchange is not allowing any further position in that script.
8. If brokers limit of taking exposure in that particular script comes to and end.
9. Based on happening of the event company has the risk perception that further trading in the securities/contracts may not be interest of its clients and/or the market.
10. If the margin deposited by the client is not according to the exposure of the client.

H) Temporary suspending or closing a client's account at client's request AEL may carry a periodic review of the client accounts and may suspend the accounts from Trading in the following circumstances:

1. Where the client is inactive for more than 6 months
2. Where the client has not cleared the naked or uncovered debits which are more than 7 days' old.
3. Where the account is under investigation by any regulatory body .
4. Based on the recommendations made by the Branch Manager due to excessive speculations, un-cleared balances.
5. Physical documents are received back undelivered due to reasons like "no such person", "addressee" left, refusal to accept mails.
6. Non submission of updated KYC and / or non updation of communications details viz., email id, Mobile no, Land line details or it is found to be belonging to a third person.
7. Client lodges a compliant either directly with company or through Exchange relating alleged unauthorized Trades being executed in the account.
8. On notices received from statutory, Government or Local authorities and Income Tax, Service Tax, a Judicial or a Quasi Judicial authority, etc
9. Upon the death, winding up, bankruptcy, liquidation or lack of legal capacity of the client.

AEL may also suspend the account based on the written request received from the client.

I) De-registering a client

AEL may de-register the client account based on action taken by SEBI/NSE/BSE/MCX-SX/USE/DSE/ Any other Regulatory Authority or being part of list of debarred entities published by SEBI.

1. The company may also initiate action for deregistering a client on basis of information found in sites of CIBIL, Watch out investors, world check or client having suspicious back ground, link with suspicious organization, etc.,

2. The company shall have right to close out the existing positions, sell the collaterals to recover its dues, if any, before de-registering the client.
3. On the Death/lunacy or other disability of the Client.
4. If the Client has made any material misrepresentation of facts, including (without limitation) in relation to the security.
5. If the Client is in breach of any term, condition or covenant of this Agreement.
6. If the client forms a part of the list of debarred entities published by SEBI or <http://www.un.org/sc/committees/1267/consolist> and / or any action is taken by NSE/BSE/MCX-SX/USE/DSE / SEBI on the client.
7. If the client is suspended from trading by any Regulatory authorities

I/We have fully understood the same and do hereby sign the same and agree not to call into question the validity, enforceability and applicability of any provision / clauses in this document under any circumstances whatsoever. These Policies and Procedures may be amended / changed unilaterally by the broker, provided the change is informed to me/us through any one or more means/ methods. I/We agree never to challenge the same on any grounds including delayed receipt / non- receipt or any other reason whatsoever. These Policies and Procedures shall always be read along with the agreement and shall be compulsorily referred to while deciding any dispute / difference or claim between me/us and AEL before any court of law / judicial / adjudicating authority including arbitrator / mediator, etc.

Client Acceptance of Policies and Procedures stated hereinabove:

Amit Sarkar
SIGNATURE OF THE CLIENT

TARIFF SHEET / BROKERAGE SLAB

NORMAL BROKERAGE

MVP BROKERAGE (if MVP selected attach separate sheet duly signed)

SEGMENT	DELIVERY		MINIMUM (PAISA)		SQUARE OFF		MINIMUM (PAISA)	
	ONLINE	OFFLINE	ONLINE	OFFLINE	ONLINE	OFFLINE	ONLINE	OFFLINE
Cash								
Futures								
Options								
Currency Futures								
Currency Options								

- **There will be minimum Contract Brokerage of Rs.20/- to be charged.**

Example : Mr. A, a client of Achievers Equities Ltd has to pay minimum aggregate contract charges, including brokerage and excluding statutory charges, would be Rs. 20/-. For an NSE rolling settlement bill (say, NSE 2013004), trades done would result in a brokerage of Rs. 8. In this case, the client would be charged an additional Rs. 12 as "minimum contract charges". If for a given settlement bill, trade done by the client results in brokerage of Rs. 22, the client would not be charged any "minimum contract charges".

- **The account fees for opening of trading account is Rs.750/-** A self signed cheque should be issued by first holder only in the name of Achievers Equities Ltd for Rs.750/- as the account opening charges. These Charges are REFUNDABLE subject to generation of 1500/- brokerage on company's part within 45 days from the date of activation. These refundable scheme is for Normal Brokerage Plan only.
- **Cash on Spot Brokerage Schedule : 1.00%**

The minimum value of the trade that would be accepted would be Rs.500/-. The maximum amount of trade that a customer can execute in any settlement under Spot Segment is Rs.10,00,000/-. If the trading day is a bank holiday, then the pay-out will be credited on the next bank working day. Service Tax will be charged additional as per the applicable rate.

(The above rates are exclusive of STT, Service Tax, Stamp Duty, SEBI Fee, Transaction Charges & other charges, if any, which will be separately charged as per applicable rates from time to time.)
(Please refer to Policy & Procedures - Clause C)

A-10

Amit Sarkar
SIGNATURE OF THE CLIENT

INFORMATION TO BE PROVIDED BY THE CLIENT IN RELATION TO THE PMLA, 2002

Name of the Client : AMIT SARKAR Client Code : _____

If Business / Profession : Nature of Business : _____

Industry : _____

Details of my/our Relatives, having account with AEL

Name	Relationship	UCC (Client Code)
1.		
2.		

Details of the Corporate/Partnership Firm/Trust etc. where I/We am/are affiliated

Name	Entity Type	Nature of business	Relationship	UCC (Client Code)
1.				
2.				
3.				
4.				

I/We agree to submit every year any one of following documents to AEL :

- | | |
|--|-------------------------------------|
| 1. Profit & Loss Account and Balance Sheet | 2. Form No. 16 (Salary Certificate) |
| 3. Self attested copy of Income Tax Return | 4. Networth Certificate |
| 5. Demat Transaction Statement & Bank Statement (for 6 months) | |

I/We confirm that I/We will immediately inform AEL in case I/We am/are convicted under any grounds or any action is taken against me/us by any authority(ies).

- I/We hereby declare that I/We do not fall under the Clients of Special Category as defined in Prevention of Money Laundering Act, 2002, or
- I/We declare that I/We fall under Clients of Special Category as defined in Prevention of Money Laundering Act, 2002 (choose the relevant category as under) :
- Non resident Client
 - Politically Exposed Persons
 - Non face to face client
 - Companies having close family shareholdings or beneficial ownership
 - Trusts, Charities, Non- Governmental Organisations (NGOs) and organizations receiving donations
 - Clients in high risk countries where existence / effectiveness of money laundering controls is suspect etc.
 - High Net-worth Clients
 - Companies offering foreign exchange offerings
 - Clients with dubious reputation as per public information available etc.

I/We intend to invest in the stock market with : Own Funds [] Borrowed Funds

(If Borrowed Funds, then please specify below Sources of Funds):

Sources of Borrowed Funds (if any)	Amount (₹)

I/We hereby declare that I/We am/are beneficial owner of the Trading/Online Account opened with AEL

Amit Sarkar
SIGNATURE OF THE CLIENT

FOR OFFICE USE ONLY

RISK CATEGORY : HIGH MEDIUM LOW

VOLUNTARY

A-12

To,
ACHIEVERS EQUITIES LTD

D	D	M	M	Y	Y	Y	Y

32/A, Diamond Harbour Road, Sakherbazar, Kolkata - 700008

Dear Sir / Madam,

I/We as the Client of Achiivers Equities Ltd for trading in Capital Market and/or Futures & Options and/or Currency Derivatives Segment of NSE / BSE / MCX-SX / USE / DSE do hereby authorize AEL (Member: NSE, BSE, MCX-SX, USE, DSE) for the following:

1. In order to facilitate my/our smooth trading operations, to maintain a running account, instead of settlement to settlement clearance of funds / securities due to me/us. In this regard, I/we have been informed about the SEBI circular no. MIRSD/SE/Cir-19/2009 dated December 3, 2009. I/we have read and understood the same. I/we hereby authorize AEL to maintain a running account in all segments across the exchanges (NSE/BSE/MCX-SX/USE/DSE)
2. The payout of funds/securities may be retained by AEL and no interest shall be payable by AEL on such retention. I/we further agree that AEL shall not be liable for any claim of loss or profit or for any consequential, incidental, special or exemplary damages, caused by retention of such securities / funds under this agreement or otherwise.
3. I/we may be trading in derivatives segments and/or cash segments of NSE and/or BSE and/or MCX-SX and/or USE and/or DSE and hence have obligations under various accounts with AEL. In this regard I/we hereby authorize AEL to act at its discretion of adjusting any credit balance under my/our various accounts against the debit in any account across segments/exchanges, without taking any further instruction from me/us. I/we also agree/understand that there shall be no inter-client adjustment for the purpose of settlement of running account.
4. I/We hereby further authorize AEL to hold and retain the said funds / securities until a request for the same is made by me/us, subject to the condition that sufficient margin in respect of my/our continued trading, across the exchange and / or across the segments of the exchanges are available with AEL. I/we further authorize AEL to keep my/our shares purchased through it, in its margin account and to deliver and/or adjust said shares against our sales in subsequent settlements i.e. inter transferring the shares from one settlement to another settlement in various segments across Exchanges, unless AEL receives any verbal or written instruction from me/us to deliver the same to my/our Depository Account. Similarly, I/we authorize AEL to issue a cheque against our fund pay out only after getting an instruction from me/us. Otherwise the same will remain with AEL against my/our margin obligation towards Capital Market Segment and / or Futures & Options Segment and/or Currency Derivatives Segments.
5. I/we authorize AEL to set off a part or whole of the margin deposited by me/us against any of my/our dues, by appropriating relevant amount of funds or by sale of securities which form part of margin.
6. The instructions / authorizations made by me/us as above are revocable and can be revoked/withdrawn at any time by giving 7 days notice in writing.
7. I/we agree that (a) in respect of derivatives market transactions, AEL may retain the requisite securities/ funds on settlement date to take care of any margin obligation arising in next 5 days, calculated in the manner specified by the exchange, (b) in respect of cash market transactions, AEL may retain entire pay-in obligations of funds & securities due from me/us as on the date of settlement and for next day's business, AEL may retain funds/securities/margin to the extent of value of transactions executed on the day of such settlement.
8. I/we hereby authorize AEL to pledge my/our Securities / Funds (Collaterals) as margin with the Clearing Corporation(s) of the Exchange(s) towards my/our margin / exposure requirements.
9. I/we authorize AEL to transfer the funds / securities lying in my/our credit within one working day of the request if the same are lying with AEL and within three working days from the request if the same are lying with the Clearing Corporation(s) of the Exchange(s).
10. I/we agree that fund given towards collaterals / margins in form of Bank Guarantee (BG) / Fixed Deposit Receipts (FDR's) may not be periodically settled.
11. I/we hereby authorize achiivers Equities Ltd (AEL) to retain upto Rs. 10,000/- (Rupees Ten Thousand) while setting my account periodically.
12. **The actual settlement of funds and securities shall be done by AEL at least once in** **A Calendar Quarter /** **A Calendar Month**
(Please tick whichever is opted). Further I/we confirm that I/we will bring to the notice of AEL any dispute arising from the settlement of account or settlement so made in writing within 7 workings days from the date of receipts of funds / securities or settlement of account or statement related to it, as the case may be at AEL's registered office.

Amit Sarkar

Amit Sarkar
SIGNATURE OF THE CLIENT

To,

ACHIEVERS EQUITIES LTD

32/A, Diamond Harbour Road, Sakherbazar, Kolkata - 700008

D	D	M	M	Y	Y	Y	Y

Dear Sir / Madam,

I/We as a Client of Achievers Equities Ltd for trading in Capital Market and/or Futures & Options and/or Currency Derivatives Segment of NSE / BSE / MCX-SX / USE / DSE hereby authorize AEL (Member: NSE, BSE, MCX-SX, USE, DSE) for the following:

1. I/We hereby authorise AEL not to provide me Order Confirmation / Modification / Cancellation Slips and Trade Confirmation Slips to avoid unnecessary paper work. I/We hereby request AEL to kindly accept my/our mandate holder's verbal orders/instructions in person or over phone and execute the same. I/We shall get the required details from the contract notes issued by AEL. I/We understand the risk associated with placement of verbal orders and accept the same. I/We shall not disown orders under the plea that the same was not placed by me/us.
2. I/We indemnify AEL and undertake to keep AEL indemnified against all losses, damages, actions which AEL may suffer or face, as a consequence of adhering to and carrying out my/our orders placed verbally. In case I/we wish to withdraw this consent I/we shall inform AEL in writing and get the same acknowledged by AEL at least one week in advance from the date of such withdrawal.
3. Trading on all Exchanges is in electronic mode, based on connectivity through various media such as VSAT, Leased line, ISDN, Modem and VPN, as well as through a combination of technologies and computer systems to place and route orders. I/we understand that there exists a possibility of communication failure or system problems or slow or delayed response from systems or a trading halt, or any such other problem/glitch which may result in AEL not being able to establish/provide access to the trading system/network, which may be beyond AEL's control and may result in delays in processing of buy or sell orders either in part or in full. I/we shall be fully liable and responsible for any such problems/fault and shall not make any claims on AEL for the same.
4. I/We agree not to hold AEL liable or responsible for any delay or default in performance of AEL's obligations due to contingencies beyond AEL's control, such as fire, flood, civil commotion, earthquake, riots, war, strikes, failure of systems, failure of internal links, government/regulatory actions or any other contingencies beyond AEL's control.
5. I/We hereby confirm that I/we will never sublet/allow any third party access to the trading terminal provided to me by AEL, nor indulge in/facilitate any unauthorized trading under any circumstances.
6. All fines/penalties and charges levied upon AEL due to my/our acts/deeds or transactions may be recovered by AEL from my/our account.
7. Any queries related to securities delivered by AEL would be brought to the notice of AEL within seven days of the receipt of such securities in my/our Demat Account. Otherwise it can be presumed that I/We have checked all the securities received by me/us and that they are good as per the prevailing norms.
8. I/We hereby undertake to extend all co-operation to AEL in their endeavour towards compliance with Anti-Money Laundering norms, and to not hold AEL legally liable in any manner whatsoever towards the same.
9. I/We understand that information about me/us and my/our transactions may be reported by AEL to FIU/concerned authorities/regulators without any intimation to me/us and have no objection to the same.
10. I/We hereby reconfirm and accept all the terms and conditions mentioned hereinabove.
11. AEL is hereby advised to keep these instructions in force unless specifically informed by me/us in writing.

A-14

Amit Sarkar
SIGNATURE OF THE CLIENT

To,
ACHIEVERS EQUITIES LTD
 32/A, Diamond Harbour Road, Sakherbazar, Kolkata - 700008

D	D	M	M	Y	Y	Y	Y

Dear Sir / Madam,

Sub: CONSENT LETTER FOR RECEIPT OF ECN, DAILY MARGIN STATEMENT & OTHER DIGITAL DOCUMENTS

1. I/We hereby give my/our consent to receive Contract Notes/Trade Confirmation of the Trades executed by me/us, bills and/or Margin Statement and/or Account Statement and/or Notices and/or Circulars and/or amendments and/or such other correspondences and/ or documents in electronic form (Strike out whichever is not opted) with reference to SEBI circular no. MRD/Dop/SE/Cir-20/2005 dated September 08,2005 duly authenticated by means of a digital signature as specified in the Information Technology Act, 2000 and the Rules made there under, to my/our following email id(s):

Email Id : amitsarkar@gmail.com

Alternative Email Id : _____

- I/We hereby agree that Achievers Equities Ltd shall fulfil their legal obligations, if the above documents are sent electronically to the above email id(s). I/We agree that AEL will not be responsible for late /non-receipt of documents sent via electronic delivery due to change of email address / correspondence address as mentioned aforesaid. I/We also agree that AEL shall not take cognizance of out-of-office / out-of-station auto replies. I/We shall be deemed to have received such electronic mails. I/We agree that the log reports of AEL's dispatching software(s) shall be a conclusive proof of dispatch of such documents to me/us and such dispatch shall be deemed to mean receipt by me/us and shall not be disputed by me/us on account of any non-receipt/delayed receipt for any reason whatsoever. I/We am/are also aware that copies of such documents including contract notes are also available on the official website of AEL, for which I/we will be provided with a User ID and Password. In case of non receipt of documents by mail, I/We shall intimate the same to AEL immediately in writing. In case I wish to withdraw this facility, I shall inform AEL in writing at least one week in advance from the date of such withdrawal.
- I/We hereby agree that non-receipt of bounced mail notification by AEL shall amount to delivery of the Contract Notes and other documents at above mentioned email id(s). In case AEL receives bounced mail notification, AEL is obliged to send the Physical Contract Notes and other documents within prescribed time.
- I/We shall ensure that the above mentioned email ID(s) are kept valid and any change in the said email ID(s) shall be communicated to AEL in writing. AEL shall verify the same and confirm to me/us about the change.
- Please note that to get physical copy of contract note client have to give request indicating the period for which client needs the contract note. The charge for the same is ₹ 15/- per page plus ₹ 25/- courier charges.

Thanking you,

Yours faithfully,

Access to the following Research Services, please tick to register for **EMAIL ALERTS**

Fundamental Technical Others

Amit Sarkar
 SIGNATURE OF THE CLIENT

CONSENT LETTER FOR RECEIVING ALERTS, TRADING CALLS, RESEARCH REPORT ETC. ON MOBILE | VOLUNTARY

To,
ACHIEVERS EQUITIES LTD
 32/A, Diamond Harbour Road, Sakherbazar, Kolkata - 700008

D	D	M	M	Y	Y	Y	Y

Dear Sir / Madam,

I/We hereby give my/our consent to give me/us Alerts, Research Calls, Reports, News, Live Updates or any other information on my Email ID given earlier for Electronic Communication and also on my Mobile No. 9 8 3 0 0 0
0 0 0 9.

This shall not be treated as violation of any DND or any other similar rules applicable from time to time. The number may be given to the exchange database also.

Further, I/we undertake to AEL and confirm to use my/our own judgement in taking a view and execute trade in the identified security(s) according to my/our financial strength/capabilities and shall not hold AEL responsible for any loss suffered by me/us on account of executing or omitting to execute any trades in pursuance of such communication and/or investment advises sent by AEL.

I/We further declare that the above mentioned statement is true and correct.

Thanking you,
 Yours faithfully,

SMS Research calls, News and Live Updates,
 please tick to register for **MOBILE ALERT SMS**

Amit Sarkar
 SIGNATURE OF THE CLIENT

AUTHORITY LETTER TO DEBIT THE DEMAT ACCOUNT OPERATING CHARGES | VOLUNTARY

To,
ACHIEVERS EQUITIES LTD
 32/A, Diamond Harbour Road, Sakherbazar, Kolkata - 700008

D	D	M	M	Y	Y	Y	Y

Dear Sir / Madam,

I/We have been dealing through you as my/our broker on Capital Market Segment and/or Futures & Options Segment and/or Currency Derivatives Segment.

As my Broker, I/we authorize you to debit my/our trading account against charges raised by you on account of Depository Services provided by you or by _____ on account of Depository Services provided by them and bill raised by them in this regards. The details of Demat account(s) are as follows.

DEPOSITORY	DEPOSITORY PARTICIPANT ACCOUNT NO.	BENEFICIARY ACCOUNT NO.																
CDSL/NSDL	<table border="1"><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>									<table border="1"><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>								
CDSL/NSDL	<table border="1"><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>									<table border="1"><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>								
CDSL/NSDL	<table border="1"><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>									<table border="1"><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>								

Thanking you,
 Yours faithfully,

Amit Sarkar
 SIGNATURE OF THE CLIENT

ELECTRONIC PAYOUT REQUEST

VOLUNTARY

To,
ACHIEVERS EQUITIES LTD
 32/A, Diamond Harbour Road, Sakherbazar, Kolkata - 700008

D	D	M	M	Y	Y	Y	Y

Dear Sir / Madam,

I/We furnish below the details of my Bank Account to enable the transfer of funds payable to me/us against sale of securities / Credit Balances in my account.

Bank : AXIS BANK	Branch : B.B.D BAGC
Type of Account : <input checked="" type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> Others (Specify)	MICR No.: 560220001
Copy of cheque must be enclosed.	A/c. No. : 911020059416426
	IFSC CODE (RTGS / NEFT) UTIB0001138

Name of Joint Account Holder (if any) : _____

I/We hereby declare that the particulars mentioned above are correct, complete and true and I/we request AEL to transfer the funds against payments due to me into the above mentioned Bank Account until any other instruction is given by me/us. It is also agreed by me/us that the above facility is being provided to me/us by AEL at my request and it can be discontinued/withdrawn by AEL at its discretion at any point of time, as and when required without prior notice.

1. The transfer of funds to my/our bank account will continue to be governed by the terms and conditions as per my declaration to AEL.
2. I/We shall review, upon receipt, all transfers of funds made into the aforesaid bank account. In case fund payment is not received in my/our account on the scheduled date then I/We will notify the same to AEL within two days, failing which it shall be assumed that the funds have been credited to my account and AEL shall not be liable in any manner thereafter for the same.
3. If the transaction is delayed or not effected at all for incomplete or incorrect information provided by me/us, I/we would not hold AEL responsible and hereby indemnify AEL from any losses or damages there from.
4. All charges levied by Bank for the said facility shall be borne by me/us.

Thanking you,
 Yours faithfully,

Amit Sarkar
 SIGNATURE OF THE CLIENT

BANK VERIFICATION LETTER**VOLUNTARY**

To,
ACHIEVERS EQUITIES LTD
 32/A, Diamond Harbour Road, Sakherbazar, Kolkata - 700008

D	D	M	M	Y	Y	Y	Y

THIS IS TO CERTIFY THAT Mr. / Ms. / M/s. (Name of the Client) _____
 resident of (full address) _____
 _____, is holding a Savings/Current Bank Account No. _____
 jointly with _____ and _____ at _____
 with our _____ branch since _____. His / her /
 their specimen signature(s) and photograph(s) as per the specimen recorded with us is being attested below :

Name of the First Holder _____
 Signature _____
 Name of the Second Holder _____
 Signature _____
 Name of the Third Holder _____
 Signature _____

Signed in my presence and attested
Name of Branch Manager
Employee Code of Branch Manager
Signature of Branch Manager
Stamp of the Bank

LETTER IN CASE TRADING ACCOUNT IS TO BE MAPPED WITH JOINT BANK ACCOUNT**VOLUNTARY**

To,
ACHIEVERS EQUITIES LTD
 32/A, Diamond Harbour Road, Sakherbazar, Kolkata - 700008

D	D	M	M	Y	Y	Y	Y

This is with reference to application for opening of trading account / the trading account code _____ opened by Mr.
 /Ms. _____ with AEL
 The bank account no. _____ with _____ (name of
 bank) proposed to be linked to the abovementioned trading account is in the joint names of
 _____ as the first holder, _____ as second holder
 and _____ as third holder.

We hereby confirm that we have no objection with regard to mapping the said bank account with the above mentioned trading account. We also confirm that we are aware that transfer of funds shall be effected to and from the above mentioned bank account and that we do not have any objection to transfer of such funds, towards transaction in the said trading account

Yours Faithfully,



1st Holder Signature



2nd Holder Signature



3rd Holder Signature

Note: Please enclose self attested signature proof of all the account holders.

AUTHORITY TO PLACE INSTRUCTIONS WITH AEL ON MY/OUR BEHALF

VOLUNTARY

To,
ACHIEVERS EQUITIES LTD
 32/A, Diamond Harbour Road, Sakherbazar, Kolkata - 700008

D	D	M	M	Y	Y	Y	Y

I/We hereby authorize the following persons whose specimen signatures are appended hereunder to give instructions/ place orders with AEL for buying and selling of securities and contracts on any exchanges/segments on my/our behalf.

SL. NO	NAME	MOBILE NUMBER	SPECIMEN SIGNATURE

Amit Sarkar
 SIGNATURE OF THE CLIENT

AUTHORITY TO SIGN, EXECUTE AND OR / ACKNOWLEDGE ANY DOCUMENT

VOLUNTARY

To,
ACHIEVERS EQUITIES LTD
 32/A, Diamond Harbour Road, Sakherbazar, Kolkata - 700008

D	D	M	M	Y	Y	Y	Y

I/We hereby authorize the following persons whose specimen signatures are appended hereunder to sign, execute and / or acknowledge any document including Contract Notes, Statement of Margins and Statement of Accounts etc on my/our behalf.

SL. NO	NAME	MOBILE NUMBER	SPECIMEN SIGNATURE

Amit Sarkar
 SIGNATURE OF THE CLIENT

WAIVER OF DISCLAIMER CLAUSE FOR TECHNICAL CALLS

VOLUNTARY

To,
ACHIEVERS EQUITIES LTD
 32/A, Diamond Harbour Road, Sakherbazar, Kolkata - 700008

D	D	M	M	Y	Y	Y	Y

Dear Sir / Madam,

Sub: Request for sending technical calls by SMS to my mobile no. 9830000009
 by email to my/our email id amitsarkar@gmail.com

I/We have registered as a client of Achievers Equities Ltd with the aforesaid client code. I/We hereby request you to send me/us the technical calls through SMS on my mobile number mentioned above or by email on my designated email id. I/We have noted that incorporation of the standard disclaimer clause by AEL will obstruct/delay the smooth transmission of the SMS message content / email and also put me/us into inconvenience. Accordingly, I/We hereby request you not to include any disclaimer clause and I/We declare that I/We will be bound by the said clause, which I/We have duly taken note of. I/We hereby agree and voluntarily waive the inclusion of the disclaimer (text mentioned overleaf) and I/We shall treat the same as part and parcel of the SMS message / email to be sent by you without such disclaimer at my/our request.

Thanking You,
 Yours Faithfully,

Amit Sarkar
 SIGNATURE OF THE CLIENT

1. Short-term trading on the basis of technical calls is a high risk and skill oriented venture and may result in huge losses also. Traders doing so are doing at their own risk. We are not responsible for any damages. For any buy/sell position, specific stop loss should be maintained.
2. Mostly it is not advisable to buy/sell a stock if it touches the target price first and then comes within recommended range of buy/sell.
3. Try to book partial profit at the first target & hold remaining position for the second target.
4. For Short term call stop loss is maintained on closing basis.

DISCLAIMER

This communication is for the personal information of the authorised recipient and is provided for assistance only, and is not intended to be, and must not be taken as the basis for an investment decision or considered as an investment or financial advice nor should this communication be construed as an advice to buy or sell or as a solicitation to buy or sell the securities if any referred to herein. The intent of this communication is not recommendatory in nature. This communication has been prepared for the general use of the clients of the Achievers Equities Ltd (AEL) and must not be copied, either in whole or in part, or distributed or redistributed to any other person in any form. If you are not the intended recipient you must not use or disclose this communication in any way. Though disseminated to all the customers simultaneously, not all customers may receive this report at the same time. AEL will not treat recipients as customers by virtue of their receiving this report. Neither this communication nor any copy of it may be taken or transmitted into the United States (to US Persons), Canada or Japan or distributed, directly or indirectly, in the United States or Canada or distributed, or redistributed in Japan to any residents thereof. The distribution of this communication in other jurisdictions may be restricted by applicable law in the relevant jurisdictions and persons into whose possession this document comes should inform themselves about and observe any such restrictions. In preparing this communication, the investment objectives, financial situation and particular needs of the recipient have not taken into account. This communication is based upon information obtained from sources believed to be reliable, but we do not make any representation or warranty that it is accurate, complete or up to date and it should not be relied upon as such.

It may be noted that none of the research analysts or any of the employees of AEL are associated in preparation of this communication. This communication is based upon information obtained from sources believed to be reliable, but we do not make any representation or warranty that it is accurate, complete or up to date and it should not be relied upon as such. Neither AEL nor its directors, employees, agents, representatives or any of its affiliates shall be liable for any damages whether direct or indirect, incidental, special or consequential including lost revenue or lost profits that may arise from or in connection with the use of the information contained in this report. The recipients of this communication should rely on their own investigations. This information is subject to change without any prior notice. AEL reserves at its absolute discretion the right to make or refrain from making modifications and alterations to this statement from time to time. Before making an investment decision on the basis of this communication, the recipient needs to consider, with or without the assistance of an adviser, whether the advice is appropriate in light of their particular investment needs, objectives and financial circumstances. There are risks involved in securities trading. The price of securities can and does fluctuate, and an individual security may even become valueless. International investors are reminded of the additional risks inherent in international investments, such as currency fluctuations and international stock market or economic conditions, which may adversely affect the value of the investment.

To,
ACHIEVERS EQUITIES LTD
32/A, Diamond Harbour Road, Sakherbazar, Kolkata - 700008

D	D	M	M	Y	Y	Y	Y

Dear Sir / Madam,

Sub : BSE StAR MF / Mutual Fund Service System (MFSS) facility

I/We AMIT SARKAR am/are registered as your client with Client Code No. _____ and have executed the KYC procedure for the purpose of trading in the Capital Market Segment of NSE/BSE.

I/We am/are interested in availing the BSE Star MF / MFSS facility of the BSE/NSE for the purpose of dealing in the units of Mutual Funds Schemes permitted to be dealt with on the BSE Star MF / MFSS of the BSE/NSE.

For the purpose of availing the BSE Star MF / MFSS facility, I/we state that Know Your Client details as submitted by me/us for registration as Client of AEL may be considered for the purpose of BSE Star MF / MFSS and I/we further confirm that the details contained in same remain unchanged as on date.

I/We are willing to abide by the terms and conditions as mentioned in the BSE Circular no. 20091202-3 dated 02/12/09 & NSE Circular no. NSE/CMTR/13533 dated 24/11/09 and as may be specified by the BSE/NSE from time to time in this regard.

I/We shall also ensure compliance with the requirements as may be specified from time to time by Securities and Exchange Board of India and Association of Mutual Funds of India (AMFI).

I/We shall read and understand the contents of the Scheme Information Document and Key Information Memorandum, addenda issued regarding each Mutual Fund Scheme with respect to which I/we choose to subscribe/redeem. I/We further agree to abide by the terms and conditions, rules and regulations of the Mutual Fund Schemes.

I/We therefore request you to register me/us as your client for participating in the BSE Star MF / MFSS.

Thanking you,

Yours faithfully,


SIGNATURE OF THE CLIENT

FOR USING BSE StAR MF / MFSS FACILITY

1. Pre-requisites for becoming Investor / Client for the BSE StAR MF / MFSS facility

- 1.1. The client is desirous of investing in units of mutual fund schemes through the BSE StAR MF / MFSS.
- 1.2. The Client intends to execute his/her/its instructions for subscription/redemption of units of Mutual Fund Schemes on BSE StAR MF / MFSS through AEL.
- 1.3. The client has satisfied himself / herself / itself of the capacity of AEL to deal in Mutual Fund units and wishes to execute his/her/its instructions through AEL and the client shall from time to time continue to satisfy himself / herself / itself of such capability of AEL before executing transactions through AEL .
- 1.4. The Client has approached AEL with the application for availing the BSE StAR MF / MFSS facility.
- 1.5. The client has submitted relevant KYC (Know Your Client) details to the AEL .

2. Terms and Conditions

- 2.1. The client shall be bound by circulars issued by BSE/NSE, Rules, Regulations and circulars issued there under by SEBI and relevant notifications of Government authorities as may be in force from time to time.
- 2.2. The client shall notify AEL in writing if there is any change in the information in the 'client registration form' provided by the client to AEL at the time registering as a client for participating in the BSE StAR MF / MFSS or at any time thereafter.
- 2.3. The client shall submit to AEL a completed application form in the prescribed format for the purpose of placing orders with AEL.
- 2.4. The client has read and understood the risks involved in investing in Mutual Fund Schemes.
- 2.5. The client shall be wholly responsible for all his /her/its investment decisions and instructions.
- 2.6. The client shall ensure continuous compliance with the requirements of the BSE, NSE, SEBI and AMFI.
- 2.7. The Client shall pay to AEL fees and statutory levies as are prevailing from time to time and as they apply to the Client's account, transactions and to the services that AEL renders to the Client.
- 2.8. The client will furnish information to AEL in writing , if any winding up petition or insolvency petition has been filed or any winding up or insolvency order or decree or award is passed against him / her / it or if any litigation which may have material bearing on his capacity has been filed against him / her / it.
- 2.9. In the event of non-performance of the obligation by AEL, the client is not entitled to claim any compensation either from the Investor Protection Fund or from any fund of BSE or BOISL / NSE or NSCCL.
- 2.10. In case of any dispute between AEL and the investors arising out of the BSE StAR MF / MFSS facility, BSE and/or BOISL and NSE and / or NSCCL agrees to extend the necessary support for the speedy redressal of the disputes.

LETTER OF AUTHORITY

VOLUNTARY

To,
ACHIEVERS EQUITIES LTD
 32/A, Diamond Harbour Road, Sakherbazar, Kolkata - 700008

D	D	M	M	Y	Y	Y	Y

Dear Sir / Madam,

I/We hereby irrevocably confirm & agree that the Achievers Equities Ltd. shall be entitled to set-off and adjust the moneys and/or securities owned of Achievers Equities Ltd/Achievers Commercial Private Ltd or any other group company by the following persons (family/ associate accounts) against moneys and/or securities owned to me/us by AEL.

SL. NO.	NAME	RELATIONSHIP	CLIENT CODE (FOR OFFICE USE ONLY)

In order to facilitate operations I/we hereby authorize you to set off the outstanding in any of the above mentioned accounts against credits available or arising in any of the above accounts irrespective of the fact that such credits in the accounts may pertain to transactions in any segment of any Exchange and / or against the value of cash margin or collateral shares provided to you by any member(s) of the family.

I/We agree to intimate you from time to time of any additions or deletions of clients to the said family. I/We agree that any deletion shall take effect only on completion of settlement and adjustments of balances in all the accounts of the clients belonging to the said list.

In order to facilitate operations, I/we authorize the Member to maintain a running account instead of settlement to settlement clearance of dues or delivery of securities to me/us.

Thanking you,
 Yours faithfully,


 SIGNATURE OF THE CLIENT

INTER-SETTLEMENT OF SECURITIES

VOLUNTARY

Clients are advised not to sell shares unless lying in their Demat Account. However, **Inter-Settlement** of Securities will be provided to the client on request. AEL will debit appropriate charges from the client account for this service. AEL will be not responsible/liable for any profit/loss incurred/sustained by the Client for mistake/failure of whom so ever for Inter-Settlement of Securities.

I/We hereby request you to provide us facility for INTER-SETTLEMENT OF SECURITIES after having read and agreed to the above.


 SIGNATURE OF THE CLIENT

A-23

CLIENT APPROVAL FOR AMOUNT DEDUCTION

VOLUNTARY

To,
ACHIEVERS EQUITIES LTD
 32/A, Diamond Harbour Road, Sakherbazar, Kolkata - 700008

D	D	M	M	Y	Y	Y	Y

NAME	AMIT SARKAR
ADDRESS	32/A DIAMOND HARBOUR ROAD. SAKHER BAZAR. KOLKATA - 700008
CONTACT	9830000009
EMAIL	AMITSARKAR@GMAIL.COM
BANK NAME	AXIS BANK
BRANCH NAME	B.B.D BAG
MICR CODE	560220001
CHEQUE NO.	002001

I/We permitting you to deduct the Account opening charge amounting ₹ from the given margin cheque (as mentioned above), and the residual figure to be treated as margin amount.

I/We permitting you to deduct the VAS/MVP plan charge amounting ₹ from the given margin cheque (as mentioned above), and the residual figure to be treated as margin amount.

I/We permitting you to deduct the Life Time offer charge amounting ₹ from the given margin cheque (as mentioned above), and the residual figure to be treated as margin amount.

[PLEASE TICK THE RELEVANT BOXES & STRIKE OFF WHICHEVER IS NOT APPLICABLE]

Place: _____

Amit Sarkar
 SIGNATURE OF THE CLIENT

CDSL - DEMAT ACCOUNTS DOCUMENTS

MANDATORY, IF OPTED FOR (CDSL) DEMAT A/C. WITH ACHIEVERS EQUITIES LTD

ACHIEVERS EQUITIES LIMITED
 DEPOSITORY PARTICIPANT OF CENTRAL DEPOSITORY SERVICES (INDIA) LIMITED
 CDSL : DP ID : 12073100 | SEBI REGN NO. : IN-DP-CDSL-643-2011

(To be filled by the Depository Participant)

DP ID	1	2	0	7	3	1	0	0	Client ID									
-------	---	---	---	---	---	---	---	---	-----------	--	--	--	--	--	--	--	--	--

(To be filled by the applicant in BLOCK LETTERS in English)

I/We request you to open a demat account in my/ our name as per following details:-

Holders Details

Sole / First Holder's Name	AMIT SARKAR	PAN	A	D	C	P	S	4	1	4	2	C
		UID										
Second Holder's Name		PAN										
		UID										
Third Holder's Name		PAN										
		UID										

Name* : _____
 * In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust etc., should be mentioned above.

TYPE OF ACCOUNT FOR INDIVIDUAL [PLEASE TICK WHICHEVER IS APPLICABLE]

STATUS	SUB-STATUS	
<input checked="" type="checkbox"/> INDIVIDUAL	<input checked="" type="checkbox"/> Individual Resident	<input type="checkbox"/> Individual Director
	<input type="checkbox"/> Individual Director's Relative	<input type="checkbox"/> Individual HUF/AOP
	<input type="checkbox"/> Individual Promoter	<input type="checkbox"/> Individual Margin Trading A/c (MANTRA)
	<input type="checkbox"/> Minor	<input type="checkbox"/> Others (Specify) _____
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable	<input type="checkbox"/> NRI Non - Repatriable
	<input type="checkbox"/> NRI Repatriable Promoter	<input type="checkbox"/> NRI Non - Repatriable Promoter
	<input type="checkbox"/> NRI Depository Receipts	<input type="checkbox"/> Others (Specify) _____
<input type="checkbox"/> FOREIGN NATIONAL	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National - Depository Receipts
	<input type="checkbox"/> Others (Specify) _____	

TYPE OF ACCOUNT FOR NON-INDIVIDUAL [PLEASE TICK WHICHEVER IS APPLICABLE]

STATUS	SUB-STATUS	
<input type="checkbox"/> Body Corporate	<input type="checkbox"/> Banks	<input type="checkbox"/> Trust
<input type="checkbox"/> OCB	<input type="checkbox"/> FII	<input type="checkbox"/> CM
<input type="checkbox"/> Clearing House	<input type="checkbox"/> Others (Specify) _____	<input type="checkbox"/> Mutual Fund
		<input type="checkbox"/> FI
SEBI Registration No. (if applicable)		SEBI Registration Date :
RBI Registration No. (if applicable)		RBI Approval Date :
Nationality	<input checked="" type="checkbox"/> Indian	<input type="checkbox"/> Others (Please Specify)

ADDITIONAL DETAILS FOR OPENING A DEMAT ACCOUNT

CLEARING MEMBER DETAILS (To be filled by CMs only)

Name of the Stock Exchange	
Name of the CC/CH	
Clearing Member ID	Trading ID

Details of Guardian (in case the account holder is minor)

Guardian's Name	PAN
Relationship with the applicant	

STANDING INSTRUCTIONS

I / We instruct the DP to receive each and every credit in my / our account (If not marked, the default option would be 'Yes')	[Automatic Credit] <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to instruct the DP to accept all the pledge instructions in my / our account without any other further instruction from my / our end (If not marked, the default option would be 'No')	<input type="checkbox"/> Yes <input type="checkbox"/> No
Account Statement Requirement	<input checked="" type="checkbox"/> As Per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnight <input type="checkbox"/> Monthly
I / We request you to send Electronic Transaction-cum-Holding Statement at the email ID <u>amit.sarkar@gmail.com</u>	<input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to Share the email ID with the RTA	<input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to receive the Annual Report (Tick the applicable box. If not marked the default option would be in physical)	<input type="checkbox"/> Physical / <input type="checkbox"/> Electronic / <input type="checkbox"/> Both Physical and Electronic
Do you wish to receive dividend / interest directly in to your bank account given below through ECS? (If not marked the default option would be 'Yes') (ECS is mandatory for lactations notified by SEBI from time to time)	<input type="checkbox"/> Yes <input type="checkbox"/> No

SMS Alert Facility Refer to Terms & Conditions given as Annexure - 2.4	MOBILE NO. +91 <u>9830000009</u> [(Mandatory, if you are giving Power of Attorney / POA)] (if POA is not granted & you do not wish to avail of this facility, cancel this option).
---	---

Transactions Using Secured Texting Facility (Trust) Refer to Terms and Conditions Annexure 2.6	I wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility. I have read and understood the Terms and conditions prescribed by CDSL for the same. <input type="checkbox"/> Yes <input type="checkbox"/> No
---	---

I / We wish to register the following clearing member IDs under my / our below mentioned BO ID registered for TRUST	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 33%;">Stock Exchange Name / ID</th> <th style="width: 33%;">Clearing Member Name</th> <th style="width: 33%;">Clearing Member ID (Optional)</th> </tr> </thead> <tbody> <tr> <td> </td> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> <td> </td> </tr> </tbody> </table>	Stock Exchange Name / ID	Clearing Member Name	Clearing Member ID (Optional)						
Stock Exchange Name / ID	Clearing Member Name	Clearing Member ID (Optional)								

<i>Easi</i>	To register for easi, please visit our website www.cdslindia.com . <i>Easi</i> allow a BO to view his ISIN balances, transactions and value of the portfolio online.
-------------	---

NRIs / OCBs

Indian Address			
City	State	Country	PIN
Telephone No.		Fax No.	
E-mail ID			

Indian Address			
City	State	Country	PIN
Telephone No.		Fax No.	
E-mail ID			
Currency			
RBI Reference No.		RBI Approval Date	

INTRODUCTION (by an existing account holder / applicant's bank)

DP ID : 12073100 CLIENT ID : _____ (In case of existing account holder)

I confirm the identity and address of the applicant(s)

Name : _____ Signature of Introducer / Signature and Seal incase of Bank
(To be verified by DP Officials)

DECLARATION

I/We have read the DP-BO agreement (DP-CM agreement for BSE Clearing Member Accounts) including the schedules thereto and the terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

PLEASE ATTACH RECENT PASSPORT SIZE PHOTOGRAPHS IN THE SPACE PROVIDED BELOW

Sole / First Holder / Authorised Signatories	Second Holder / Authorised Signatories	Third Holder / Authorised Signatories
Signature across Photograph	Signature across Photograph	Signature across Photograph
	Name(s) of holder(s) / Name of the Authorised Signatories (with designation) & (Enclose a Board Resolution for Authorised Signatories)	SIGNATURE(S) [Signatures should be preferably in Black Ink]
Sole / First Holder (Mr. / Ms.) or Guardian (in case of minor) or First Signatory	AMIT SARKAR	Amit Sarkar.
Second Holder (Mr. / Ms.) or Second Signatory		
Third Holder (Mr. / Ms.) or Third Signatory		

[IN CASE OF MORE AUTHORISED SIGNATORIES, PLEASE ADD ANNEXURE]

Terms And Conditions-cum-Registration / Modification Form for receiving SMS Alerts from CDSL**Definitions :**

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise :

1. **"Depository"** means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P. J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
2. **"DP"** means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. **"BO"** means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. **SMS** means "Short Messaging Service"
5. **"Alerts"** means a customized SMS sent to the BO over the said mobile phone number.
6. **"Service Provider"** means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alert to the BO.
7. **"Service"** means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability :

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those account holders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the

depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts :

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/ or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. **The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/ unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about any such unauthorized debit to/ transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.**


SOLE / FIRST HOLDER SIGNATURE


SECOND HOLDER SIGNATURE


THIRD HOLDER SIGNATURE

7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed with out proper authorization, the BO should immediately inform the DP in writing.

Fees : Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer : The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/ or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

Liability and Indemnity The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and

DP ID

1	2	0	7	3	1	0	0										
---	---	---	---	---	---	---	---	--	--	--	--	--	--	--	--	--	--

(Please write your 8 digit DPID)

(Please write your 8 digit Client ID)

Sole / First Holder's Name AMIT SARKAR

Second Holder's Name _____

Third Holder's Name _____

Mobile Number on which messages are to be sent : +91

9	8	3	0	0	0	0	0	0	9
---	---	---	---	---	---	---	---	---	---

The mobile number is registered in the name of : AMIT SARKAR

Email ID : amitsarkar@gmail.com

(Please write only ONE valid email ID on which communication; if any, is to be sent)

Amit Sarkar
SOLE / FIRST HOLDER SIGNATURE

SECOND HOLDER SIGNATURE

THIRD HOLDER SIGNATURE

--	--	--	--	--	--	--	--

D D M M Y Y Y Y

A-30

PLACE

its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments : The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction : Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai. I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. **I/ We consent to CDSL providing to the service provider such information pertaining to account/ transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.**

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

I / We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of **REGISTRATION / MODIFICATION** (Please cancel out what is not applicable).

Terms And Conditions for availing Transaction Using Secured Texting (TRUST) Service offered by CDSL

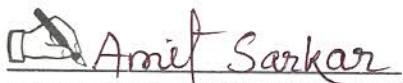
1. Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

- i. **"Depository"** means Central Depository Services (India) Limited (CDSL)
 - ii. **TRUST** means "Transactions Using Secured Texting" service offered by the Depository.
 - iii. **"Service Provider"** means a cellular service provider(s) with whom the Depository has entered / shall enter into an arrangement for providing the TRUST service to the BO.
 - iv. **"Service"** means the service of providing facility to receive/give instructions through SMS on best effort basis as per the following terms and conditions. The types of transaction that would normally qualify for this type of service would be informed by CDSL from time to time.
 - v. **"Third Party"** means the operators with whom the Service Provider is having / will have an arrangement for providing SMS to the BO.
2. The service will be provided to the BO at his / her request and at the discretion of the depository provided the BO has registered for this facility with their mobile numbers through their DP or by any other mode as informed by CDSL from time to time. Acceptance of application shall be subject to the verification of the information provided by the BO to the Depository
 3. The messages will be sent on best efforts basis by way of an SMS on the mobile no which has been provided by the BOs. However Depository shall not be responsible if messages are not received or sent for any reason whatsoever, including but not limited to the failure of the service provider or network.
 4. The BO is responsible for promptly informing its DP in the prescribed manner any change in mobile number, or loss of handset on which the BO wants to send/receive messages generated under TRUST. In case the new number is not registered for TRUST in the depository system, the messages generated under TRUST will continue to be sent to the last registered mobile number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of messages sent on such mobile number.
 5. The BO agrees that SMS received by the Depository from the registered mobile number of the BO on the basis of which instructions are executed in the depository system shall be conclusive evidence of such instructions having been issued by

the BO. The DP / CDSL will not be held liable for acting on SMS so received.

6. The BO shall be responsible for submitting response to the **'Responsive SMS'** within the specified time period. Transactions for which no positive or negative confirmation is received from the BO, will not be executed except for transaction for deregistration. Further, CDSL shall not be responsible for BOs not submitting the response to the said SMS within the time limit prescribed by CDSL.
7. The BO agrees that the signing of the TRUST registration form by all joint holders shall mean that the instructions executed on the basis of SMS received from the registered mobile for TRUST shall be deemed to have been executed by all joint holders.
8. The BO agrees to ensure that the mobile number for TRUST facility and SMS alert (SMART) facility is the same. The BO agrees that if he is not registered for SMART, the DP shall register him for SMART and TRUST. If the mobile number provided for TRUST is different from the mobile number recorded for SMART, the new mobile number would be updated for SMART as well as TRUST.
9. BOs are advised to check the status of their obligation from time to time and also advise the respective CMs to do so. In case of any issues, the BO/CM should approach their DPs to ensure that the obligation is fulfilled through any other mode of delivery of transactions as may be informed / made available by CDSL from time to time including submission of Delivery Instruction Slips to the DP.
10. The BO acknowledges that CDSL will send the message for confirmation of a transaction to the BO only if the Clearing Member (registered by the BO for TRUST) enters the said transaction in CDSL system for execution through TRUST within prescribed time limit.
11. The BO further acknowledges that the BO/CM shall not have any right to any claim against either the DP or Depository for losses, if any, incurred due to non receipt of response on the responsive SMS or receipt of such response after the prescribed time period. In the event of any dispute relating to the date and time of receipt of such response, CDSL's records shall be conclusive evidence and the Parties agree that CDSL's decision on the same shall be final and binding on both Parties.
12. The BO may request for deregistration from TRUST at any time by giving a notice in writing to its DP or by any other mode as specified by Depository in its operating instructions. The same shall be effected after entry of such request by the DP in CDSL system if the request is received through the DP.



SOLE/FIRST HOLDER SIGNATURE

--	--	--	--	--	--	--	--

D D M M Y Y Y Y



SECOND HOLDER SIGNATURE



THIRD HOLDER SIGNATURE

--

PLACE

ANNEXURE-2.6

- 13. Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.
- 14. The BO expressly authorises Depository to disclose to the Service Provider or any other third party, such BO information as may be required by them to provide the services to the BO. Depository however, shall not be responsible and be held liable for any divulgence or leakage of confidential BO information by such Service Providers or any other third party.
- 15. The BO takes the responsibility for the correctness of the information supplied by him to Depository through the use of the said Facility or through any other means such as electronic mail or written communication.
- 16. The BO is solely responsible for ensuring that the mobile number is not misused and is kept safely and securely. The Depository will process requests originated from the registered Mobile as if submitted by the BO and Depository is not responsible for any claim made by the BO informing that the same was not originated by him.

17. Indemnity:

In consideration of providing the service, the BO agrees that the depository shall not be liable to indemnify the BO towards any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

18. Disclaimer:

Depository shall be absolved of any liability in case:-

- a. There is loss of any information during processing or transmission or any unauthorized access by any other person or breach of confidentiality.
- b. There is any lapse or failure on the part of the service providers or any third party affecting the said Facility and that Depository makes no warranty as to the quality of the service provided by any such service provider.
- c. There is breach of confidentiality or security of the messages whether personal or otherwise transmitted through the Facility..


SOLE/FIRST HOLDER SIGNATURE

D	D	M	M	Y	Y	Y	Y


SECOND HOLDER SIGNATURE


THIRD HOLDER SIGNATURE

PLACE

Common Registration Form for availing SMS Alert and /or TRUST facility

To,

ACHIEVERS EQUITIES LTD

32/A, Diamond Harbour Road, Sakher Bazar, Kolkata - 700008

Dear Sir/Madam,

I/We wish to avail the following facility/ies provided by the depository on my/our mobile number as provided below subject to the terms and conditions as specified by CDSL

- a. SMART-SMS alert facility
- b. TRUST- TTransaction using Secured Texting facility

(please note that SMS alert facility is mandatory if TRUST facility is opted for)

BOID

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

(Please write your 8 digit DPID) (Please write your 8 digit Client ID)

Sole / First Holder's Name : AMIT SARKAR

Second Holder's Name : _____

Third Holder's Name : _____

Mobile Number on which message are to be sent

+91	9	8	3	0	0	0	0	0	0	9	
-----	---	---	---	---	---	---	---	---	---	---	--

(Please write only the mobile number without prefixing country code or zero)
(Existing users registered for SMS alerts:- Please note that if the mobile number for TRUST is different than the registered mobile number for SMS alert, the new mobile number will be updated for SMS alert also.)

The mobile number is registered in the name of:
 (Name) AMIT SARKAR
 Email ID: amitsarkar@gmail.com

(Please write only ONE valid email ID on which communication; if any, is to be sent)

I/ We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purpose of availing the said facility.

I/We have read and understood the terms and conditions prescribed by CDSL for the said facility/ies and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

 AMIT SARKAR  _____  _____
 SOLE/FIRST HOLDER SIGNATURE SECOND HOLDER SIGNATURE THIRD HOLDER SIGNATURE

--	--	--	--	--	--	--	--	--

--	--	--	--	--	--

 PLACE

D D M M Y Y Y Y A-33

Form for registering Clearing Members on whose behalf the securities can be transferred from the account of the BO on the basis of SMS under TRUST facility

To,
ACHIEVERS EQUITIES LTD
 32/A, Diamond Harbour Road, Sakher Bazar, Kolkata - 700008

Dear Sir/Madam,

I/We wish to register the following clearing members / IDs under my/our below mentioned BO ID registered for TRUST

BOID

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
----------------------	----------------------	----------------------	----------------------	----------------------	----------------------	----------------------	----------------------	----------------------	----------------------	----------------------	----------------------	----------------------	----------------------	----------------------	----------------------	----------------------

(Please write your 8 digit DPID)
(Please write your 8 digit Client ID)

Sole / First Holder's Name : AMIT SARKAR

Second Holder's Name : _____

Third Holder's Name : _____

Sr. No.	Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID (Optional)

I/we acknowledge that transactions entered by the above clearing members will be executed on the basis of SMS sent through our registered mobile number under TRUST and I/we shall be wholly responsible for execution / non-execution of the said transactions based on receipt/non-receipt of such SMS.

 SOLE/FIRST HOLDER SIGNATURE	 SECOND HOLDER SIGNATURE	 THIRD HOLDER SIGNATURE								
<table border="1" style="display: inline-table; border-collapse: collapse; width: 100px;"> <tr> <td style="width: 20px; height: 20px;"><input type="text"/></td> <td style="width: 20px; height: 20px;"><input type="text"/></td> <td style="width: 20px; height: 20px;"><input type="text"/></td> <td style="width: 20px; height: 20px;"><input type="text"/></td> <td style="width: 20px; height: 20px;"><input type="text"/></td> <td style="width: 20px; height: 20px;"><input type="text"/></td> <td style="width: 20px; height: 20px;"><input type="text"/></td> <td style="width: 20px; height: 20px;"><input type="text"/></td> </tr> </table> <p align="center">D D M M Y Y Y Y</p>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<div style="border: 1px solid black; display: inline-block; padding: 2px;">A-34</div>	<div style="border: 1px solid black; width: 100px; height: 20px; margin: 0 auto;"></div> <p>PLACE</p>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>			

Combined Registration Form for availing SMS Alert and /or TRUST facility and for registering Clearing Members on whose behalf the securities can be transferred from the account of BO on the basis of SMS under TRUST facility

To,
ACHIEVERS EQUITIES LTD
 32/A, Diamond Harbour Road, Sakher Bazar, Kolkata - 700008

Dear Sir/Madam,
 I/We wish to avail the following facility/ies provided by the depository on my/our mobile number as provided below subject to the terms and conditions as specified by CDSL

- a. SMART-SMS alert facility
- b. TRUST- TRansaction using Secured Texting facility

(please note that SMS alert facility is mandatory if TRUST facility is opted for)

BOID

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

 (Please write your 8 digit DPID) (Please write your 8 digit Client ID)

Sole / First Holder's Name : AMIT SARKAR

Second Holder's Name :

Third Holder's Name :

I/We wish to register the following clearing members / IDs under my/our above mentioned BO ID registered for TRUST

Sr. No.	Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID (Optional)

Mobile Number on which message are to be sent

+91	9	8	3	0	0	0	0	0	0	0	9
-----	---	---	---	---	---	---	---	---	---	---	---


 SOLE/FIRST HOLDER SIGNATURE


 SECOND HOLDER SIGNATURE


 THIRD HOLDER SIGNATURE

--	--	--	--	--	--	--	--

D D M M Y Y Y Y

A-35

--

PLACE

(Please write only the mobile number without prefixing country code or zero)

(Existing users registered for SMS alerts:- Please note that if the mobile number for TRUST is different than the registered mobile number for SMS alert, the new mobile number will be updated for SMS alert also.)

The mobile number is registered in the name of:

(Name) Amit Sarkar


Email ID: amitsarkar@gmail.com

(Please write only ONE valid email ID on which communication; if any, is to be sent)

I/We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purpose of availing the said facility.

I/we acknowledge that transactions entered by the above clearing members will be executed on the basis of SMS sent through our registered mobile number under TRUST and I/we shall be wholly responsible for execution / nonexecution of the said transactions based on receipt/non-receipt of such SMS.

I/We have read and understood the terms and conditions prescribed by CDSL for the said facility/ies and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

 Amit Sarkar

SOLE/FIRST HOLDER SIGNATURE

--	--	--	--	--	--	--	--

D D M M Y Y Y Y



SECOND HOLDER SIGNATURE



THIRD HOLDER SIGNATURE

--

PLACE

POWER OF ATTORNEY - EQUITY ACCOUNT

TO ALL TO WHOM THESE PRESENTS SHALL COME I/We,(whose names and other particulars are stated in Schedule I hereto which shall from part of these present) **SEND GREETINGS:**

WHEREAS:

- A) I/We, as the Client of Achievers Equities Ltd being a trading member of NSE, BSE & MCX-SX desire to invest and/or trade in shares, bonds, debentures and other securities, whether listed or unlisted, including units of mutual funds, Initial Public Offerings (IPO), government securities, certificate of deposits, warrants, options, futures, derivatives, securitized debt instruments, fixed return investment, equity linked instruments, Exchange Traded Funds (ETF) or other marketable Securities of a like nature in or of any incorporated company, deposits or other money market instruments, units issued by Unit Trust of India, cumulative convertible preference shares issued by any incorporated company and Securities issued by the Central Government or a State Government for the purpose of raising public loan (herein after referred to as the "Securities") offered by the Achievers Equities Ltd as trading member of a Registered Stock Exchange. Accordingly as the Client, I/We have entered into/propose to enter into Member - Client Agreement (herein after referred to as the said "Member-Client Agreement" as amended and in force from time to time) with Member/Achievers Equities Ltd in this regard:
- B) I / We, individual / joint / Corporate account holders hold Demat Account Client ID No _____ (hereinafter referred to as "Designated Demat Account") with Achievers Equities Ltd in their capacity as CDSL Depository Participant;
- C) Pursuant to the terms of the said Member-Client- Agreement, I/We have designated/are designating the said Demat Account for the purpose of the said Member- Client-Agreement;
- D) As consideration for the above mentioned facilities as well as a prerequisite for fulfilling all the various preconditions, requirements and obligations as the Client of Achievers Equities Ltd as well as for facilitating Achievers Equities Ltd to exercise its powers available in law and to facilitate proper execution and completion of all trade transactions in Securities by Achievers Equities Ltd in my/our behalf, I /We individual / joint account holder, am/are desirous of appointing Achievers Equities Ltd. as my/our attorney and confer upon Achievers Equities Ltd. the powers hereinafter stated.

NOW THEREFORE THESE PRESENT WITNESS THAT I /We, as individual / joint account holders for myself / ourselves individual / joint account holders and as the Client of Achievers Equities Ltd. do hereby nominate, constitute and appoint Achievers Equities Ltd a public company incorporated under the Companies Act, 1956 and having its registered office at 32/A, Diamond Harbour Road, Sakherbazar, Kolkata-700 008 (the "said Attorney") acting through any of its directors, officers or employees to be my/ our true and lawful constituted attorney and authorize the said Attorney in my/our name(s) and on my/ our behalf at my / our risk and costs from time to time, to do and exercise or cause to be done and exercise all or any of the acts, powers and authorities, deeds or the things mentioned hereunder, that is to say:

- 1. To operate the Designated Demat Account, hold, pledge, debit all or any of the Securities from time to time held by me/us in the Designated Demat Account and to give such instructions as and when the said Attorney may deem fit, to transfer all or any of the said Securities to Achievers Equities Ltd. margin beneficiary account or to any account(s) of Achievers Equities Ltd or in accordance with the instructions of Achievers Equities Ltd. towards the fulfilling of all or any of my/our margin/ delivery obligations for and transactions completed on my/our behalf through the on-line or offline trading facilities of Achievers Equities Ltd. or otherwise; and any recording or other records of such transactions by Achievers Equities Ltd. in its usual course of business shall be binding on me/us and admissible in evidence and shall not be questioned by me/us and shall be conclusive and binding on me /us. The Achievers Equities Ltd. beneficiary account details for the above purpose are mentioned more particularly in 'Schedule II' forming part of the present PoA and I understand that any change to the same shall be communicated to me/ us by way of a written communication by Achievers Equities Ltd.
- 2. To sign, deliver, endorse, and/or acknowledge all instructions, forms, transfer deeds, depository forms and instruments, delivery instruction slips and other instruments and writings which are required or considered necessary or advisable for performing all or any of the powers or authorities hereby conferred by me/us on the said Attorney.
- 3. To treat the securities lying in my Designated Demat Account as margin and if required for the purposes of pay-in and margin, to transfer /


SOLE / FIRST HOLDER SIGNATURE


SECOND HOLDER SIGNATURE


THIRD HOLDER SIGNATURE

pledge the securities to the beneficiary account of Achievers Equities Ltd.

4. To debit securities to the said Designated Demat Account and/or transfer securities from the said Designated Demat Account to any other account of Achievers Equities Ltd. (towards settlement / margin) as listed in Schedule II, to the extent of shares sold through Achievers Equities Ltd for pay in obligation as well as for margins towards any Stock Exchange obligation both in cash and derivatives segment.
5. To credit my/our Designated Demat Account(s) with any securities that are erroneously received or such securities which Achievers Equities Ltd is not entitled to receive.
6. To execute the share transfer requests given by me/us through the website or any other medium and process the documents authenticated by authorized signatories empowered to do so in this regard.
7. To do all such acts, deeds and things as may be necessary for or incidental to my / our, individual / joint account holders being the Client of Achievers Equities Ltd. for the purposes as aforesaid.
8. To send consolidated summary of scrip-wise buy and sell positions by way of SMS / email on a daily basis
9. AND I /We, individual / joint account holders do hereby for myself/ ourselves, agree to ratify and confirm all and whatsoever my / our said Attorney shall do or purport to do or Cause to be done by virtue of these presents.
10. AND I /We, individual / joint account holders do hereby for myself / ourselves agree and confirm that the aforesaid owners shall be exercised by the Attorney acting through any of its officers or employees .
11. We specifically agree that in case of death, disability, insolvency, winding up or liquidation of any one or more of us or of the joint account holder/s, the surviving joint holders and heirs, nominees, executors, administrators, successors and legal representatives of the account holder who has died, disabled, dissolved, been adjudged insolvent, wound up or liquidated shall forthwith inform the Attorney of the same in writing. In such an event, the Power of Attorney and the instructions contained herein shall be revoked forthwith and remaining joint holders along with heirs, nominees, executors, administrators, successors and legal representatives of such joint account holder/s shall forthwith execute a fresh power of attorney and other relevant documents. In case of change in constitution of the Designated Demat Account, this POA shall terminate and new POA shall have to be executed by new account holders.
12. I/We hereby ratify and confirm and agree to ratify and confirm all that the said Attorney or any of its officers, employees, shall do or cause to be done in or concerning the premises by virtue of these presents and I /We hereby agree that all such acts done by my/our above mentioned Attorney shall be deemed to be acts done by me/us and if necessary shall be ratified by me/us on the instructions of the said Attorney.
13. This POA has been given in consideration of Achievers Equities Ltd making available to me/us facility to invest and/or trade in the securities with or through the online and/or off-line trading facilities offered by Achievers Equities Ltd. as trading members of (NSE, BSE & MCX) in terms of the said Member-Client Agreement and for Achievers Equities Ltd agreeing at my/our request to act as my/our Attorney and accordingly this POA shall in all events be treated as valid, effective and operative till the said Member-Client Agreement is in force and/or till all the relevant Securities and/or all amounts together with principal, charges and expenses and all other monies due and payable in respect of the Member-Client Agreement and/or the transactions completed by Achievers Equities Ltd. on my/our behalf have been duly received, paid in full and settled satisfactorily by me/us as the Client and the cessation of this authority shall not affect or impair any act thereto done in exercise of these presents.
14. To comply and /or cause to be complied with all statutory and other requirements attached to or arising out of these presents and for this purpose take such steps and actions necessary or proper, including signing of affidavits, indemnity, declarations, legal documents, deeds and writings required.
15. To do or omit to do all such acts and things as Achievers Equities Ltd may in its discretion consider to be necessary or desirable in order to exercise its powers hereunder or to comply with any laws, orders, rules, regulations or directions of any government or regulatory or other authorities.
16. To exercise all powers conferred pursuant to these presents as aforesaid on all or any of the Securities held in the Designated Demat Account and to exercise such rights arising on account of any transaction done and executed by Achievers Equities Ltd on my / our, individual / joint account holders.
17. My/Our Attorney Achievers Equities Ltd shall not be liable for any loss that may result from failure/inability of electronic connectivity or rejection of my/our any instruction / application for any reason whatsoever.
18. Either party may revoke or cancel the said POA at any given point of time.

 Amit Sarkar

SOLE / FIRST HOLDER SIGNATURE



SECOND HOLDER SIGNATURE



THIRD HOLDER SIGNATURE

List of AEL DP account where client securities can be moved.

DP NAME	DP ID	CLIENT ID	Maintained With
NSE CDSL POOLA/c.	12073100	00000391	DP CDSL
BSE CDSL POOLA/c.	12073100	00000408	DP CDSL
MCX-SX CDSL POOLA/c.	12073100	00011038	DP-CDSL
NSE NSDL POOLA/c.	IN300095	11514862	DP NSDL
BSE NSDL POOLA/c.	IN300095	11515763	DP NSDL
MCX-SX NSDL POOLA/c.	IN300095	11660950	DP-NSDL
CDSL MARGIN A/c.	12073100	00004231	DP-CDSL
CDSL Hold Back A/c.	12073100	00004227	DP-CDSL
CDSL F&O MARGIN A/c.	12073100	00004246	DP-CDSL

IN WITNESS THEREOF I/WE, individual / joint account holders hereunto have set and subscribe my/our respective hand(s) hereto at _____ on this _____ day of _____ 20 _____


SOLE / FIRST HOLDER SIGNATURE


SECOND HOLDER SIGNATURE


THIRD HOLDER SIGNATURE

NAME

NAME

NAME

IN THE PRESENCE OF witnesses

NAME

SIGNATURE

1.

X

2.

X

IN WITNESS WHEREOF the _____ Ltd. has caused these presents executed by affixing its Common Seal in the presence of two of its Directors and the Company Secretary/Authorized official, who have put their signatures hereto in token thereof at the place and on the date appearing herein below.

The Common Seal of _____ LTD.) has been affixed hereunto pursuant to the Resolution) passed by its Board of Directors at their meeting held) on the _____ day of _____ 20 _____ in the presence of its) Director Mr. _____ and Director Mr. _____) and Mr. _____ Company Secretary/) Authorised Official, who have signed these presents and subscribed their signatures hereto in token thereof)

BEFORE

ME:

Signature

(NOTARY PUBLIC)

Stamp

(Seal)

POWER OF ATTORNEY

(To be executed on stamp Paper of appropriate value)

(In case of person intending to avail online mutual Fund Transaction through the Website/Online/Online platform)

- 1) s/o/d/o/w/o (strike off whichever is not applicable) Shri
- 2) s/o/d/o/w/o (strike off whichever is not applicable) Shri And
- 3) s/o/d/o/w/o (strike off whichever is not applicable) Shri

Having residence/registered office/office at.....
(residence address for proprietorship and partnership (to be executed by the partners firm cannot enter into a contract) and HUF (Karta HUF can give a POA only if he is so authorized by the members of the HUF as. It affects their rights) and registered office for Companies, trusts and societies) hereinafter referred to as "the Client/Customer" which expression shall, unless it be repugnant to the meaning or context thereof, be deemed to mean and include my/our heirs, executors, administrators, the partners of the firm and the survivors of them and the heirs, executors and administrators of the partners, permitted assigns, successors in title, trustees of a Trust and their successors, member of the governing body and new member elected appointed or co-opted as the case may be).

DO SEND GREETINGS:

WHEREASA.

- A. Achievers Equities Ltd, is a company incorporated under the Companies Act.1956 and having its registered office at 32/A, Diamond Harbour Road, Sakherbazar, Kolkata-700008 (hereinafter referred to as "AEL") has mandated Achievers Wealth Advisors Pvt. Limited, a subsidiary to AEL, (referred to as "Invest Online") to extend/offers its web based facility/Website/online platform i.e. www.achieversequitiesltd.com to the Client/Customers of AEL to use the web based facility/Website/online platform for trading/investment in securities and the said mandate has been duly accepted by the online platform provider.
- B. The Online Platform provider shall act as an executor of transaction and aggregator of funds/money (including but not limited to providing others online services) of AEL's Client's /Customers and shall transmit the same to the Bank account of the AMC to which the Client has no objection.
- C. The Client /Customer is registered /is registering/ desires to register with AEL as its Client/Customer and upon successfully completing all the required formalities under the KYC guidelines in force or which may be in force from time to time. The Client / Customer shall be issued the requisite user id and password by the online Platform Provider for transacting through the website/online platform; and
- D. The Client/Customer wants to use the said web facility/Website/online platform so as to transact in various securities in a seamless manner; and
- E. The Client/ Customer is using/ wants to use the website/ web based facilities including but not limited to, online applications for Mutual Funds and other such applications, dealing facilities in securities and proper dealing through such internet/ web based facilities including but not limited to signing & depositing the Mutual Fund applications etc. making available connectivity/ linkage to bank & depository account(s) to facilitate his/her/its dealings, making pay-in of funds/securities, facilitating any other such act (s) as may be required or are deemed necessary from time to time.

 Amit Sarkar
SOLE/FIRST HOLDER SIGNATURE

 _____
SECOND HOLDER SIGNATURE

 _____
THIRD HOLDER SIGNATURE

□ □ □ □ □ □ □ □
D D M M Y Y Y Y

A-40


PLACE

POWER OF ATTORNEY

F. The Client/Customer wants to execute an appropriate power of Attorney for all dealing in securities, investments, bank operations through AEL or otherwise and done/ executed using on-line or web based/website or any other type of order entry so as to meet the above objects including objectives incidental to above.

NOW KNOW YE ALL MEN AND THESE PRESENTS WITNESSETH that I/We the Client/Customer to hereby nominate constitute and appoint the said Achievers Equities Ltd (AEL) as our true and lawful Attorney/s for me/us and in my/our name on my/our behalf, and at my/our cost and expense to do and/or cause to be done the following acts, deeds or things:

1. To subscribe and/or redeem units of mutual funds and to subscribe to and /or redeem units of any other financial products/ Securities offered, through the website www.achieversequitiesltd.com on my/our behalf and authorize/instruct the Online Platform provider to carry out the above. The term "Securities" shall include securities as defined by the securities contracts (regulation) Act, 1956 as amended from time to time.
2. To receive statements and other documents pertaining to the above units/securities and to acknowledge receipt of the same.
3. To sign all such application forms, redemption requests and other documents/ deeds and to do all such acts as may be required for all or any of the above purposes.
4. To correspond with and give notice to the corresponding asset management company/mutual fund/issuer/registrars and transfer agent of securities including giving instructions with regard to nomination/change in investment plants/any other changes that may be necessitated.
5. To do or omit to do all such acts and things as the company may in its discretion consider to be necessary or desirable in order to exercise its powers hereunder.

 Amit Sarkar

SOLE/FIRST HOLDER SIGNATURE

--	--	--	--	--	--	--	--

D D M M Y Y Y Y



SECOND HOLDER SIGNATURE



THIRD HOLDER SIGNATURE

--

PLACE

CHARGES FOR DEPOSITORY SERVICES(INDIVIDUALS/CORPORATES)

I/We agree to pay the charges as per following charge structure for our Demat account with Achievers Equities Ltd effective from _____ (dd/mm/yyyy)

Client ID : _____ Form no. : _____

CHARGE HEAD	CHARGES
Annual Maintenance charges Individuals (Non-Refundable)	₹ 400/- per annum to be paid at the beginning of every year at the time of new account opening. NON-REFUNDABLE
Annual Maintenance charges Non-Individuals # (Non-Refundable)	₹ 900/- per annum to be paid at the beginning of every year at the time of new account opening. + Extra ₹ 500/- per annum will be charged as per CDSL Regulation for AMC
Dematerialization Charges	₹ 2.00 per certificate plus courier charges of ₹ 35 per demat request for every 500 gms
Transaction charges Market/Off Market/Interdepository Trans.	A flat debit transaction charges of ₹ 12/- PLUS CDSL charges at actuals
Pledge Charges Creation/Closure and Confirmation of both	0.02% of the transaction charges subject to a minimum of ₹ 50/- plus NSDL / CDSL charges at actuals
Pledge Charges for Invocation	0.05% of the transaction charges subject to a minimum of ₹ 50/-
Rematerialization Charges	₹ 25/- per certificate or 0.05% of the value whichever is higher Plus CDSL charges
Failed / Rejected Transactions	₹ 50/- per transaction
Demat Rejection charges	₹ 35/- per rejection for every 500 gms
Adhoc / Non periodic statement requests	₹ 25/- per request upto 10 pages. Every additional 5 pages or a portion thereof will be charged at ₹ 5/- PLUS courier charges
Cheque Bounce charges	Charges will be applied based on charges as determined by our bankers
Life Time Offer - Individuals (AMC & Transaction Charges)	Upfront at the time of account opening ₹ 5000/- plus service tax, enrolls all account holders for free AMC and transaction cost for the next 15 years. (CDSL charges at actuals)
Life Time Offer - Non-Individuals # (AMC & Transaction Charges)	Upfront at the time of account opening ₹ 10000/- plus service tax, enrolls all account holders for free AMC and transaction cost for the next 15 years. (CDSL charges at actuals)
Life Time Offer - Individuals (AMC)	Upfront at the time of account opening ₹ 2499/- plus service tax, enrolls all account holders for free AMC for the next 15 years. (CDSL charges at actuals, transaction charges as per regular tariff)
Life Time Offer - Non-Individuals # (AMC)	Upfront at the time of account opening ₹ 4999/- plus service tax, enrolls all account holders for free AMC for the next 15 years. (CDSL charges at actuals, transaction charges as per regular tariff)

₹ 500/- per annum will be charged for Non-Individuals by CDSL for Annual Maintenance Charges

TARIFF OPTION	<input checked="" type="checkbox"/> REGULAR TARIFF	<input type="checkbox"/> LIFE TIME TARIFF (AMC & Transactions Charges)
	<input type="checkbox"/> LIFE TIME TARIFF (AMC)	(Please Tick whichever is opted)


SOLE / FIRST HOLDER SIGNATURE


SECOND HOLDER SIGNATURE


THIRD HOLDER SIGNATURE

A-42

CHARGES FOR DEPOSITORY SERVICES(INDIVIDUALS/CORPORATES)

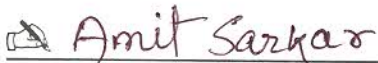
OTHER POINTS :

- Annual Maintenance Charges are non-refundable
- All charges would attract the service tax / cess / levies and / or other such taxes as applicable from time to time
- All payments have to be made favouring Achievers Equities Ltd. You can pay by Cheque or Demand Drafts payable at the local branch where the account is opened, outstation cheque will not be accepted
- All the percentages in the above structure would be applied on the value of the transaction as computed by CDSL
- Out of Pocket expenses incurred on the Dispatch of securities for Dematerialisation and communication charges incurred on out-station calls/faxes made specifically on request of the client will be charged on actuals.
- Charges quoted above are for the services listed. Any services not listed above will be charged separately
- Any advance payments over and above the normal amount due can also be made. Any such higher amount paid than the minimum amount payable at the time of account opening shall be adjusted against the bills raised from time to time

IMPORTANT NOTE:

1. DP bills should be paid on or before the due date. Interest shall be levied @ 2% per month on outstanding amount for non payment from the bill due date. Pursuant to Clause 9 of the Client-DP Agreement, in case of non-payment of DP charges within the due date, DP reserves the right to discontinue operation of the demat account till such time that the payment is received
2. For all purposes the Bill date shall be construed as the date of demand and the bill will be considered as the Bill cum Notice for payment and, Achievers Equities Ltd reserves the right to "freeze depository account for debit transactions", in case of non payment of charges after two days from the Bill due date
3. The renewal charges for resuming the depository operations will be ₹ 150 per Account and the services will be resumed in minimum of two working days from the date of receipt of request at Achievers Equities Ltd branch

I/We understand that the above charges are subject to revision by Achievers Equities Ltd after providing a notice period of 30 days. Such revisions will be notified by ordinary post / courier

 Amit Sarkar

SOLE / FIRST HOLDER SIGNATURE



SECOND HOLDER SIGNATURE



THIRD HOLDER SIGNATURE

DECLARATION BY HUF

To,
ACHIEVERS EQUITIES LTD
 32/A, Diamond Harbour Road, Sakherbazar, Kolkata - 700008

D	D	M	M	Y	Y	Y	Y

Dear Sir / Madam,

We have an existing Demat Account No. _____ of _____
 _____ HUF represented by the Karta _____.

I _____ the Karta of the HUF _____
 hereby declare that the following are the co-parceners of the HUF.

ADULTS

SL. NO.	MEMBER / CO-PARCENERS	RELATION WITH KARTA	AGE	SIGNATURE OF THE CO-PARCENERS

MINORS

SL. NO.	NAME OF THE MINOR	RELATION WITH KARTA	DATE OF BIRTH	AGE	SIGNATURE OF THE GUARDIAN

We further confirm that the business of the said family is carried on by the Karta (_____) in the interest and for the benefit of the entire body of co-parceners of the joint family. We hereby authorised the Karta Mr. _____ on behalf of the HUF to open, deal and access Demat Account opened with AEL a depository participant of CDSL for and on behalf of the HUF. The depository Participant is hereby authorised to honour all written instruction given by the Karta for and on behalf of the HUF.

For _____

 (Signature of the Karta with Stamp)

CONSENT LETTER FOR E-STATEMENT

Re: Beneficial Owner (BO) Account No. _____

I/We _____ [name(s) of the BO(s)] had entered into agreement dated _____ with you at the time of opening of the aforesaid BO account.

Pursuant to the amendment in Clause 3 of the agreement (Annexure C to the Bye Laws of CDSL), I/We confirm having opted to receive the statement of accounts pertaining to the above mentioned BO account in electronic mode in lieu of physical copy of the statement of account.

I/We confirm that the dispatch of statement of account to me/us at the following email address shall constitute full and absolute discharge of your obligation under the above agreement to provide me/us with statement of my/our BO account. But, I/we reserve my/our right to receive the physical copy of statement of accounts despite receiving the same in electronic mode, if such a demand is made in writing on you.

[Email address: _____ amitsarkar@gmail.com _____]

I/We confirm that any change in the aforesaid email address or any other instructions with regard to dispatch/ service of my/our statement of account on me/us shall not be binding upon you unless you are intimated in writing by me/us by acknowledged delivery.

Yours faithfully,

Name/s of the Beneficial owner/s

D	D	M	M	Y	Y	Y	Y

Amit Sarkar
SOLE / FIRST HOLDER SIGNATURE

SECOND HOLDER SIGNATURE

THIRD HOLDER SIGNATURE

ACKNOWLEDGEMENT

From,

D	D	M	M	Y	Y	Y	Y

To,

ACHIEVERS EQUITIES LTD

32/A, Diamond Harbour Road, Sakherbazar, Kolkata - 700008

Dear Sir / Madam,

Ref. : Acknowledgement for the receipt of documents

This is to acknowledge the receipt of a copy of the following documents viz.,

1. A Copy of KNOW YOUR CLIENT (KYC) application form (Separately)
2. Account Opening Form – Part II
3. Policies & Procedures
4. Tariff Sheet
5. A copy of others documents in Voluntary Section executed by me as an Client
6. CDSL – Demat Account Opening Form
7. CDSL – Power of Attorney & Schedule of charges for Depository Services.

Thanking You

Yours Faithfully,


 SOLE / FIRST HOLDER SIGNATURE


 SECOND HOLDER SIGNATURE


 THIRD HOLDER SIGNATURE

A-46

ACKNOWLEDGEMENT RECEIPT

From

ACHIEVERS EQUITIES LTD

32/A, Diamond Harbour Road, Sakherbazar, Kolkata - 700008

FORM NO.				D	D	M	M	Y	Y	Y	Y

Received the application from Mr. / Ms. _____ as the sole / first holder alongwith _____ and _____ as the second and third holder respectively for opening a account. Your Unique Client Code & Client ID will be intimated to you shortly. Please quote the Unique Client Code & Client ID allotted to you in all your future correspondence. We also acknowledge receiving Cheque No. _____ (Account opening Charges amount of ₹ 750/-) & Cheque No. _____ (Amt. ₹ _____) for margin with Achievers Equities Ltd.

 RM/INTODUCER NAME

 SEAL & SIGN OF OFFICER

Note : Please note that this acknowledgement does not constitute automatic acceptance of the documents and places no obligation than the submission of the indicated documents to Achievers Equities Ltd.

ACKNOWLEDGEMENT

From,

DDMMYYYY

To,
ACHIEVERS EQUITIES LTD
32/A, Diamond Harbour Road, Sakherbazar, Kolkata - 700008

Dear Sir / Madam,

Ref. : Acknowledgement for the receipt of documents

This is to acknowledge the receipt of a copy of the following documents viz.,

- 1. Rights & Obligation for Trading Account (Separately- Part B)
2. Rights & Obligation for CDSL - DP Account (Separately- Part B)
3. Guidance Note (Separately - Part B)
4. Literature on Anti-Money Laundering—Combating Financing of Terrorism (Separately - part B)
5. Risk Disclosure Document (Separately - Part B)

Thanking You,
Yours Faithfully,

Amit Sarkar
SOLE / FIRST HOLDER SIGNATURE

SECOND HOLDER SIGNATURE

THIRD HOLDER SIGNATURE

A-47

ACKNOWLEDGEMENT RECEIPT

From
ACHIEVERS EQUITIES LTD
32/A, Diamond Harbour Road, Sakherbazar, Kolkata - 700008

FORM NO. DDMMYYYY

Received the application from Mr. / Ms. as the sole / first holder
alongwith and as the second and third holder respectively
for opening a account. Your Unique Client Code & Client ID will be intimated to you shortly. Please quote the Unique Client Code & Client ID
allotted to you in all your future correspondence. We also acknowledge receiving Cheque No. (Account
opening Charges amount of ₹ 750/-) & Cheque No. (Amt. ₹) for margin with Achievers Equities Ltd.

RM/INTODUCER NAME

SEAL & SIGN OF OFFICER

Note : Please note that this acknowledgement does not constitute automatic acceptance of the documents and places no
obligation than the submission of the indicated documents to Achievers Equities Ltd.