



AN ISO 9001:2008 CERTIFIED COMPANY

CIN : U65990WB2009PLC138910

Sl. No. _____

Client Registration Kit

NSE | BSE | CDSL

Making Money Differently

Name _____ DP CLIENT ID: _____

UCC

BRANCH / RM / AP
CODE

Authorised CODE



AN ISO 9001:2008 CERTIFIED COMPANY

CIN : U65990WB2009PLC138910

Registered & Correspondence Office 32/A, Diamond Harbour Road,
Shakherbazar, Kolkata - 700 008 **T** 033 2445 6442 | **CC** 033 6606 3000 | **F** 033
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www.achiiversequitiesltd.com

PLEASE READ "AEL" AS "ACHIIVERS EQUITIES LTD" WHERE EVER IT APPEARS.

Compliance Officer Name, Tel. No. & Email ID : Pankaj Kumar Das. 033 6606 3000. pankaj.das@achiiversind.com
CEO Name, Tel. No. & Email ID : Suman Chakraborty., 033 6606 3000. suman.chakraborty@achiiversequitiesltd.com

CLEARING MEMBER IN DERIVATIVES SEGMENT OF NSE & BSE

ICICI BANK LTD

Securities Markets Services
Empire Complex, Lower Parel (West), Mumbai-400013
CIN : L65190GJ1994PLC021012

SEBI Reg No. - NSE F&O INF231134745 | NSE CD INE231395832 | BSE F&O INF011133446

SEBI REGISTRATION NUMBERS & DATE

NSE - CM	INB 231395832	20-10-2010	BSE - CM	INB 011395838	20-10-2010
NSE - F&O	INF 231395832	20-10-2010	BSE - F&O	INF 011395838	20-10-2010
NSE - CDS	INE 231395832	20-10-2010	BSE - CDS	6335	-----
			CDSL Regn. No.	IN-DP-299-2016	
			CDSL DP ID	12073100	

INVESTOR GRIEVANCE

For any grievance/dispute, please contact AEL The above address or email us at our investor grievance
Email ID : grievance@achiiversequitiesltd.com and call us at +91 33 6606 3000
In case not satisfied with the response, please contact the concerned exchange(s)

National Stock Exchange of India Limited	ignse@nse.co.in	022-2659 8190
Bombay Stock Exchange	ig@bseindia.com	022-2272 1233
Central Depository Services (India) Limited	complaints@cdslindia.com	022-2272 3333
Securities and Exchange Board of India	investorcomplaints@sebi.gov.in	022-2644-9000

You can also lodge your grievances with SEBI at <http://scores.gov.in>. For any queries, feedback or assistance, please contact SEBI Office on Toll Free Helpline at 1800 22 7575 / 1800 266 7575.



AN ISO 9001:2008 CERTIFIED COMPANY

Dear Investor,

Greetings from Achiievers Equities Limited!

We would like to take this opportunity to thank you for choosing to register with Achiievers Equities Ltd (AEL). It will be our pleasure to meet your needs and offer you a hassle-free trading experience.

To help you with better service we would require your co-operation in completing the relevant forms and submitting the relevant documents required to meet regulatory norms. We have tried to make the form as simple as possible and comprehensive which will be helpful as you go along.

Feel free to reach us at any point of time for any assistance in filling up the form and we assure to for a prompt response and guidance.

Looking forward to a continued relationship.

Regards,
Team Achiievers.

For assistance call **033 6606 3000 / 1860 420 3333**

INDEX

MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES

SL. NO.	NAME OF THE DOCUMENT	BRIEF SIGNIFICANCE OF THE DOCUMENT	PG.NO.
1	ACCOUNT OPENING FORM	Basic information for clients before filling the form. PART - I. KYC / Ekyc form - document captures the basic information about the constituent and an instruction / check list. PART - II. Document captures the additional information about the constituent relevant to trading account and an instruction / check list. Trading Preferences. Dealing through sub-brokers and other stock broker. Nomination form for demat and trading account.	1-14
2.	POLICIES AND PROCEDURES	Document describing significant policies and Procedures of stock broker	15-17
3.	TARIFF SHEET/BROKERAGE SLAB (TRADING DEMAT)	Document detailing the rate/amount of brokerage and other charges , tariff charges levied on the client for trading on stock exchange(s) & CDSL	17-20
4	RIGHTS AND OBLIGATIONS (TRADING)	Document stating the Right & Obligations of stock broker (trading member, sub-broker & client for trading on exchanges including addition rights & obligations in case of case of internet/wireless technology based trading).	Included in client copy
5	RISK DISCLOSURE DOCUMENT (RDD)	Document detailing risks associated with dealing in the securities	
6	GUIDANCE	Document detailings do's and don't for trading exchnage ,for the education of the innvestors	
7	RIGHTS AND OBLIGATIONS (DP)	Rights & DB ligations of Beneficial owner and Depository Participant as Prescribed SEBI and Depository	
VOLUNTARY DOCUMENTS AS PROVIDED BY THE STOCK BROKER			
1	INFORMATION TO BE PROVIDED BY THE CLIENT IN RELATION TO THE PMLA, 2002		21
2	AUTHORITY LETTER FOR RUNNING ACCOUNTS		22-23
3	DECLARATION LETTER OF UNDERSTANDING		24
4	CONSENT LETTER FOR ELECTRONIC COMMUNICATION		25
5	CONSENT LETTER FOR RECEIVING ALIERTS, TRADING CALLS, RESEARCH REPORT ETC. ON MOBILE		26
6	AUTHORITY LETTER TO DEBIT THE DEMAT ACCOUNT OPERATING CHARGES		
7	AUTHORITY TO PLACE INSTRUCION WITH AEL		27
8	AUTHORITY TO SIGN, EXECUTE AND/OR ACKNOWLEDGE ANY DOCUMENT		
9	WAIVER OF DISCLAIMER CLAUSE FOR TECHNICAL CALLS		
10	DISCLAIMAR CLAUSE		28
11	BSE STAR MF / MFSS FACILITY		29
12	DETAILS OF TERMS & CONDITIONS FOR THE INVESTOR / CLIENT FOR USING BSE STAR MF / MFSS		30

INDEX

13	CLIENT APPROVAL FOR AMOUNT DEDUCTION	31
14	ANNEXURE 2.4	32-33
15	ANNEXURE 2.6	34-35
16	POWER OF ATTORNEY – EQUITY ACCOUNT	36-38
17	REGULAR SERVICE DEMAT ACCOUNT	39
18	FATCA/CRS DECLARATION FORM- (INDIVIDUAL)	40
19	ACKNOWLEDGEMENT FOR THE RECEIPT OF PRE-ACTIVATION	41
20	ACKNOWLEDGEMENT FOR THE RECIEPTS OF POST ACTIVATION	42
21	VERNACULAR DECLARATION	

INSTRUCTION TO THE APPLICANT FOR TRADING ACCOUNT OPENING & INSTACTION TO THE APPLICANTS (BO'S) FOR DEMAT ACCOUNT OPENING		
Fill in English BLOCK letters with black ink only		
All correction /overwriting should be counter signed by client		
Provide all necessary documentary proofs (as per document checklist) .Documents should not be more than 3 months old		
Name of the client on all documents should be match with name in KYC form		
Client signatures on documentary proofs: Client signatures should match with sign on PAN card / DL / Passport (in case of individual) or account opening payment cheque.		
Photocopies of a photograph will not be accepted. Photograph submitted should be passport size, front facing and with a plain background. Client should sign across in such a manner where part of the signature is on the photo and other part is on the form.		
Registered sub-broker I AP signature with stamp if any		
Provide unique email id & mobile number		
Copy of cancelled cheque leaf/pass book/bank statement specifying name of the constituent, MICR Code or/and IFSC Code of the bank should be submitted.		
Demat master or recent holding statement issued by DP bearing name of the client.		
GUIDELINES FOR NO'N RESIDENT INDIAN		
NRE Account holder can repatriate their funds whereas NRO cannot repatriate.		
PIS account is compulsory if the person wants to trade in Secondary Market. NRE/NRO cannot have two PIS account. In case the person has an existing PIS account then he has to close one PIS account and then apply for a new account		
OCB's are disallowed to open account in Indian Market		
NRIs should mandatorily state their overseas address in complete otherwise the application will be rejected. NRIs are requested to provide an Indian address for correspondence purpose.		
IN DP, an Account with Repatriation benefit cannot be opened with Joint name of any resident Indian.		
GUIDELINES FOR NO'N RESIDENT INDIAN		
Stock broker has an option of doing 'in-person' verification through web camera at the branch office of the stock broker/authorize person/sub-broker's office.		
In case of non-resident clients, employees at the stock broker's local office, overseas can do 'in-person' verification.		
Further, considering the, infeasibility of carrying out 'in-person' verification of the non-resident clients by the stock broker's staff, attestation of KYC documents by notary public, court, magistrate, judge, local banker, Indian embassy/Consulate general in the country where the client resides may be permitted		
<input type="checkbox"/> Proof of Identity (POI) <input type="checkbox"/> Proof of Address (POA) <input type="checkbox"/> Bank & MICR/IFSC Proof		
<input type="checkbox"/> Income Proof <input type="checkbox"/> Proof of Demat A/c		
If Name/Photo/Signature on PAN Card is not clear, then submit additional POI		
Copy of PAN Card is mandatory for all applicants (except for applicants residing in state of Sikkim. Marked on KYC that PAN Exempted)		
If correspondence & permanent addresses are different, then proofs for both should be submitted		
In case of Individual- POA in name of spouse may be accepted. In such case proof to establish		
Relationship such as ration card, Passport, Marriage Certificate should be provided		
Office/Business/Shop Address if not acceptable as permanent address in case of individual		

FOR NON- INDIVIDUALS

Form need to be initialized by all the authorized signatories

All documentary proofs should be signed by authorized signatory (ies) / Karta /Partner

With rubber stamp by non-individual

☐ Proof of Identity (POI)

☐ Proof of Address (POA)

☐ Bank & MICR/IFSC Proof

☐ Income Proof

☐ Proof of Demat A/c

Board Resolution for investment in securities market with name of authorized signatory(ies) and mode of operations (jointly or severally) or On Letter head of Company and should be certified by two directors

Articles & Memorandum of Association (Along with Certificate of Incorporation)

Latest shareholding pattern if there are any corporate holding more than 25% then for that case Provide such corporate share holding pattern

Balance Sheets for last 2 financial years (to be submitted every year)

FOR OFFICE USE ONLY

UCC Code allotted to the Client

Client ID

	DOCUMENTS VERIFIED WITH ORIGINALS	CLIENT INTERVIEWED BY	IN-PERSON VERIFICATION DONE BY
Name of the Employee/AP/SB			
Employee/AP/SB Code			
Designation			
DATE			
Signature			

We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. We have also given / sent him a copy of 'Rights and Obligations' document (s). Risk Disclosure Document (ROD), Guidance Note and made him aware of the contents therein. We have given/sent him a copy of all the KYC documents. We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. We also undertake that any change in the 'Rights and Obl rations' and Risk Disclosure Document (ROD) would be made available on our website for the information of the clients.

For Achievers Equities Ltd

Authorised Signatory

CHECK-LIST	
	PROOF OF IDENTITY & SIGNATURE
	With rubber stamp by non-individual
	Unique Identification Number (UID / Aadhaar) Card
	UID / Aadhaar (with Client's Photo, Sign & Address)
	Voter's ID card (Front & Back)
	Driving License
	Bank Verification Letter - Photo / Signature attested by Bank Manager (bearing Client's Account number, Bank stamp, Branch MICR code and Attesting Authority's Name & Employee number)
	Credit Card / Debit Card issued by Scheduled Commercial Banks (with Client's Photo & Sign)
	ID Card/Document issued by - State or Central Government & its departments / Statutory Regulatory Authorities/ Public Sector Undertaking/ Scheduled Commercial Banks/ Public Financial Institutions/ Colleges affiliated to Universities / Professional Bodies like ICAI, ICWAI, ICSI, Bar Council etc. to their Members (with Client's Photo & Sign)
	PROOF OF ADDRESS
	Passport (with Client's Photo, Sign & Address)
	Voter's ID card (Front & Back)
	Driving License
	Ration Card
	Flat Maintenance Bill / Insurance Copy
	Gas Bill (Bill date being not more than 3 months old)
	Electricity Bill (Bill date being not more than 3 months old)
	Landline Telephone Bill (Bill date being not more than 3 months old - Mobile Bills not accepted as proof)
	Registered Lease Agreement or Sale Agreement of Client's Residence (pages bearing Client's Name, Address along with last page with signatures evidencing the execution of agreement)
	Bank Passbook / Statement with client as first-holder in Bank Account (Not more than 3 months old - along with copy of pages containing Client's Name, Address and Last Transaction)
	Bank Verification Letter with Photo & Address attested by Bank Manager (bearing Client's Account number, Bank stamp, Branch MICR code and Attesting Authority's Name & Employee number)
	ID Card/Document issued by - State or Central Government & its departments / Statutory Regulatory Authorities/ Public Sector Undertaking/ Scheduled Commercial Banks/ Public Financial Institutions/ Colleges affiliated to Universities / Professional Bodies like ICAI, ICWAI, ICSI, Bar Council etc. to their Members (with Client's Address)
	Proof of address issued by Bank Managers of Scheduled Commercial Banks/ Co-operative Banks or Multinational Foreign Banks or a Gazetted Officer or Notary Public or Elected representatives to Legislative Assembly/ Parliament/ Documents issued by any Govt. or Statutory Authority
	Any of the above proof of Address issued in the name of Client's Spouse (Attach document evidencing relationship with applicant eg. Marriage Certificate)
	PROOF OF BANK DETAILS
	Bank Passbook / Statement (Not more than 3 months old – along with copy of cancelled cheque & pages containing Client's Name, Address and Last Transaction) Mandatory document for NRI/FN Applicant
	Mandatory document for NRI/FN Applicant (bearing Client's Account number, Bank stamp, Branch IFSC & MICR code and Attesting Authority's Name & Employee number)
	Cancelled or Copy of Personalized Cheque Leaf

Note: Documents having an expiry date shall be accepted only if it is valid as on the date of submission

CLIENT CATEGORY WISE - ADDITIONAL REQUIREMENTS

(1) Passport / PIO Card / OCI Card (2) Overseas Address Proof (3) Declaration duly signed that Client has complied with an will continue to comply with FEMA regulations and other applicable laws	Mandatory for NRIs / Foreign Nationals
Mariner's Declaration or Certified Copy of CDC (Continuous Discharge Certificate) along with other statutory approvals required for investment in commodities	Mandatory for Merchant Navy NRIs
Corporate Identification Number (CIN) is optional and in absence of Director Identification Number (DIN) for the directors, their passport should be given	Foreign companies

DOCUMENTARY REQUIREMENTS FOR OPENING NON-INDIVIDUAL TRADING ACCOUNTS

Types of Entity	Documents Required
HUF	<ul style="list-style-type: none"> • PAN Card of HUF Entity • PAN Card, Photograph & proof of Address of Karta • Self-Declaration by Karta / Deed of Declaration of HUF giving details of family members including Coparceners with their names, sex, date of Birth & relationship with Karta • Proof of Correspondence & Registered Office Address* • Proof of Bank Details
CORPORATE (Domestic or Foreign)	<ul style="list-style-type: none"> • Proof of Bank Details • Certified Copies of Memorandum/Articles of Association and Incorporation Certificate (bearing Stamp & Signature of Registrar) • Certified True Copy of Board Resolution (on company's letterhead) duly certified by Chairman / Managing Director / Director / Company Secretary, for investment in Equity Markets along with the names of the persons authorized to deal in commodity derivatives on behalf of the company • Copy of Balance Sheets for the last 2 financial years (to be submitted every year) • Copy of latest share holding pattern including list of all those holding control, Copy of latest share holding pattern including list of all those holding control, duly certified by Company Secretary / Whole time Director / MD (to be submitted every year) • Net worth certificate details, list of Directors as on date on Company letterhead, list of Authorized signatory on company letterhead. • PAN Card Photograph Proof of Identity & Address and DIN numbers of <ul style="list-style-type: none"> (a) Whole-time Directors / Two Directors in charge of day to day operations (b) Individual Promoters holding control - either directly or indirectly • Proof of Correspondence & Registered Office Address* • Proof of Bank Details
PARTNERSHIP FIRM	<ul style="list-style-type: none"> • PAN Card of Partnership Firm • Copy of the balance sheets for the last 2 financial years (to be submitted every year) • Certificate of registration (for registered partnership firms only) • Copy of partnership deed. • Copy of partnership deed. Photographs to be signed by all the Partners. • PAN Card, Photograph, Proof of Identity & Address of Partners. • Proof of Correspondence & Registered Office Address* • Proof of Bank Details

TRUST	<ul style="list-style-type: none"> • PAN Card of the Trust • Certificate of Registration of Trust (for registered trust only) • Copy of Trust Deed • List of Trustees certified by Managing Trustees / C.A. • Certified True Copy of Resolution passed by Board of Trustees (on Trust's letterhead) duly certified by Managing Trustees, for investment in Commodity Markets along with the names of the persons authorized to deal in commodity derivatives on behalf of the Trust • Copy of Balance Sheets for the last 2 financial years (to be submitted every year) • Copy of Balance Sheets for the last 2 financial years (to be submitted every year) • Proof of Correspondence & Registered Office Address* • Proof of Bank details
SOCIETY (Registered Society)	<ul style="list-style-type: none"> • PAN Card of the Society • Copy of Certificate of Registration under the Societies Registration Act, 1860. • Certified List of Managing Committee members. • Certified List of Managing Committee members letterhead) duly certified by Managing Committee Members, for investment in Commodity Markets along with the names of the persons authorized to deal in • True copy of Society Rules and Bye Laws Certified by the Chairman / Secretary • PAN Card, Photograph & Proof of Identity & Address of Managing Committee Members • PAN Card, Photograph & Proof of Identity & Address of Managing Committee Members* • Proof of Bank details
GOVERNMENT BODY	<ul style="list-style-type: none"> • Self-certification on letterhead of the Government Body • List of authorized signatories along with their photograph and specimen signatures on the letterhead of the Government Body • Proof of Correspondence & Registered address*
GOVERNMENT BODY PARTNERSHIP (LLP)	<ul style="list-style-type: none"> • Registration Certificate granted by Registrar to LLP under the LLP Act, 2008 • Declaration (on LLP's letterhead) giving the details Names, Addresses and DPIN [Designated Partner Identification Number allotted by the Registrar for each designated partner], along with their signatures and photographs, duly signed by all designated partner/s clearly stating that within named persons, who are designated partners of the LLP, PARTNERSHIP (LLP) have been nominated as Authorized Signatories to open and operate the trading account on behalf of the LLP • PAN Card of the LLP • Proof of Correspondence & Registered Office address • Proof of Bank Details
* Proof of Address as prescribed by SEBI /NSE / BSE as per the Checklist must be submitted	

**CENTRAL KYC REGISTRY | KNOW YOUR CUSTOMER (KYC) APPLICATION FORM
(FOR) INDIVIDUAL**

Important Instructions:

Fields marked with '*' are mandatory fields.

Please fill the form in English and in BLOCK letters.

 Application Type* ☒ New

☐ Update

KYC Number _____

(Mandatory for KYC update request)

 Account type* ☐ Normal

☐ Simplified (for low risk customers)

☐ Small

1. PERSONAL DETAILS

(Please refer instruction "A" at the end)

PAN No.* _____

Aadhaar No* _____

(Please enclose duly attested copies of your PAN & Aadhaar Card*)

Prefix _____

First Name _____

Middle Name _____

Last Name _____

☒ Name* (Same as ID proof)

Maiden Name (If any*) _____

Father / Spouse Name* _____

Mother Name* _____

Date of Birth* _____

Gender*

☐ M-Male

☐ F- Female

☐ T-Transgender

Marital Status*

☐ Married

☐ Unmarried

☐ Others

Citizenship*

☐ In-Indian

☐ Others (ISO 3166 Country Code ☐ ☐)

Residential Status*

☐ Resident Individual

☐ Non Resident Indian

☐ Foreign National

☐ Person of Indian Origin

Occupation Type*

☐ S-Service (☐ Private Sector

☐ Public Sector

☐ Government Sector)

☐ O-Others (☐ Professional

☐ Self Employed

☐ Retired

☐ Housewife

☐ Student)

☐ B-Business ☐ Forex Dealer

☐ Agriculturist

☐ X- Not Categorised

☐ Others _____

PHOTO

 Signature / Thumb
Impression

2. TICK IF APPLICABLE
☐ RESIDENCE FOR TAX PURPOSES IN JURISDICTION(S) OUTSIDE INDIA (Please refer instruction B at the end)

ADDITIONAL DETAILS REQUIRED* (Mandatory only if section 2 is ticked)

ISO 3166 Country Code of Jurisdiction of Residence* _____

Tax Identification Number or equivalent (If issued by jurisdiction)* _____

Place / City of _____ ISO 3166 Country Code of Birth* _____

Birth* _____

I have read and understood the information requirements and the Terms & Conditions mentioned in this Form (read along with FATCA & CRS instructions) and hereby confirm that the information provided by me on this Form is true, correct and complete. I hereby agree and confirm to inform Ashlar Securities Pvt. Ltd. for any modification to this information promptly. I further agree to abide by the provisions of the scheme related documents inter alia provisions of FATCA & CRS on Automatic Exchange of Information (AEOI).

3. PROOF OF IDENTITY (PoI)* (Please refer instruction C at the end)

(Certified copy of any one of the following Proof of Identity[PoI] needs to be submitted)

☐ A- Passport Number _____

Passport Expiry Date _____

☐ B- Voter ID Card _____

☒ C- PAN Card _____

☐ D- Driving Licence _____

Driving Licence Expiry Date _____

☐ E- UID (Aadhaar) _____

☐ F- NREGA Job Card _____

☐ Z- Others (any document notified by the central government) _____

Identification Number _____

☐ S- Simplified Measures Account - Document Type code _____

Identification Number _____

4. PROOF OF ADDRESS (PoA)*
☒ 4.1 CURRENT / PERMANENT / OVERSEAS ADDRESS DETAILS (Please see instruction D at the end)

Address Type*

☐ Residential / Business

☐ Residential

☐ Business

☐ Registered Office

☐ Unspecified

Proof of Address*

☐ Passport

☐ Driving Licence

☐ UID (Aadhaar)

☐ Voter Identity Card

☐ NREGA Job Card

☐ Others _____

☐ Simplified Measures Account - Document Type code _____

Address

Line 1* _____

Line 2 _____

Line 3 _____

District* _____ Pin / Post Code* _____ State / U.T Code* _____ City / Town / Village* _____

ISO 3166 Country Code* _____

☒ 4.2 CORRESPONDENCE / LOCAL ADDRESS DETAILS * (Please see instruction E at the end)☐ Same as Current / Permanent / Overseas Address details (In case of multiple correspondence / local addresses, please fill 'Annexure A1')

Line 1* _____

Line 2 _____

Line 3 _____ City / Town / Village* _____

District* _____ Pin / Post Code* _____ State / U.T Code* _____ ISO 3166 Country Code* _____

☐ 4.3 ADDRESS IN THE JURISDICTION DETAILS WHERE APPLICANT IS RESIDENT OUTSIDE INDIA FOR TAX PURPOSES* (Applicable if section 2 is ticked)☐ Same as Current / Permanent / Overseas Address details☐ Same as Correspondence / Local Address details

Line 1* _____

Line 2 _____

Line 3 _____ City / Town / Village* _____

State* _____ ZIP / Post Code* _____ ISO 3166 Country Code* _____

5. Contact Details

(All communications will be sent on provided Mobile no. / Email-ID) (Please refer instruction F at the end)

Tel. (Off) _____ Tel. (Res) _____ Mobile _____

FAX _____ Email ID _____

6. DETAILS OF RELATED PERSON

(In case of additional related persons, please fill 'Annexure B1') (please refer instruction G at the end)

☐ Addition of Related Person☐ Deletion of Related Person

KYC Number of Related Person (if available*)

Related Person Type*

☐ Guardian of Minor☐ Assignee☐ Authorized Representative

Prefix First

Name

Middle Name

Last Name

Name* _____

(If KYC number and name are provided, below details of section 6 are optional)

PROOF OF IDENTITY [PoI] OF RELATED PERSON* (Please see instruction (H) at the end)

☐ A- Passport Number _____ Passport Expiry Date _____

☐ B- Voter ID Card _____

☐ C- PAN Card _____

☐ D- Driving Licence _____ Driving Licence Expiry Date _____

☐ E- UID (Aadhaar) _____

☐ F- NREGA Job Card _____

☐ Z- Others (any document notified by the central government) _____ Identification Number _____

☐ S- Simplified Measures Account - Document Type code _____ Identification Number _____

☐ 7. REMARKS (If any)

8. APPLICANT DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I under take to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

Date _____ Place _____

Signature / Thumb Impression of Applicant

9. ATTESTATION / FOR OFFICE USE ONLY

Achievers Equities Ltd.
code :

☐ (Originals Verified) Self Certified Document copies received☐ (Attested) True copies of documents received Main Intermediary

Name & Signature of the
authorised signatory
with seal / stamp

Date :

IPV Done On

ADDITIONAL KYC FORM FOR OPENING TRADING AND DEMAT ACCOUNT (for Individual & Non-individual)

<input type="checkbox"/> Demat Account	<input type="checkbox"/> Individual Account	<input type="checkbox"/> Non-Individual Account
<input checked="" type="checkbox"/> Trading Account	<input checked="" type="checkbox"/> Individual Account	<input type="checkbox"/> Non-Individual Account

(To Be Filled By The Depository Participant)

Application No.		DP Internal Reference No.	
Date		Client Trading Code	
DP ID		Client ID	

(To be filled by the Application in BLOCK LETTER in English)

I/We request you to open a Demat and Trading Account in My/Our name as per the following details :

Holders Details			
Sole / First Holder's Name	Name		PAN _____ UID _____
	Search Name (For Non-Individual only)		PAN _____ UID _____
Second Holder's Name (For Demat Account)			PAN _____ UID _____
Third Holder's Name (For Demat Account)			PAN _____ UID _____

Name *

* In case of firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust etc., should be mentioned above.

STATUS FOR INDIVIDUAL DEMAT A/C

Type of Account (Please tick whichever is applicable)	
Status (For Individual)	Sub - Status
<input checked="" type="checkbox"/> Individual	<input checked="" type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Individual Margin Trading A/C (MANTRA) <input type="checkbox"/> Individual-Director <input type="checkbox"/> Individual HUF / AOP <input type="checkbox"/> Minor <input type="checkbox"/> Others (specify) _____
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI - Depository Receipts <input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> Others (specify) _____
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National <input type="checkbox"/> Foreign National - Depository Receipts <input type="checkbox"/> Others (specify) _____

STATUS FOR NON-INDIVIDUAL DEMAT A/C**Type of Account** (Please tick whichever is applicable)

Status		Sub- Status
<input type="checkbox"/> Body Corporate <input type="checkbox"/> CM <input type="checkbox"/> Banks <input type="checkbox"/> FI <input type="checkbox"/> Mutual Fund <input type="checkbox"/> Clearing House <input type="checkbox"/> Trust <input type="checkbox"/> Other (Specify) _____	<input type="checkbox"/> OCB <input type="checkbox"/> FII	To be filled by the DP
SEBI Registration No. (If Applicable)	SEBI Registration date	
RBI Registration No. (If Applicable)	RBI Approval date	
Nationality	<input type="checkbox"/> Indian <input type="checkbox"/> Other (Specify) _____	
Clearing Member Details (To be filled by CMs only)		
Name of Stock Exchange		
Name of CC / CH		
Clearing Member Id	Trading member ID	

Details of Guardian (in case the account holder is minor)															
Guardian's Name		PAN													
Relationship with the applicant															
I/We instruct the DP to receive each and every credit in my / our account (if not marked, the default option would be 'Yes')		[Automatic Credit] <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No													
I/We would like to instruct the DP to accept all the pledge instructions in my/our account without any other further instruction from my/our end (if not marked, the default option be 'No')		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No													
Account Statement Requirement	<input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input checked="" type="checkbox"/> Monthly														
I / We request you to send Electronic Transaction-cum-Holding Statement at the email id		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No													
I/We would like to share the email ID with the RTA		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No													
I/We would like to receive the Annual Report <input type="checkbox"/> Physical / <input checked="" type="checkbox"/> Electronic / <input type="checkbox"/> Both Physical & Electronic (Tick the applicable box. If not marked the default option would be in Physical)															
I / We wish to receive dividend / interest directly in to my bank account as given above through ECS (If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time]			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No												
SMS Alert Facility and / or TRUST facility															
SMS Alert Facility Refer to Terms & Conditions given as Annexure-2.4	Mobile No. +91 _____ [(Mandatory, if you are giving Power of Attorney (POA))] If POA is not granted you do not wish to avail of this facility, cancel this option.).														
Transactions Using Secured Texting Facility (TRUST). Refer to Terms and Conditions Annexure-2.6	I wish to avail the TRUST facility the mobile number registered for SMS Alert Facility. I have read and understood the Terms & Conditions prescribed by CDSL for the same. <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO <div style="border: 1px solid black; padding: 5px; margin-top: 5px;"> I wish to register the following clearing member IDs under my/our below mentioned BO ID registered for TRUST <table border="1" style="width: 100%; border-collapse: collapse; margin-top: 5px;"> <thead> <tr> <th style="width: 33%;">Stock Exchange Name / ID</th> <th style="width: 33%;">Clearing Member Name</th> <th style="width: 33%;">Clearing Member ID (optional)</th> </tr> </thead> <tbody> <tr><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td></tr> </tbody> </table> </div>			Stock Exchange Name / ID	Clearing Member Name	Clearing Member ID (optional)									
Stock Exchange Name / ID	Clearing Member Name	Clearing Member ID (optional)													
Easi	To/We register for easi, please visit our website www.cdslindia.com . Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.														
Other Details Gross Annual Income Details	Income Range per annum : <input type="checkbox"/> Up to Rs. 1,00,000 <input type="checkbox"/> Rs. 1,00,000 to Rs. 5,00,000 <input type="checkbox"/> Rs. 5,00,000 to Rs. 10,00,000 <input type="checkbox"/> Rs. 10,00,000 to Rs. 25,00,000 <input type="checkbox"/> More than Rs. 25,00,000														
	Net worth as on (Date)		Rs. _____												
	[Net worth should not be older than 1 year]														
Occupation	<input type="checkbox"/> Private /Public Sector <input type="checkbox"/> Government Service <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Others (Specify) _____														
Please tick, if applicable:	<input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to Politically Exposed Person (RPEP) <input type="checkbox"/> None														
Any Other information	_____														

DETAIL OF PROMOTERS / PARTNERS / KARTA / TRUSTEES AND WHOLE TIME DIRECTORS			
Particulars	1st	2nd	3rd
Name			
Designation			
PAN			
Proof of Identity submitted			
Proof of Address submitted			
Aadhaar number of Promoters / Partners / Karta			
Residential Address			
Photograph			
Signature with Rubber Stamp			

Use additional sheet, if required.

BANK ACCOUNT (S) DETAILS

	Primary Bank Details for Trading & DP	Secondary Bank Details (If any for Trading a/c only)	
	First Account (Default)	Second Account	Third Account
Bank Name:			
Branch Name:			
Branch Address:			
Bank Account No:			
Account Type: (Savings/Current Others/ In-Case of NRI/NRE/NRO)			
MICR Number:			
IFSC Code:			

1. Photocopy of the cancelled cheque having the name of the account holder where the cheque books issued, (or)

2. Photocopy of the Bank Statement having name and address of the BO (or)

3. Photocopy of the Passbook having name and address of the BO, (or)

4. Letter from the Bank.

*In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document.

EXISTING DEPOSITORY ACCOUNT(S) DETAILS

DP Name:	
Depository Name:	
DP. ID:	
Beneficiary ID (BO ID)	
Beneficiary Name 1	
Beneficiary Name 2	
Beneficiary Name 3	

TRADING PREFERENCES

*Please sign in the relevant segment where you wish to trade. The segment not chosen should be struck off by the client.

NSE CM		BSE-CM	
	SIGNATURE OF THE CLIENT		SIGNATURE OF THE CLIENT
NSE F&O		BSE-F&O	
	SIGNATURE OF THE CLIENT		SIGNATURE OF THE CLIENT
NSE CDS		BSE-CDS	
	SIGNATURE OF THE CLIENT		SIGNATURE OF THE CLIENT

If you wish to trade in derivatives (F&O/Currency) segments, submit ANY ONE of the following proof.

- | | |
|--|--|
| <input type="checkbox"/> Bank Statement/Passbook (For at least 6 months period And last entry should not be older than 3 months).

<input type="checkbox"/> Demat A/c Holding Statement (with Value & duly Stamped by DP).

<input type="checkbox"/> Latest ITR Acknowledgement.

<input type="checkbox"/> Latest Salary Slip/Copy of Form 16. | <input type="checkbox"/> Any Other relevant documents Substantiating ownership of assets.

<input type="checkbox"/> Latest Net worth Certificate by CA/CS.

<input type="checkbox"/> Self-Declaration along with relevant Supporting documents.

<input type="checkbox"/> Latest Annual Accounts. |
|--|--|

In respect of above documents as per risk management policy of the stock broker need to be provided by the Client from time to time # If, in future, the client wants to trade on any new segment/new exchange, separate authorization/letter should be taken from the client by the stock broker.

PAST ACTIONS

Details of any action/proceedings initiated/pending/taken by SEBI /Stock exchange/any other authority against the applicant/constituent or its Partners/promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years: _____

DEALING THROUGH OTHER STOCK BROKERS

If client is dealing through the broker, provide the following details:

Broker's Name			
SEBI Registration number			
Registered office address			
Phone		Fax	
Website			Signature of Broker (optional)
Email ID			

Whether dealing with any other stock broker (In case dealing with multiple stock brokers, provide details of all)

Name of stock broker			
Client Code		Exchange	
Details of disputes/dues pending from/to such stock broker/sub- broker			

ADDITIONAL DETAILSWhether you wish to receive Physical Contract Note or Electronic Contract Note (ECN) (please specify): ☐ Physical ☐ Electronic

Specify your Email ID, if applicable

Whether you wish to receive the standard documents - Rights and Obligations, Risk Disclosure Document (RDD) And Guidance note - physically or electronically, (please specify): ☐ Physical ☐ Electronic

Please note that these documents are also available in certain vernacular languages on demand & also available on website.

Number of years of investment/ Trading experience

In case of non-individuals, name, designation, PAN, UID, signature, residential address and photograph of person Authorized to deal in securities on behalf of company/firm/others:

Any other information

Whether you wish to avail of the facility of internet trading / wireless technology (please specify)

☐ Yes ☐ No**INTRODUCER DETAILS (OPTIONAL)**

Name of the Introducer (Surname - Name - Middle Name) _____

Status of the Introducer : _____

Address of the Introducer : _____

Phone No. of the Introducer : _____

Mobile No. of the Introducer : _____

Signature of the Introducer

NOMINATION FORM-TO BE FILLED IN BY INDIVIDUAL APPLYING SINGLE OR JOINTLY

I/We the sole holder / Joint holders / Guardian (in case of minor) hereby declare that

☐ I/We do not wish to nominate any one for this trading / demat account.

REGN. No.

☐ I/We nominate the following person who is entitled to receive security balances

Date

Lying in my/our account particulars whereof are given below, in the event of my/our death.

Full Name of the Nominee

PAN of the Nominee

Address of Nominee

City _____ State _____ Country _____ PIN _____

Phone _____ Fax _____

Relationship with BO (if any)

Date of Birth of Nominee

Signature of Nominee

SOLE / FIRST HOLDER SIGNATURE

SECOND HOLDER SIGNATURE

THIRD HOLDER SIGNATURE

As the nominee is a minor as on date, to receive the securities in this account on behalf of The nominee in the event of the death Of the Sole holder I all Joint holders/we appoint following Person to act as Guardian:

Full name of Guardian of Nominee

Address

City _____ State _____ Country _____ PIN _____

Phone _____ Fax _____

Email ID

Relationship of Guardian with Nominee

Signature of Guardian

This nomination shall supersede any prior nomination made by me / us and also any testamentary document executed by me/ us. Note: Two witnesses shall attest signature(s) / thumb impression(s)

Witness

Name

Address

Signature with date

1.

2.

SOLE / FIRST HOLDER SIGNATURE

SECOND HOLDER SIGNATURE

THIRD HOLDER SIGNATURE

POLICIES & PROCEDURES

AJ. Refusal of orders for penny stocks:

Penny stocks are those stocks which are not very liquid in nature/ which are very thinly traded in the Exchanges and trade at a relatively low price and with low market capitalization. These types of stocks are generally considered to be highly speculative and of high risk because of their lack of liquidity, large bid ask spreads, small capitalization and limited following and disclosure. As per company policy, "Penny Stocks" will include those scripts which appear in the list of illiquid securities issued by the Exchanges every month. The list is hosted in our website. The company shall have the absolute discretion to accept, refuse or partially accept any buy or sell orders for execution. The trades done in such stocks will be monitored. If any client is found to be indulging in trading in such stocks in high volume (as a % to the total market volume), the Broker may require client to submit adequate clarification. As per due diligence measures, if found improper. (i.e. If found that the trading is being done with an intention to manipulate market price or create false volume in the market) the Broker will disallow the client from trading in those scripts.

BJ. Setting up client's exposure limit:

In Cash segment, we may provide a exposure limit to a client which would be a multiple of the clear ledger balance in the account of the client plus value of paid up collaterals computed after appropriate haircut. The value of the "multiple" and the "haircut" shall be decided by the company based on Market Volatility and quality of collaterals.

It is not compulsory to collect upfront margin from clients for Cash segment. Generally the client is allowed to trade up to certain limit at our discretion, depending on various factors like financial credibility of the client, request from sub broker regarding setting client's exposure limit etc.

In Futures & Options segment, exposure limit of each client is set. Based on Margin money given by the client, as per the Exchange Regulations. Upfront margins collected from client.

Achievers Equities Ltd shall have the prerogative to allow differential purchase limits and sell limits varying from client to client, depending upon credit worthiness, integrity and past conduct of each client.

CJ. Applicable Brokerage Rate:

a. The Trading Members entitled to charge brokerage within the limits imposed by exchange which at present is as under:-

b. For Cash Market Segment:

The maximum brokerage chargeable in relation to trades effected in the securities admitted to dealing on the Capital Market Segment of the Exchange shall be 2.5% of the contract price exclusive of statutory levies.

c. For Option contracts:

Brokerage for option contracts shall be charged on the

2.5% of the premium amount or 1001*(per fol), whichever is higher exclusive of statutory levies.

a. For Future contracts:

Brokerage for future contracts shall be charged on the value at which the securities are bought or sold. It is hereby further clarified that brokerage on the future contracts shall not exceed

2.5% of the Contract value exclusive of statutory levies. Service

Tax on brokerage value will be levied in addition to brokerage as applicable. Securities Transactions Tax is levied on

Transaction value as prescribed by Government.

The Client is charged brokerage as per the scheme selected by him. The same is subject to change at the mutual understanding of the parties.

DI Imposition of Delay payment charge:

As per the Regulation, the client should pay his paying obligation of funds on or before one day from the Exchange Paying day.

As per our policy, in capital market segment, if the client fails to provide clear balance in our designated bank a/c towards his dues within 2 day, a Delay payment charge not exceeding 24% p.a. on the dues will be levied on the client.

In the F&O segment, if the client fails to provide clear balance in our designated bank a/c towards his dues within T + 1 day, a delay payment charge not exceeding 24% on the dues will be levied. AH may impose fines / penalties for any orders / trades / deals /

Actions of the client which are contrary to this agreement / rules /

Regulations / byelaws of the exchange or any other law for the time being in force at such rates and in such form as it may deem fit.

Further where AH has to pay fine or bear any punishment from any authority incoming (together with / as a consequence of / in relation to any of the orders / trades / deals / actions of the client, the same shall be borne by the client.

Hence the client is advised to make timely payment. Apart from imposition of charges we may not allow the client to take further Buy position.

Interest Free Deposits:

AEL shall not pay any interest or other benefit to the client for maintaining cash balances or depositing collateral margins with the company.

EI The right to sell clients' securities or close clients' positions, without giving notice to the client on account of non-payment of client's dues:

AH shall have right to sell client's securities, both unpaid securities as well as collaterals deposited towards margins, or close out client's open positions, without giving notice to the client where there is a delay/ failure of the client to meet the paying obligation and or there is a failure of the client to bring additional margins to cover the increase

<p>Purchased by the client engineer settlements where the sale of proceeds of unpaid securities are inadequate to cover the paying obligations and/ where the unpaid securities appear to be comparatively illiquid and cannot be sold at reasonable rates to the extent required.</p> <p>ii. AEL may follow the LIFO method for liquidation of securities but it may not be binding on it to follow this method in all cases.</p> <p>b. The margin shortfall in F&O:</p> <p>I. Positions of the client may be closed out to the extent of margin shortfall on the T + 1 basis.</p> <p>II. While computing margin shortfall, value of unapproved securities shall not be considered.</p> <p>III. As per the current Exchange requirements, the Member Broker is required to maintain 50:50 ratio between cash and collaterals margin deposited with the Exchange. AEL shall therefore have the prerogatives to insist for at least 50% of margin in cash and may not consider the value of securities over and above the cash component for the purpose of calculating margin shortfall and close the F&O position where it finds the deviation. However, sales made in capital market segment are not considered while closing F&O positions on T + 1 basis due to margin shortfall.</p> <p>c. intraday Positions :</p> <p>AEL shall have right to close out any intraday positions taken by the client after a defined "Cutoff" time (Presently 15 minutes before close of market).</p> <p>d. General:</p> <p>I. While selling the securities/ closing the client's positions. AEL may take into account the sales made by the client, positions closed by the client or collections received from the client till a cutoff time (presently 10.45am).</p> <p>II. While selling the securities/ closing the client's positions. AEL may not take into consideration Cheques/Bank drafts/Pay orders deposited by the client with AEL until clear proceeds of such instruments are received by AEL in its bank account.</p> <p>III. AEL shall have the right to sell client's securities or close out client's open positions but it shall not be under any obligations to undertake this exercise compulsorily. AEL shall therefore not be under any obligation to compensate/ or provide reasons of any delay or omission on its part to sell client's securities or close open positions of the client.</p> <p>FI Shortages in obligations arising out of internal netting of trades:</p> <p>In case of shortages in obligations arising out of internal netting of trades we follow the same policy as followed by Exchange. Short shares are bought in the market at market rate in the defaulter client code. Shares are delivered to the Buyer client on payout day. The ledger of the client who failed to deliver shares is debited for such market purchase.</p> <p>GI. Conditions under which a client may not be allowed to take further position:</p> <p>Under the following conditions a client may not be allowed to take further position,</p> <p>1. The client has a continuous debit balance •Such clients are allowed to close out his open position but is not allowed to take</p>	<p>Any new position.</p> <ol style="list-style-type: none"> 1. The client has not able to meet his pay- no blight on in cash by the schedule date of payment 2. The client has not met Market to Market loss in cash. 3. The "open" positions in a contract exceed or are close to market wide cut-off limits. 4. The client's position is close to client wise permissible "open" positions 5. The client had defaulted in meeting cash or securities obligation leading to compulsory close out of the position. 6. If the exchange is not allowing any further position in that script. 7. If broker limit of taking exposures wherein that particular script comes to an end. 8. Based on happening of the event company has the risk perception that further trading in the securities/contracts may not be interest of its clients and/or the market. 9. If the margin deposited by the client is not according to the exposure of the client. <p>HJ Temporary suspending or closing a client's account at client's request AEL may carry a periodic review of the client accounts and may suspend the accounts from Trading in the following circumstances:</p> <ol style="list-style-type: none"> 1. Where the client is inactive for more than 6 months 2. Where the client has not cleared the naked or uncovered debits which are more than 7 days' old. 3. Where the account is under investigation by any regulatory body. 4. Based on the recommendations made by the Branch Manager due to excessive speculations, un-cleared balances. 5. Physical documents are received back undelivered due to reasons like "no such person", "addressee" left, refusal accept mails. 6. Non submission of updated KYC and/or non updating of communications details viz. • emailed. Mobile no, Landline details or it is found to be belonging to a third person. 7. Client lodges a complaint either directly with company or through Exchange relating alleged unauthorized Trades being executed in the account. 8. On notices received from statutory, Government or Local authorities and Income Tax, Service Tax, a Judicial or a Oasis Judicial authority, etc 9. Upon the death, winding up, bankruptcy, liquidation or lack of legal Capacity of the client. <p>AEL may also suspend the account based on the written request received from the client.</p> <p>IJ De-registering a client</p> <ol style="list-style-type: none"> 1. The company may also initiate action for
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<p>The company shall have right to close out the existing positions, Sell the collaterals to recover its dues, if any, before de-registering the client</p> <p>3. On the Death / lunacy or other disability of the Client.</p> <p>4. If the Client has made any material misrepresentation of facts, including (without limitation) in relation to the security.</p> <p>5. If the Client is in breach of any term. Condition or covenant of this Agreement.</p> <p>6. If the client forms a part of the list of debarred entities published by SEBI or http://www.un.org/sc/committees/1267/consolist and/or any action is taken by NSE/BSE/SEBI on the client</p> <p>7. If the client is suspended from trading by any Regulatory authorities</p> <p>Client Acceptance of Policies and Procedures stated hereinabove:</p>	<p>I/We have fully understood the same and do hereby sign the same and agree not to call into question the validity, enforceability and applicability of any provision I clauses in this document under any circumstances whatsoever. These Policies and Procedures may be amended I changed unilaterally by the broker, provided the changes informed to me/us through any one or more means/ methods. I/We agree never to challenge the same on any grounds including delayed receipt /non-receipt or any other reason whatsoever. These Policies and Procedures shall always be read along with the agreement and shall be compulsorily referred to while deciding any dispute I difference</p> <p>Or claim between me/us and AEL before any court of law/ judicial / I adjudicating author including arbitrator / mediator etc</p>
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TARIFF SHEET / BROKERAGE SLAB								
<div style="display: flex; justify-content: space-between;"> <div> MVP BROKERAGE <input type="checkbox"/> MVP 3 Month <input type="checkbox"/> MVP 6 Month <input type="checkbox"/> MVP 1 Year </div> <div> <input type="checkbox"/> Rs. 15/- - Per Trade <input type="checkbox"/> Rs. 50/- - Per Day <div style="margin-top: 10px;">[If selected attach separate sheet duly signed]</div> </div> </div>								
NORMAL BROKERAGE								
Segment	Cash Market		Futures		Options	Currency Futures		Currency Option
Exchange	Square off (intraday)	Delivery	Non Square off	Square off (Intraday)	(per lot)	Non Square off	Square off (intraday)	(per lot)
	%	Min	%	Min		%	Min	
NSE								
BSE								
<p>1. There will be minimum Contract Brokerage of Rs.20/ to be charged subject to maximum permitted by regulatory authority may change time to time.</p> <p>2. The account fees for opening of trading account is Rs.150/ - .</p> <p>3. Cash on Spot Brokerage Schedule: 1.00%</p> <p>The minimum value of the trade that would be accepted would be Rs.500/., The maximum amount of trade that a customer can execute in any settlement under Spot Segment is Rs.10.00,000/., If the trading day is a bank holiday, then the pay-out will be credited on the next bank working day. GST will be charged additional as per the applicable rate.</p> <p>4. Call & Trade (MVP, Rs. 15/ per trade & Rs. 50/per day trade) @ Rs. 20/plus applicable taxes</p> <p>5. Please note, after consent of ECN mandate thereafter to get physical copy of contract note client have to give request indicating the period for which client need the contract note. The charge for the same is Rs. 15/per page plus Rs 25/courier charges.</p> <p>(The above rates are exclusive of STT, GST, Stamp Duty, SEBI Fee, Transaction Charges & other charges, if any, which will be separately charged as per applicable rates from time to time.)</p> <p>(Please refer to Policy & Procedures - Clause C)</p>								
SIGNATURE OF THE CLIENT								

CHARGES FOR DEPOSITORY SERVICES (INDIVIDUALS/CORPORATES)

Sr No	Particular	Individual (Regular)	Individual (BSDA)	Non-individual
1	Account Opening Charges	NIL	NIL	NIL
2	Account Maintenance Charges	Rs.400/- p.a.to be paid at the beginning of every year at the time of new account opening	NIL	Rs.900/- p.a.to be paid at the beginning of every year at the time of new account opening
3	Dematerialization Charges	Rs.3/- per Certificate plus courier charges of Rs.40/- per Demat request for every 500 gms	Rs.10/- per Certificate plus courier charges of Rs.100/- per Demat request for every 500 gms	Rs.3/- per Certificate plus courier charges of Rs.40/- per Demat request for every 500 gms
4	Transaction Charges Market/Off market/Interdepository	Rs.12/- plus CDSL charges at actual	Rs.50/- plus CDSL charges at actual	Rs. 12/- plus CDSL charges at actual
5	Pledge Charges (Creation/Closure)	0.02%of the transaction charges subject to a minimum of Rs.50/- plus CDSL charges at actual	0.05% of the transaction Charges subject to a Minimum of Rs. 100/- plus CDSL charges at actual	0.02% of the transaction charges subject to a minimum of Rs.50/- plus CDSL charges at actual
6	Pledge Charges for Invocation	0.05 of the transaction charges subject to a minimum of Rs. 50/-	0.10% the transaction charges subject to a minimum of Rs. 100/-	0.05% of the transaction Charges subject to a minimum of Rs.50/-
7	Rematerialization Charges	Rs.25/- per certificate or 0.05%of the value whichever is higher Plus CDSL charges	Rs.100/- per certificate or 0.10% of the value whichever is higher Plus CDSL charges	Rs.25/- per certificate or 0.05% of the value whichever is higher Plus CDSL charges
8	Failed / Rejection Charges	Rs.50/- per transaction	Rs.100/- per transaction	Rs.50/- per transaction
9	Demat Rejection charges	Rs.35/- per rejection for every 500 gms	Rs.35/- per rejection for every 500 gms	Rs.35/- per rejection for every 500 gms
10	Adhoc / Non periodic statement request Rs. 25/- per request up to 10 pages .Every add it one ls pages or a portio there of will Be charged at Rs.5/- plus courier charges	Rs. 25/- per request up to 10 pages .Every add it one ls pages or a portion thereof will Be charged at Rs.5/- plus courier charges	Rs.100/- per request upto 10 pages. Every additional S pages or a portion thereof will Be charged at Rs.20/- plus courier charges	Rs.25/- per request up to 10 pages. Every additional pages or a portion thereof will Be charged at Rs.5/- plus courier charges
11	Statutory & processing charges at the t me of account opening	NIL	Rs.100	Nil
12	Lifetime Offer III(AMC & Transaction)	Upfront at the time of Account opening Rs.5000/- • Serv. Tax, enrolls all account holders for free AMC & transaction charge for the next 15 Years (CDSL Charges at actual)	N.A	Upfront at the time of Account opening Rs.10 000/- •Serv. Tax, enrolls all account holders for free AMC & transaction charge for the next 15 Years (CDSL Charges at actual)
13	Lifetime Offer III (AMC)	Upfront at the time of Account opening Rs.2499/- • Serv. Tax, enrolls all account holders for free AMC for the next 5 Years (COSL Charges at actual. Transaction charges as per regular tariff.)	N.A	Upfront at the time of Account opening Rs.4999/- + Serv. Tax, enrolls all account holders for free AMC for the next 15 Years (CDSL Charges at actual, Transaction charges as per regular tariff.)

CHARGES FOR DEPOSITORY SERVICES (INDIVIDUALS/CORPORATES)

- > **** Rs.500/- will be charged for Non Individuals by CDSL for AMC. (Life Time)
- > Additional Delivery Instruction booklet would be charged @ Rs.50/- per booklet containing 10 leaves.
- > Modification of Client Address is Rs 40/- and for other Details Rs.25/- per instance
- > The fee structure is subject to change in case of any revision of charges by CDSL, any new statutory or other charges shall be introduced by Achievers equities Ltd with at least one month notice.
- > All charges are inclusive of GST

#BSDA Scheme:

Value of Holding (Amount)	Annual Maintenance Charges (Rs/-)
Upto – 50000	NIL
50001-200000	100 p.a.
200001 and above	Charges are applicable to regular Demat Account

Terms and Condition:

- > Valuation of holding will be calculated at the end of every billing cycle (monthly).
- > The value of securities held in BSDA shall not exceed Rs.2 lakhs at any point of time. If the value of holding exceeds Rs.2lakhs, the charges as applicable to regular Demat Account will be levied.

J 500/- per annum will be charged for Non-Individuals by CDSL for Annual Maintenance Charges

TARIFF OPTION	<input type="checkbox"/> REGULAR TARIFF <input type="checkbox"/> BSDA
	<input type="checkbox"/> LIFE TIME TARIFF (AMC & Transactions Charges) <input type="checkbox"/> Please check whichever is opted

SOLE / 1ST HOLDER SIGNATURE

2ND HOLDER SIGNATURE

3RD HOLDER SIGNATURE

CHARGES FOR DEPOSITORY SERVICES (INDIVIDUALS/CORPORATES)

OTHER POINTS:

All charges would attract the service tax / cess / levies and / or other such taxes as applicable from time to time

All payments have to be made favouring Achievers Equities Ltd. You can pay by Cheque or Demand Drafts payable at the local branch where the account is opened, outstation cheque will not be accepted

All the percentages in the above structure would be applied on the value of the transaction as computed by CDSL

Out of Pocket expenses incurred on the Dispatch of securities for Dematerialisation and communication charges incurred on out-station calls/ faxes made specifically on request of the client will be charged on actuals.

Charges quoted above are for the services listed. Any services not listed above will be charged separately

Any advance payments over and above the normal amount due can also be made. Any such higher amount paid than the minimum amount payable at the time of account opening shall be adjusted against the bills raised from time to time

IMPORTANT NOTE:

1. DP bills should be paid on or before the due date. Interest shall be levied @ 2% per month on outstanding amount for non-payment from the bill due date. Pursuant to Clause 9 of the Client DP Agreement, in case of non-payment of OP charges within the due date, DP reserves the right to discontinue operation of the demat account till such time that the payment is received

2. For all purposes the Bill date shall be construed as the date of demand and the bill will be considered as the Bill cum Notice for payment and, Achievers Equities Ltd reserves the right to "freeze depository account for debit transactions". In case of nonpayment of charges after two days from the Bill due date

3. The renewal charges for resuming the depository operations will be 150 per Account and the services will be resumed in minimum of two working days from the date of receipt of request at Achievers Equities Ltd branch

I/We understand that the above charges are subject to revision by Achievers Equities Ltd after providing a notice period of 30 days. Such revisions will be notified by ordinary post or courier

SOLE / 1ST HOLDER SIGNATURE

2ND HOLDER SIGNATURE

3RD HOLDER SIGNATURE

INFORMATION TO BE PROVIDED BY THE CLIENT IN RELATION TO THE PMLA, 2002

Name of the Client: _____ Client Code: _____
 If Business / Profession: Nature of Business: _____
 Industry: _____

Details of my/our Relatives, having account with AEL		
Name	Relationship	UCC (Client Code)

Details of the Corporate/Partnership Firm/Trust etc. where I/We am/are affiliated				
Name	Entity Type	Nature of business	Relationship	UCC (Client Code)

☒ **I/We agree to submit every year any one of following documents to AEL:**

- | | |
|--|-------------------------------------|
| 1. Profit & Loss Account and Balance Sheet | 2. Form No. 16 (Salary Certificate) |
| 3. Self-attested copy of Income Tax Return | 4. Net worth Certificate |
| 5. Demat Transaction Statement & Bank Statement (for 6 months) | |

I/We confirm that I/We will immediately inform AEL in case I/we am/are convicted under any grounds or any action is taken against me/us by any authority (ies).

- ☐ I/We hereby declare that I/We do not fall under the Clients of Special Category as defined in Prevention of Money Laundering Act, 2002, or
- ☐ I/We declare that I/We fall under Clients of Special Category as defined in Prevention of Money Laundering Act, 2002 (choose the relevant category as under):
- ☐ Nonresident Client
 - ☐ Politically Exposed Persons (PEP)
 - ☐ Relative of Political Exposed Person (RPEP)
 - ☐ Non face to face client
 - ☐ Companies having close family shareholding or beneficial ownership
 - ☐ Trusts, Charities, Non- Governmental Organizations (NGOs) and organizations receiving donations
 - ☐ Clients in high risk countries where existence / effectiveness of money laundering controls is suspect etc.
 - ☐ High Net-worth Clients
 - ☐ Companies. Offering foreign exchange offerings
 - ☐ Clients with dubious reputation as per public information available etc.

I / we intend to invest in the stock market with: ☐ Own funds ☐ Borrowed Funds

(If Borrowed Funds, then please specify below Sources of Funds):

Sources of Borrowed Funds (if any)		Amount
1		
2		

I/We hereby declare that I/We am/are beneficial owner of the Trading/Online Account opened with AEL

SIGNATURE OF THE CLIENT

FOR OFFICE USE ONLY

RISK CATEGORY

☐ High

☐ MEDIUM

☐ LOW

To,

ACHIEVERS EQUITIES LTD

32/A, Diamond Harbour Road, Sakherbazar, Kolkata – 700008.

Dear Sir / Madam

1. With reference to my/our trading account opened with you, I/we request you to maintain a running account for funds and securities on my/our behalf without settling the account on settlement of each transaction. I/We further request you to retain all amounts and securities receivable by me/us until specifically requested by me/us to be settled within one working day of request, if the same is lying with Achievers Equities Ltd & within 3 working days from the request if the same is lying with clearing member / clearing corporation.
2. I/we understand and agree that no interest will be payable to me/us on the funds or securities so retained with you.
3. I/we may be trading in derivatives segment & cash segment of various Exchanges and hence have various accounts with you. In this regard I/we hereby authorize Achievers Equities Ltd to act at its discretion of adjusting any credit balance under my/ our various accounts against the debit in any account across segments/Exchange, without taking any further instruction from me/us.
4. I/we also confirm that the securities lying in my withhold A/c should be considered as margin deposit / collateral.
5. I/we authorize you to set off a part or whole of the margin deposited by me/us against any of my / our dues, by appropriating relevant amount of fund or by sale of securities which form part of margin.
6. I/we hereby authorize you to pledge my / our securities deposited as margin or withheld by you as permitted in the running account and to deposit my / our funds deposited as margin to Exchanges / Clearing Corporations towards margin.
7. I/we may revoke the authorization at any time by giving a written notice.
8. I/we also agree that the actual settlement of fund and securities shall be done by us, at least once in a calendar quarter or month and the statement of account for the same will be provided to me by Achievers Equities Ltd Such periodic settlement of running a/c may not be necessary
 - (a) for clients availing margin trading facility as per SEBI Circular, and
 - (b) for funds received from clients towards collaterals / margin in form of BG / FD receipts.
9. I/we agree that fund given towards collaterals/margins in form of bank guarantee (BG) / Fixed Deposit Receipts (FDR) may not be periodically settled.
10. I/we agree that (a) in respect of derivatives market transactions, the Trading Member may retain the requisite securities / funds on settlement date to take care of any margin obligation arising in next 5 days, calculated in the manner specified by the Exchange, (b) in respect of cash market transactions, the Trading Member may retain entire pay-in obligation of funds & securities due from me / us as on the date of settlement and for next day's business, the Trading Member may retain funds / securities / margin to the extent of value of transactions executed on the day of such settlement.
11. I / we authorize you to retain an amount upto Rs.10,000/- (consolidated amount across segments and across stock exchanges) for actual settlement of that respective traded quarter / month.
12. I/we agree/understand that there shall be no inter-client adjustment for the purpose of settlement of the running account.
13. I/we shall bring any dispute arising from the statement of account or settlement so made to the notice of the Trading Member preferably within 7 working days from the date of receipt of funds/securities or statement as the case may be.
14. For the clients having outstanding obligations on the settlement date, the Stock Broker may retain the requisite securities / funds towards such obligation and may also retain the fund expected to be required to meet margin obligation for next 5 trading days, calculated in the manner specified by the Exchanges.
15. The authorization shall be signed by the client only and not by any authorised person on his behalf or any holder of the Power of Attorney.
16. The actual settlement of funds and securities shall be done by the broker, at least once in a calendar quarter or month, depending on the preference of the client. While settling the account, the broker shall send to the client a 'statement of accounts' containing an extract from the client ledger for funds and an extract from the register of securities displaying all receipts/deliveries of funds/securities. The statement shall also explain the retention of funds/securities and the details of the pledge, if any.
17. The stock broker shall transfer the funds / securities lying in the credit of the client within one working day of the request if the same are lying with him and within three working days from the request if the same are lying with the Clearing Member/Clearing Corporation.
18. There shall be no inter-client adjustments for the purpose of settlement of the 'running account'.

19. There must be a gap of maximum 90/30 days (as per the choice of client viz. Quarterly/Monthly) between two running account settlements.

20. For the purpose of settlement of funds, the mode of transfer of funds shall be by way of electronic funds transfer viz., through National Electronic Funds Transfer (NEFT), Real Time Gross Settlement (RTGS), etc.

21. The required bank details for initiating electronic fund transfers shall be obtained from new clients and shall be updated for existing clients. Only in cases where electronic payment instructions have failed or have been rejected by the bank, then the stock broker may issue a physical payment instrument.

22. Statement of accounts containing an extract from client ledger for funds & securities along with a statement explaining the retention of funds/securities shall be sent within five days from the date when the account is considered to be settled.

23. I/We further declare that this authorization will remain in force unless revoked earlier by me/us in writing.

Settlement Preferences

☐ Monthly

☐ Quarterly

Thanking you,

Yours truly

SIGNATURE OF THE CLIENT

Signatures:

Business Associates

Entered by (Achievers Equities Limited)

Original Documents Verified by

To
 ACHIEVERS EQUITIES LTD
 32/A, Diamond Harbour Road, Sakherbazar, Kolkata - 700008
 Dear Sir / Madam,

Date _____

I/We as a Client of Achievers Equities Ltd for trading in capital Market and/or Futures & Options and/or Currency Derivatives Segment of NSE / SSE hereby authorize AEL (Member: NSE, SSE) for the following:

1. I/We hereby authorize AEL not to provide me Order Confirmation / Modification / Cancellation Slips and Trade Confirmation Slips to avoid unnecessary paper work. I/We hereby request AEL to kindly accept my/our mandate holder's verbal orders/instructions in person or over phone and execute the same. I/We shall get the required details from the contract notes issued by AEL. I/We understand the risk associated with placement of verbal orders and accept the same. We shall not disown orders under the plea that the same was not placed by me/us.
2. I/We indemnify AEL and undertake to keep AEL indemnified against all losses, damages, actions which AEL may suffer or face, as a consequence of adhering to and carrying out my/our orders placed verbally. In case I/we wish to withdraw this consent/we shall inform AEL in writing and get the same acknowledged by AEL at least one week in advance from the date of such withdrawal.
3. Trading on all Exchanges is in electronic mode, based on connectivity through various media such as VSAT, Leased line, ISDN, Modem and VPN, as well as through a combination of technologies and computer systems to place and route orders. I/we understand that there exists a possibility of communication failure or system problems or slow or delayed response from systems or a trading halt, or any such other problem/glitch which may result in AEL not being able to establish/provide access to the trading system/network, which may be beyond AEL's control and may result in delays in processing of buy or sell orders either in part or in full. I/we shall be fully liable and responsible for any such problems/fault and shall not make any claims on AEL for the same.
4. I/We agree not to hold AEL liable or responsible for any delay or default in performance of AEL's obligations due to contingencies beyond AEL's control, such as fire, flood, civil commotion, earthquake, riots, war, strikes, and failure of systems, failure of internal links, government / regulatory actions or any other contingencies beyond AEL's control.
5. I/We hereby confirm that I/we will never sublet/allow any third party access to the trading terminal provided to me by AEL, nor indulge in/facilitate any unauthorized trading under any circumstances.
6. All fines/penalties and charges levied upon AEL due to my/our acts/deeds or transactions may be recovered by AEL from my/our account.
7. Any queries related to securities delivered by AEL would be brought to the notice of AEL within seven days of the receipt of such securities in my/our Demit Account. Otherwise it can be presumed that we have checked all the securities received by me/us and that they are good as per the prevailing norms.
8. I/We hereby undertake to extend all co-operation to AEL in their endeavour towards compliance with Anti-Money Laundering norms, and to not hold AEL legally liable in any manner whatsoever towards the same.
9. I/We understand that information about me/us and my/our transactions may be reported by AEL to FIU/concerned authorities/regulators without any intimation to me/us and have no objection to the same.

Thanking you,
 Yours truly,

SIGNATURE OF CLIENT

CONSENT LETTER FOR ELECTRONIC COMMUNICATION

VOLUNTARY

To
 ACHIEVERS EQUITIES LTD
 32/A, Diamond Harbour Road, Sakherbazar, Kolkata - 700008
 Dear Sir / Madam,

Date _____

Sub: CONSENT LETTER FOR RECEIPT OF ECN, DAILY MARGIN STATEMENT & OTHER DIGITAL DOCUMENTS

1. I/We hereby give my/our consent to receive Contract Notes/Trade Confirmation of the Trades executed by me/us, bills and/or Margin Statement and/or Account Statement and/or Notices and/or Circulars and/or amendments and/or such other correspondences and/ or documents in electronic form (Strike out whichever is not opted) with reference to SEBI circular no. MRD/Dop/SE/Cir-20/2005 dated September 08, 2005 duly authenticated by means of a digital signature as specified in the Information Technology Act, 2000 and the Rules made there under, to my/our following emailid(s):

Email id _____

Alternative Email Id _____

2. I/We hereby agree that Achievers Equities Ltd shall fulfil their legal obligations, if the above documents are sent electronically to the above emailid(s). I/We agree that AEL will not be responsible for late /non-receipt of documents sent via electronic delivery due to change of email address / correspondence address as mentioned aforesaid. I/We also agree that AEL shall not take cognizance of out-of-office / out-of-station auto replies. I/We shall be deemed to have received such electronic mails. I/We agree that the log reports of AEL's dispatching software(s) shall be a conclusive proof of dispatch of such documents to me/us and such dispatch shall be deemed to mean receipt by me/us and shall not be disputed by me/us on account of any non-receipt/delayed receipt for any reason whatsoever

I/We am/are also aware that copies of such documents including contract notes are also available on the official web-site of AEL, for which I/we will be provided with a User ID and Password. In case of non receipt of documents by mail, I/We shall intimate the same to AEL immediately in writing. In case I wish to withdraw this facility, I shall inform AEL in writing at least one week in advance from the date of such withdrawal.

Thanking you,
 Yours Faithfully

SIGNATURE OF THE CLIENT

Access to the following Research Services, please tick to the register for Email Alerts

☐ Fundamental ☐ Technical ☐ Others

Note: We have created your Internet Back Office Login and Password. To access your Online Account, go to our website www.achievers.com. Click on link "Online Accounts" on the homepage. You will be prompted for your Login ID and password. Your Login ID is your Client Code and default password will be sent with welcome mail. Kindly ensure that you change your password during your first login

CONSENT LETTER FOR RECEIVING ALERTS, TRADING CALLS, RESEARCH REPORT ETC. ON MOBILE**VOLUNTARY**

To,
ACHIEVERS EQUITIES LTD
32/A, Diamond Harbour Road, Sakherbazar, Kolkata • 700008

Dear Sir / Madam,

I/We hereby give my/our consent to give me/us Alerts, Research Calls, Reports, News, Live Updates or any other information on my Email ID given earlier for Electronic Communication and also on my Mobile No. _

This shall not be treated as violation of any DND or any other similar rules applicable from time to time. The number may be given to the exchange database also.

1. Further, I/we undertake to AEL and confirm to use my/our own judgment in taking a view and execute trade in the identified security(s) according to my/our financial strength/capabilities and shall not hold AEL responsible for any loss suffered by me/us on account of executing or omitting to execute any trades in pursuance of such communication and/or investment advises sent by AEL.

I/We further declare that the above mentioned statement is true and correct

Thanking you
Yours faithfully,

☐ SMS Research calls, News and Live Updates,
Please tick to register for MOBILE ALERT SMS

AUTHORITY LETTER TO DEBIT THE DEMAT ACCOUNT OPERATING CHARGES**VOLUNTARY**

To
ACHIEVERS EQUITIES LTD
32/A, Diamond Harbour Road, Sakherbazar, Kolkata-700008
Dear Sir / Madam.

I/We have been dealing through you as my/our broker on Capital Market Segment and/or Futures & Options Segment and/or Currency Derivatives Segment.

As my Broker, I/we authorize you to debit my/our trading account against charges raised by you on account of Depository Services provided by you or by _____ on account of Depository Services provided by them and bill raised by them in this regards. The details of Demat account (s) are as follows.

DEPOSITORY	DEPOSITORY PARTICIPANT ACCOUNT NO	BENEFICIARY ACCOUNT NO
CDSL		
CDSL		
CDSL		

Thanking you
Yours faithfully,

Signature of client

AUTHORITY TO PLACE INSTRUCTIONS WITH AEL ON MY/OUR BEHALF**VOLUNTARY**

To
ACHIEVERS EQUITIES LTD
32/A, Diamond Harbour Road, Sakherbazar, Kolkata – 700008

I/We hereby authorize the following persons whose specimen signatures are appended hereunder to give instructions/ place orders with AEL for buying and selling of securities and contracts on any exchanges/segments on my/our behalf

SL.NO	NAME	MOBILE NUMBER	SPECIMEN SIGNATURE

Signature of client

AUTHORITY TO SIGN, EXECUTE AND OR / ACKNOWLEDGE ANY DOCUMENT**VOLUNTARY**

To
ACHIEVERS EQUITIES LTD
32/A, Diamond Harbour Road, Sakherbazar, Kolkata – 700008

I/We hereby authorize the following persons whose specimen signatures are appended hereunder to sign, execute and I or acknowledge any document including Contract Notes, Statement of Margins and Statement of Accounts etc on my/our behalf

SL.NO	NAME	MOBILE NUMBER	SPECIMEN SIGNATURE

Signature of Branch Manager

WAIVER OF DISCLAIMER CLAUSE FOR TECHNICAL CALLS**VOLUNTARY**

To
ACHIEVERS EQUITIES LTD
32/A, Diamond Harbour Road, Sakherbazar, Kolkata – 700008
Dear Sir / Madam,

Sub:Request for sending technical calls by SMS to my mobile no _____ by email to my/our email id _____

I/We have registered as a client of Achievers Equities Ltd with the aforesaid client code. I/We hereby request you to send me/us the technical calls through SMS on my mobile number mentioned above or by email on my designated email id. I/We have noted that incorporation of the standard disclaimer clause by AEL will obstruct/delay the smooth transmission of the SMS message content I email and also put me/us into inconvenience. Accordingly, I/We hereby request you not to include any disclaimer clause and I/We declare that I/We will be bound by the said clause, which I/We have duly taken note of. I/We hereby agree and voluntarily waive the inclusion of the disclaimer (text mentioned overleaf) and I/We shall treat the same as part and parcel of the SMS message I email to be sent by you without such disclaimer at my/our request.

Thanking You,

Yours Faithfully,

SIGNATURE OF THE CLIENT

DISCLAIMER CLAUSE**VOLUNTARY**

1. Short-term trading on the basis of technical calls is a high risk and skill oriented venture and may result in huge losses also. Traders doing so are doing at their own risk. We are not responsible for any damages. For any buy/sell position, specific stop loss should be maintained.
2. Mostly it is not advisable to buy/sell a stock if it touches the target price first and then comes within recommended range of buy/sell.
3. Try to book partial profit at the first target & hold remaining position for the second target.
4. For Short term call stop loss is maintained on closing basis.

DISCLAIMER

This communication is for the personal information of the authorized recipient and is provided for assistance only, and is not intended to be, and must not be taken as the basis for an investment decision or considered as an investment or financial advice nor should this communication be construed as an advice to buy or sell or as a solicitation to buy or sell the securities if any referred to her in. The intent of this communication is not recommendatory in nature. This communication has been prepared for the general use of the clients of the Achievers Equities Ltd (AEL) and must not be copied, either in whole or in part, or distributed or redistributed to any other person in any form. If you are not the Intended recipient you must not use or disclose this communication in any way. Though disseminated to all the customers simultaneously, not all customers may receive this report at the same time. AEL will not treat recipients as customers by virtue of their receiving this report. Neither this communication nor any copy of it may be taken or transmitted into the United States (to US Persons), Canada or Japan or distributed, directly or indirectly, in the United States or Canada or distributed, or redistributed in Japan to any residents thereof. The distribution of this communication in other jurisdictions may be restricted by applicable law in the relevant jurisdictions and persons into whose possession this document comes should inform themselves about and observe any such restrictions. In preparing this communication, the investment objectives, financial situation and particular needs of the recipient have not taken into account. This communications based upon information obtained from sources believed to be reliable, but we do not make any representation or warranty that it is accurate, complete or up to date and it should not be relied upon as such.

It is may be noted that none of the research analysts or any of the employees of AEL are associated in preparation of this communication. This communications based upon information obtained from sources believed to be reliable, but we do not make any representation or warranty that it is accurate, complete or up to date and it should not be relied upon as such. Neither AEL nor its directors, employees, agents, representatives or any of its affiliates shall be liable for any damages whether direct or indirect, incidental, special or consequential including lost revenue or lost profits that may arise from or in connection with the use of the information contained in this report. The recipients of this communication should rely on their own invest rations. This information is subject to change without any prior notice. AEL reserves at its absolute discretion the right to make or refrain from making modifications and alterations to this statement from time to time. Before making an investment decision on the basis of this communication, the recipient needs to consider, with or without the assistance of an adviser, whether the advice is appropriate in light of their particular investment needs, objectives and financial circumstances. There are risks involved in securities trading. The price of securities can and does fluctuate, and an individual security may even become valueless. International investors are reminded of the additional risks inherent in international investments, such as currency fluctuations and international stock market or economic conditions, which may adversely affect the value of the investment.

BSE STAR MF / MUTUAL FUND SERVICE SYSTEM (MFSS) FACILITY

To
ACHIEVERS EQUITIES LTD
32/A, Diamond Harbour Road, Sakherbazar, Kolkata – 700008

Dear Sir / Madam,

Sub: BSE STAR MF / Mutual Fund Service System (MFSS) facility

I/We _____ am/are registered as your client with Client Code No. _____ and have

executed the KYC procedure for the purpose of trading in the Capital Market Segment of NSE/BSE.

I/We am/are interested in availing the BSE Star MF / MFSS facility of the BSE/NSE for the purpose of dealing in the units of Mutual Funds Schemes permitted to be dealt with on the BSE Star MF / MFSS of the BSE/NSE.

For the purpose of availing the BSE Star MF / MFSS facility, I/we state that Know Your Client details as submitted by me/us for registration as Client of AEL may be considered for the purpose of BSE Star MF / MFSS and I/we further confirm that the details contained in same remain unchanged as on date.

I/We are willing to abide by the terms and conditions as mentioned in the BSE Circular no. 20091202-3 dated 02/12/09 & NSE Circular no. NSE/CMTR/13533 dated 24/11/09 and as may be specified by the BSE/NSE from time to time in this regard.

I/We shall also ensure compliance with the requirements as may be specified from time to time by Securities and Exchange Board of India and Association of Mutual Funds of India (AMFI).

I/We shall read and understand the contents of the Scheme Information Document and Key Information Memorandum, addenda issued regarding each Mutual Fund Scheme with respect to which I/we choose to subscribe/redeem I/We further agree to abide by the terms and conditions, rules and regulations of the Mutual Fund Schemes.

I/We therefore request you to register me/us as your client for participating in the BSE Star MF / MFSS.

Thanking you,
Yours faithfully,

SIGNATURE OF THE CLIENT

FOR USING BSE STAR MF / MFSS FACILITY

1. Pre-requisites for becoming Investor/ Client for the BSE STAR MF / MFSS facility

- 1.1. The client is desirous of investing in units of mutual fund schemes through the BSE STAR MF / MFSS.
- 1.2. The Client intends to execute his/her/its instructions for subscription/redemption of units of Mutual Fund Schemes on BSE STAR MF / MFSS through AEL.
- 1.3. The client has satisfied himself/ herself/ itself of the capacity of AEL to deal in Mutual Fund units and wishes to execute his/her/its instructions through AEL and the client shall from time to time continue to satisfy himself/ herself/ itself of such capability of AEL before executing transactions through AEL .
- 1.4. The Client has approached AEL with the application for availing the BSE STAR MF/ MFSS facility.
- 1.5. The client has submitted relevant KYC (Know Your Client) details to the AEL.

2. Terms and Conditions

- 2.1. The client shall be bound by circulars issued by BSE/NSE, Rules, Regulations and circulars issued there under by SEBI and relevant notifications of Government authorities as may be in force from time to time.
- 2.2. The client shall notify AEL in writing if there is any change in the information in the 'client registration form' provided by the client to AEL at the time registering as a client for participating in the BSE STAR MF/ MFSS or at any time thereafter.
- 2.3. The client shall submit to AEL a completed application form in the prescribed format for the purpose of placing orders with AEL.
- 2.4. The client has read and understood the risks involved in investing in Mutual Fund Schemes.
- 2.5. The client shall be wholly responsible for all his /her/its investment decisions and instructions.
- 2.6. The client shall ensure continuous compliance with the requirements of the BSE, NSE, SEBI and AMFI.
- 2.7. The Client shall pay to AEL fees and statutory levies as are prevailing from time to time and as they apply to the Client's account, transactions and to the services that AEL renders to the Client.
- 2.8. The client will furnish information to AEL in writing , if any winding up petition or insolvency petition has been filed or any winding up or insolvency order or decree or award is passed against him / her/ it or if any litigation which may have material bearing on his capacity has been filed against him / her/ it.
- 2.9. In the event of non-performance of the obligation by AEL, the client is not entitled to claim any compensation either from the Investor Protection Fund or from any fund of BSE or BOISL/ NSE or NSCCL.
- 2.10. In case of any dispute between AEL and the investors arising out of the BSE STAR IVIF / MFSS facility, BSE and/or BOISL and NSE and /or NSCCL agrees to extend the necessary support for the speedy redressal of the disputes

INTER-SETTLEMENT OF SECURITIES

VOLUNTARY

Clients are advised not to sell shares unless lying in their Demat Account. However, Inter-Settlement of Securities will be provided to the client on request. AEL will debit appropriate charges from the client account for this service. AEL will be not responsible/liable for any profit/loss incurred/sustained by the Client for mistake/failure of whom so ever for Inter Settlement of Securities.

I/We hereby request you to provide us facility for INTER -SETTLEMENT OF SECURITIES after having read and agreed to the above

SIGNATURE OF THE CLIENT

To
ACHIIEVERS EQUITIES LTD
32/A , Diamond Harbour Road, Sakherbazar, Kolkata – 700008

Date _____

Name	
ADDRESS	
CONTACT	
EMAIL	
BANK NAME	
BRANCH NAME	
MICR CODE	
CHEQUE NO.	

☐ I/We _____ Account opening charge from the margin amount.

☐ I/We _____ MVP plan charge from the margin amount.

[PLEASE TICK THE RELEVANT BOXES & STRIKE OFF WHICHEVER IS NOT APPLICABLE]

Place _____

SIGNATURE OF THE CLIENT

ANNEXURE- 2.4

Terms And Conditions cum-Registration I Modification Form for receiving SMS Alerts from CDSI

Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) limited a company incorporated in India under tile Companies Act 1956 and having its registered office at 17th Floor, P. J. Towers, Dalal Street,Fort,Mumbai400001 and all its branch offices and Includes its successors and assigns.
2. "DP" means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for Investors.
3. "BO" means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alert to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability:

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those account holders who have provided their mobile numbers to the depository through their DP. The services may be discontinued For a specific period I indefinite period, with or without issuing any Prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The services currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range fuming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e.to the mobile number as submitted at the time of registration / Modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the

Depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts:

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration Change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phones in 'Off" mode i.e. unable to receive the alerts then the BO may not get I get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided or his convenience and is susceptible to error, omission and / or inaccuracy. In case the BO observes any error in the informant on provided in the alert, the BO Shall inform the depository and / or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository Label for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate.to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/ unauthorized transfer of securities from his BO account. Immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia. Com. the BO is advised not to inform the service provider about any such unauthorized debit to / transfer of securities from his BO account by sending SMS back to the service provider as there is no reverse communication between the service provider and the depository.

SOLE / 1ST HOLDER SIGNATURE

2ND HOLDER SIGNATURE

3RD HOLDER SIGNATURE

ANNEXURE- 2.4

7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.

8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.

9. If the BO finds that the information such as mobile number etc., has been changed without proper authorization, the BO should immediately inform the DP in writing.

Fees: Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer: The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from Or in connection with availing of SMS alerts facility. The Repository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be label for any unauthorized use or access to the information and/ or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

Liability and Indemnity: The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the its officials from any damages ,claims, demands, proceedings ,loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments: The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction: Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai. I /We wish to avail the SMS A lets facility provided by the depository on my / our mobile Number provided in the registration form subject to the terms and conditions mentioned below. I / We consent to CDSL providing to the service provider such information pertaining to account / transactions in my / our account as is necessary for the purposes of generating SMS Alerts by service provider. To be sent to the said mobile number.

I / We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time I / we further undertake to pay fees charges as may be levied by the depository from time to time. I / We further understand that the SMS alerts would be sent for a maximum four ISIN's at a time. If more than four debits take place. The BOs would be required to take up the matter with their DP.

I / We am / are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of REGISTRATION / MODIFICATION (Please cancel out what is not applicable).

DP ID	1 2 0 7 3 1 0 0	Client ID	
(Please write your 8 digit DP ID)		(Please write your 8 digit DP ID)	
Sole / First Holder's Name _____			
Second Holder's Name _____			
Third Holder's Name _____			
Mobile Number on which messages are to be sent: +91 _____			
The mobile number is registered in the name of: _____			
Email ID _____		{Please write only ONE valid email ID on which communication; if any, is to be sent)	
SOLE / 1ST HOLDER SIGNATURE		2ND HOLDER SIGNATURE	
		3RD HOLDER SIGNATURE	

ANNEXURE- 2.6

Terms And Conditions for availing Transaction Using Secured Texting (TRUST) Service offered by CDSL

1. Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

- i. "Depository" means Central Depository Services (India) Limited (CDSL)
- ii. TRUST means "Transactions Using Secured Texting" service offered by the Depository.
- iii. "Service Provider" means a cellular service provider(s) with whom the Depository has entered into an arrangement for providing the TRUST service to the BO.
- iv. "Service" means the service of providing facility to receive give instructions through SMS on best effort basis as per the following terms and conditions. The types of transaction that would nominally qualify for this type of service would be informed by CDSL from time to time.
- v. "Third Party" means the operators with whom the Service Provider is having an arrangement for providing SMS to the BO.

2. The service will be provided to the BO at his/her request and at the discretion of the depository provided the BO has registered for this facility with their mobile numbers through their DP or by any other mode as informed by CDSL from time to time. Acceptance of application shall be subject to the verification of the information provided by the BO to the Depository

3. The messages will be sent on best efforts basis by way of an SMS on the mobile no which has been provided by the BOs. However Depository shall not be responsible if messages are not received or sent for any reason whatsoever, including but not limited to the failure of the service provider or network.

4. The BO is responsible for promptly informing its DP in the prescribed manner any change in mobile number, or loss of handset on which the BO wants to send/receive messages generated under TRUST. In case the new number is not registered for TRUST in the depository system, the messages generated under TRUST will continue to be sent to the last registered mobile number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of messages sent on such mobile number.

5. The BO agrees that SMS received by the Depository from the registered mobile number of the BO on the basis of which instructions are executed in the depository system shall be conclusive evidence of such instructions having been issued by

The BO. The DP/CDSL will not be held liable for acting on SMS so received.

6. The BO shall be responsible for submitting response to the 'Responsive SMS' within the specified time period. Transactions for which no positive or negative confirmation is received from the BO, will not be executed except for transaction for deregistration. Further, CDSL shall not be responsible for BOs not submitting the response to the said SMS within the time limit prescribed by CDSL.

7. The BO agrees that the signing of the TRUST registration form by an joint holders shall mean that the instructions executed on the basis of SMS received from the registered mobile for TRUST shall be deemed to have been executed by all joint holders.

8. The BO agrees to ensure that the mobile number for TRUST facility and SMS alert (SMART) facility is the same. The BO agrees that if he is not registered for SMART, the OP shall register him for SMART and TRUST. If the mobile number provided for TRUST is different from the mobile number recorded for SMART, the new mobile number would be updated for SMART as well as TRUST.

9. BOs are advised to check the status of their obligation from time to time and also advise the respective CMs to do so. In case of any issues, the BO/CM should approach their DPs to ensure that the obligation is fulfilled through any other mode of delivery of transactions as may be informed by CDSL from time to time including submission of Delivery Instruction Slips to the DP

10. The BO acknowledges that CDSL will send the message for confirmation of a transaction to the BO only if the Clearing Member (registered by the BO for TRUST) enters the said transaction in CDSL system for execution through TRUST within prescribed time limit.

11. The BO further acknowledges that the BO/CM shall not have any right to any claim against either the DP or Depository for losses, if any, incurred due to non-receipt of response on the responsive SMS or receipt of such response after the prescribed time period. In the event of any dispute relating to the date and time of receipt of such response, CDSL's records shall be conclusive evidence and the Parties agree that CDSL's decision on the same shall be final and binding on both Parties.

12. The BO may request for deregistration from TRUST at any time by giving a notice in writing to its DP or by any other mode as specified by Depository in its operating instructions. The same shall be effected after entry of such request by the DP in CDSL system if the request is received through the DP.

ANNEXURE- 2.6

13. Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

14. The BO expressly authorizes Depository to disclose to the Service Provider or any other third party, such BO information as may be required by them to provide the services to the BO. Depository however, shall not be responsible and be held liable for any divulgence or leakage of confidential BO information by such Service Providers or any other third party.

15. The BO takes there possibility for the correctness of the information supplied by him to Depository through the use of the said Facility or through any other mean such as electronic mailer written communication.

16. The BO is solely responsible for ensuring that the mobile number is not misused and is kept safely and securely. The Depository will process requests originated from the registered Mobile as if submitted by the BO and Depository is not responsible for any claim made by the BO informing that the same was not originated by him.

17. Indemnity:

Inconsideration of providing the service, the BO agrees that the depository shall not be liable to indemnify the BO towards any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever as a consequence of or arising out of interference with or misuse. Improper or fraudulent use of the service by the BO.

18. Disclaimer:

Depository shall be absolved of any liability in case:-

a. There is loss of any information during processing or transmission or any unauthorized access by any other person or breach of confidentiality.

b. There is any lapse or failure on the part of the service providers or any third party affecting the said Facility and that Depository makes no warranty as to the equality of the service provided by any such service provider.

c. There is breach of confidentiality or security of the messages whether personal or otherwise transmitted through the Facility.

SOLE / 1ST HOLDER SIGNATURE

2ND HOLDER SIGNATURE

3RD HOLDER SIGNATURE

Date _____

Place _____

TO ALL TO WHOM THESE PRESENTS SHALL COME I/We

having residence / office at

an Indian Inhabitant / Registered Partnership Firm / Unregistered Partnership Firm / Company Registered under Companies Act, 1956 of India
SEND GREETINGS

Whereas

[A] I/We, as the Client of Achiievers Equities Ltd being a trading member of NSE, BSE desire to invest and/or trade in shares, bonds, debentures and other securities, whether listed or unlisted, including units of mutual funds, Initial Public Offerings (IPO), government securities, certificate of deposits, warrants, options, futures, derivatives, securitized debt instruments, fixed return investment, equity linked instruments, Exchange Traded Funds (ETF) or other marketable Securities of a like nature in or of any incorporated company, deposits or other money market instruments, units issued by Unit Trust of India, cumulative convertible preference shares issued by any incorporated company and Securities issued by the Central Government or a State Government for the purpose of raising public loan (herein after referred to as the "Securities") offered by the Achiievers Equities Ltd as trading member of a Registered Stock Exchange. Accordingly as the Client, I/We have entered in to propose to enter into Member - Client Agreement (herein after referred to as the said "Member-Client Agreement" as amended and in force from time to time) with Member Achiievers Equities Ltd in this regard:

[B] I/We, individual / joint / corporate account holders hold Demat Account Client ID No. _____ (hereinafter referred to as referred to as "Designated Demat Account") with Central Depository Services India Limited through Achiievers Equities Ltd in their capacity as CDSL Depository Participant bearing DP-ID-12073100.

[C] I/We am/are also the investor/investors engaged in buying and selling of shares and securities through Achiievers Equities Ltd, a member of National Stock Exchange of India (NSE), bearing SEBI Regn. No. - INB23195832, for Capital Market Segment, INF23195832 for F&O Segment and INE-23195832 for Currency Derivatives Segment & of Bombay Stock Exchange (BSE) bearing SEBI Regn. No. - INB 011395838 for Capital Market Segment and INF 011395538 for F&O Segment.

[D] As consideration for the above mentioned facilities as well as a prerequisite for fulfilling all the various precondition, requirements and obligations as the Client of Achiievers Equities Ltd as well as for facilitating Achiievers Equities Ltd to exercise its powers available in law and to facilitate proper execution and completion of all trade transactions in Securities by Achiievers Equities Ltd in my/our behalf, I/We individual I joint account holder am/are desirous of appointing Achiievers Equities Ltd. as my/our attorney and confer upon Achiievers Equities Ltd. The powers here in after stated.

NOW THEREFORE THESE PRESENT WITNESS That I/We, as individual joint account holders for myself / ourselves individual / joint account holders and as the Client of Achiievers Equities Ltd. do hereby nominate, constitute and appoint Achiievers Equities Ltd a public company incorporated under the Companies Act, 1956 and having its registered office at 32/A, Diamond Harbour Road, Sakherbazar, Kolkata- 700 008 (the "said Attorney") acting through any of its directors, officers or employees to be my/ our true and lawful constituted attorney and authorize the said Attorney in my/our name(s) and on my/ our behalf at my I our risk and costs from time to time, to do and exercise or cause to be done and exercise all or any of the acts, powers and authorities, deeds or that hinges mentioned hereunder, that is to say:

1. To operate the Designated Demat Account. hold, pledge, debit all or any of the Securities from time to time held by me/us in the Designated Demat Account and to give such instructions as and when the said Attorney may deem fit, to transfer all or any of the said Securities to Achiievers Equities Ltd. Margin beneficiary account or to any account(s) of Achiievers Equities Ltd or in accordance with the instructions of Achiievers Equities Ltd. towards the fulfilling of all or any of my/our margin/ delivery obligations for and transactions completed on my/our behalf through the on-line or offline trading facilities of Achiievers Equities Ltd. or otherwise; and any recording or other records of such transactions by Achiievers Equities Ltd. in its usual course of business shall be binding on me/us and admissible in evidence and shall not be questioned by me/us and shall be conclusive and binding on me /us.. The Achiievers Equities Ltd. beneficiary account details for the above purpose are mentioned more particularly in 'Schedule' forming part of the present POA and Underset and that any change to the same shall be communicated to me/ us by way of a written communication by Achiievers Equities Ltd.
2. To sign, deliver, endorse, and/or acknowledge all instructions, forms, transfer deeds, depository forms and instruments, delivery instruction slips and other instruments and writings which are required or considered necessary or advisable for performing all or any of the powers or authorities hereby conferred by me/us on the said Attorney.
3. To treat the securities lying in my Designated Demat Account as margin and if required for the purposes of pay-in and margin, to transfer I pledge the securities to the beneficiary account of Achiievers Equities Ltd.

SOLE / 1ST HOLDER SIGNATURE_____
2ND HOLDER SIGNATURE_____
3RD HOLDER SIGNATURE

4. To debit securities to the said Designated Demat Account and/or transfer securities from the said Designated Demat Account to any other account of Achievers Equities Ltd. (towards settlement / margin) as listed in Schedule II , to the extent of shares sold through Achievers Equities Ltd for pay in obligation as well as for margin stewards any Stock Exchange obligation both in cash and derivatives segment.
5. To credit my/our Designated Demat Account(s) with any securities that are erroneously received or such securities which Achievers Equities Ltd is not belittled to receive.
6. To execute the share transfer requests given by me/us through the website or any other medium and process the documents authenticated by authorized signatory in seam powered to do so in this regard.
7. To do all such acts, deeds and things as may be necessary for or in accidental to my/our, individual I joint account holders being the Client of Achievers Equities Ltd. for the purposes as aforesaid.
8. To send consolidated summary of scrip-wise buy and sell positions by way of SMSI email on a daily basis
9. AND I / We, individual joint account holders do hereby for myself/ ourselves, agree to ratify and confine all and whatsoever my I our said Attorney shall do or purport to do Cause to be done by virtue of the presents.
10. AND I /We. Individual joint account holders do hereby for myself I ourselves agree and confirm that the aforesaid owners shall be exercised by the Attorney acting through any of its officers or employees.
11. We specifically agree that in case of death, disability, in so Vinci, winding up or liquidation of any one or more of us or of the joint account holder/s, the surviving joint holders and heirs, nominees, executor's, administrators, successors and legal representatives of the account holder who has died, disabled, dissolved, been adjudged insolvent, wound up or liquidated shall forthwith inform the Attorney of the same in writing. In such an event, the Power of Attorney and the instructions contained herein shall be revoked forthwith and remaining joint holders along with heirs, nominees, executors, administrators, successors and legal representatives of such joint account holder/s shall forthwith execute a fresh power of attorney and other relevant documents. In case of change in constitution of the Designated Demat Account, this POA shall terminate and new POA shall have to be executed by new account holders.
12. I /We hereby ratify and confirm and agree to ratify and confirm all that the said Attorney or any of its officers, employees, shall do or cause to be done in or concerning the premises by virtue of these presents and I/We hereby agree that all such acts done by my / our above mentioned Attorney shall be deemed to be acts done by me/us and on necessary shall be ratified by me/us on the instructions of the said Attorney.
13. This POA has been given in consideration of Achievers Equities Ltd making available to me/us facility to invest and/or trade in the securities with or through the online and/or off-line trading facilities offered by Achievers Equities Ltd. as trading members of (NSE, BSE) in terms of the said Member-Client Agreement and for Achievers Equities Ltd agreeing at my/our request to act as my/our Attorney and accordingly this POA shall in all events be treated as valid, effective and operative till the said Member-Client Agreement is in force and/or till all the relevant Securities and/or all amounts together with principal, charges and expenses and all other monies due and payable in respect of the Member-Client Agreement and/or the transactions completed by Achievers Equities Ltd. on my/our behalf have been duly received, paid in full and settled satisfactorily by me/us as the Client and the cessation of this authority shall not affect or impair any act thereto done in exercise of these presents.
14. To comply and/or cause to be complied with all statutory and other requirements attached to or arising out of these presents and for this purpose take such steps and actions necessary or proper, including signing of affidavits, indemnity, declarations, legal documents, deeds and writings required.
15. To do or omit to do all such acts and things as Achievers Equities Ltd may in its discretion consider to be necessary or desirable in order to exercise its powers hereunder or to comply with any laws, orders, rules, regulations or directions of any government or regulatory or other authorities.
16. To exercise all powers conferred pursuant to these presents as aforesaid on all or any of the Securities held in the Designated Demat Account and to exercise such rights arising on account of any transaction done and executed by Achievers Equities Ltd on my / our, individual joint account holders.
17. My/Our Attorney Achievers Equities Ltd shall not be liable for any loss that may result from failure liability of electronic connectivity or rejection of my/our any instruction application for any reason whatsoever.
18. Either party may revoke or cancel the said POA at any given point of time.

SOLE / 1ST HOLDER SIGNATURE

2ND HOLDER SIGNATURE

3RD HOLDER SIGNATURE

List of AEL DP account where client securities can be moved.

DP NAME	DP ID	CLIENT ID	Maintained With
NSE CDSL POOL A/c.	12073100	00000391	DP CDSL
BSE CDSL POOL A/c.	12073100	00000408	DP CDSL
NSE NSDL POOL A/c.	IN300095	11514862	DP NSDL
BSE NSDL POOL A/c.	IN300095	11515763	DP NSDL
CDSL MARGIN A/c.	12073100	00004231	DP-CDSL
CDSL Hold Back A/c.	12073100	00004227	DP-CDSL

IN WITNESS THEREOF I/WE, individual joint account holders hereunto have set and subscribe my/our respective hand(s) here to at _____ on this _____ day of 20 _____

SOLE / 1ST HOLDER SIGNATURE

2ND HOLDER SIGNATURE

3RD HOLDER SIGNATURE

NAME

NAME

NAME

IN THE PRESENCE OF witnesses

NAME

SIGNATURE

X

X

IN WITNESS WHEREOF the _____ Ltd. has caused these presents executed by affixing its Common Seal in the presence of two of its Directors and the Company Secretary/Authorized official, who have put their signatures here to in token thereof at the place and on the date appearing herein below.

The Common Seal of _____ LTD. (Has been affixed hereunto pursuant to the Resolution) passed by its Board of Directors at their meeting held on the _____ day of _____ 20 _____ in the presence of its Directors

Mr. _____ and Director Mr _____

And _____ Mr _____

Company Secretary/ Authorized Official, who have signed these presents and subscribed their signatures hereto in token thereof)

BEFORE

ME:

Signature
(NOTARY PUBLIC)
Stamp (Seal)

For Achiievers Equities Ltd
Authorised Signatory



ACHIEVERS EQUITIES LTD

32/A, Diamond Harbour Road, Sakherbazar, Kolkata – 700008

Sub: Option to avail the Regular Service Demat account / Basic Service Demat Account

Dear Sir,

With reference to the circular no. CIR/MRD/DP/20/2015 dated December 11, 2015 issued by SEBI in respect of the above mentioned subject

Circular states:

As per circular DPs to convert all existing eligible Demat accounts into BSDA unless such Beneficial Owners (BOs) specifically opt to continue to avail the facility of a regular Demat account [refer Annexure-A]. The DPs shall assess the eligibility of the BOs at the end of the current billing cycle and convert eligible demat accounts into BSDA. (DP Circular compliance dated 12.12.2015).

Further if you wish to avail of the BSDA services then the tariff sheet attached will be applicable w.e.f 01st February 2016 or else if you wish to continue with the Regular service then the regular charges as was applicable will continue to be applicable. Please indicate your wish as mentioned below:-

I wish to:-

☐ Continue to avail the regular service Demat Account

☐ Convert my Demat Account to BSDA.

Thanking You

Yours faithfully

SIGNATURE OF THE CLIENT

Client Name: _____

Demat Account No.: _____

FATCA/CRS Declaration Form – (Individual)

1 First/ Sole Applicant / Guardian

Name _____

Gender ☐ Male ☐ Female ☐ other

Father's Name _____

PAN _____ Customer IDIFolio NO _____

Occupation ☐ Service ☐ Busines ☐ others Specify _____

Address of tax residence would be taken as available in KRA database. In case of any change please approach KRA & mrtify the changes

Type of address given at KRA ☐ Residential or Business ☐ Residential ☐ Business ☐ Registered Office

Permissible documents are ☐ Passport ☐ Election ID Card ☐ Pan Card ☐ Govt. ID Card ☐ Driving Licence

☐ UIDAI Card ☐ NREGA Job Card ☐ Others Specify _____

Date of Birth _____ Place of Birth _____ Country of Birth _____ Nationality _____

Are you a tax resident of any counby other than India? ☐ Yes ☐ No

Ifyes, please indiclle all countries inwhich you are resident for tax purposes and the associated Tax ID Numbers below.

Country*	Tax Identification Number*	Identification Type(TIN or other, please specify)

*To also include USA, where the individual is a citizen I green card holder of The USA I "In case Tax Identification Number is not available, kindly provide its functional equivalent\$

2 SECOND APPLICANT

Name _____

Gender ☐ Male ☐ Female ☐ other

Father's Name _____

PAN _____ Customer IDIFolio NO _____

Occupation ☐ Service ☐ Busines ☐ others Specify _____

Address of tax residence would be taken as available in KRA database. In case of any change please approach KRA & mrtify the changes

Type of address given at KRA ☐ Residential or Business ☐ Residential ☐ Business ☐ Registered Office

Permissible documents are ☐ Passport ☐ Election ID Card ☐ Pan Card ☐ Govt. ID Card ☐ Driving Licence

☐ UIDAI Card ☐ NREGA Job Card ☐ Others Specify _____

Date of Birth _____ Place of Birth _____ Country of Birth _____ Nationality _____

Are you a tax resident of any counby other than India? ☐ Yes ☐ No

Ifyes, please indiclle all countries inwhich you are resident for tax purposes and the associated Tax ID Numbers below.

Country*	Tax Identification Number*	Identification Type(TIN or other, please specify)

*To also include USA, where the individual is a citizen I green card holder of The USA I "In case Tax Identification Number is not available, kindly provide its functional equivalent\$

3 THIRD APPLICANT

Name _____

Gender ☐ Male ☐ Female ☐ other

Father's Name _____

PAN _____ Customer IDIFolio NO _____

Occupation ☐ Service ☐ Busines ☐ others Specify _____

Address of tax residence would be taken as available in KRA database. In case of any change please approach KRA & mrtify the changes

Type of address given at KRA ☐ Residential or Business ☐ Residential ☐ Business ☐ Registered Office

Permissible documents are ☐ Passport ☐ Election ID Card ☐ Pan Card ☐ Govt. ID Card ☐ Driving Licence

☐ UIDAI Card ☐ NREGA Job Card ☐ Others Specify _____

Date of Birth _____ Place of Birth _____ Country of Birth _____ Nationality _____

Are you a tax: resident of any counby other than India? ☐ Yes ☐ No

If yes, please indiclle all countries inwhich you are resident for tax purposes and the associated Tax ID Numbers below.

Country*	Tax Identification Number*	Identification Type(TIN or other, please specify)

*To also include USA, where the individual is a citizen I green card holder of The USA I "In case Tax Identification Number is not available, kindly provide its functional equivalent\$

4 CERTIFICATION

I / We have understood the information requirements of this Form (read along with the FATCA & CRS Instruction) and hereby confirm that the information provide by me/us on this Form is true, correct, and complete. I / We also confirm that I / We have read and understood the FATCA & CRS Terms and Conditions below and hereby accept the same.

First/ Sole Applicant I Guardian

SECOND APPLICANT

THIRD APPLICANT

POWER OF ATTORNEY HOLDER

Place _____

Date _____

ACKNOWLEDGEMENT FOR THE RECEIPT OF PRE-ACTIVATION

From,

Date _____

To
ACHIEVERS EQUITIES LTD
32/A, Diamond Harbour Road, Sakherbazar, Kolkata – 700008

Dear Sir / Madam,
Ref.: Acknowledgement for the receipt of documents
This is to acknowledge the receipt of a copy of the following documents viz.
1. Instructions/check list for filling KYC form
2. Rights and obligations
3. Risk disclosure document (RDD)
4. Guidance note
5. Anti-money laundering (AMI)
6. Rights and obligations (DP)

Thanking You,
Yours Faithfully,

SOLE / 1ST HOLDER SIGNATURE

2ND HOLDER SIGNATURE

3RD HOLDER SIGNATURE

From,

To,
ACHIEVERS EQUITIES LTD
32/A , Diamond Harbour Road, Sakherbazar, Kolkata – 700008.

Dear Sir/ Madam,

Ref.: Acknowledgement for the receipt of documents

This is to acknowledge the receipt of a copy of the following documents viz.,

1. A Copy of KNOW YOUR CLIENT (KYC) application form (Separately)
2. Account Opening Form-Part II
3. Policies & Procedures
4. Tariff Sheet
5. A copy of others documents in Voluntary Section executed by me as an Client
6. CDSL- Demat Account Opening Form
7. CDSL- Power of Attorney& Schedule of charges for Depository Services.

Thanking You

Yours Faithfully,

SOLE / 1ST HOLDER SIGNATURE

2ND HOLDER SIGNATURE

3RD HOLDER SIGNATURE

VERNACULAR DECLARATION

I, Mr. /Mrs. /Ms _____ (interpreter) have explained the terms & Conditions
of this account opening form in _____ (Language) to following the Applicant(s): (Language) to following theApplicant(s):

1. _____ (Name)
2. _____ (Name)
3. _____ (Name)

Each of whom have confirmed to me that he/she fully understands the terms & conditions along with other charges of this account Opening & ongoing operations and has/have duly put his/her signature(s) to this declaration.

Name: _____

Signature: _____

Name: _____

Signature: _____

Name: _____

Signature: _____

Interpreter: _____

Signature: _____

Witness (1) on behalf of Applicant(s) _____

Signature: _____

Witness (2) _____

Signature: _____

Dated: _____

Place: _____

Seal and Employee

AN ISO 9001:2008 CERTIFIED COMPANY

CIN: U65990WB2009PLC138910

PAYMENT DETAILS

PAYMENT DETAILS (Account opening Amount)

Amount: _____ Cheque No.: _____ Date : _____ Drawn on : _____

PAYMENT DETAILS (Balance Amount)

Amount: _____ Cheque No.: _____ Date: _____ Drawn on : _____

FOR OFFICE USE ONLY

FORM CHECKED BY

Name: _____ Signature: _____ Date: _____

AUTHORISED & APPROVED BY

Name: _____ Signature: _____ Date: _____

BACK OFFICE CODE FED BY

Name: _____ Signature: _____ Date: _____

BACK OFFICE CODE CHECKED BY

Name: _____ Signature: _____ Date: _____

Dealer Name _____

Dealer Code _____

Follow Us On



For Feedback and Queries Raise a Ticket To
support@achiieversecuritiesltd.com

ACHIIEVERS EQUITIES LTD

32/A, Diamond Harbour Road, Shakherbazar, Kolkata - 700 008 T 033
2445 6442 | CC 033 6606 3000 | F 033 6606 3041 E
info@achiieversecuritiesltd.com | W www.achiieversecuritiesltd.com

Terms & Conditions:

1. This Offer is from Achiivers Equities Ltd ("AEL") only for New Account to be opened and is applicable to the trading accounts with AEL
2. Migration is not allowed for Existing Clients
3. Demat Annual maintenance charge (AMC) of Rs.400/- plus GST will be charged separately after opening the Demat Account.
4. Call n Trade Charge of Rs.20/- plus GST will be applicable.
5. This Offer is applicable to Resident Indians only.
6. All statutory / regulatory charges shall be levied like Securities Transaction Tax, SEBI Turnover Fees, Exchange Transaction Charges, Stamp Duty and GST over and above the brokerage.
7. AEL reserves the right at any time, to add/alter/modify/change or vary all or some of these terms & conditions or to replace wholly, or in part, the offer (s) provided with the Account from time to time by another offer(s), whether similar to the modified/deleted offer or not, or to withdraw the said offer(s) altogether.
8. The above offer plan is subject to regulatory norms in force from time to time and may be discontinued under any regulatory directions or any reason without giving prior intimation to the client.
9. For any disputes pertaining to the Offer, the decision of AEL will be final and binding.
10. If trade is executed without renewing the brokerage plan then default brokerage @ 0.50 / 0.05 / Rs 100 per lot in options each leg will be charged on xecuted trade.

Compliance Details:

Achiivers Equities Limited, SEBI Reg. No.- NSE (Cash) : INB231395832 ; NSE (F&O) : INF231395832 ; NSE (Currency) : INE231395832 ; BSE (Cash) :INB011395838; BSE (F&O) : INF011395838 .

HO - 32/A, Diamond Harbour Road, Sakherbazar, Kolkata 700008, Tel: 033 6606 3000 Fax: 6606-3041.

Compliance Officer: Mr. Pankaj Kumar Das |e-mail ID: compliance@achiiversequitiesltd.com Tel: 03366063028

I

have read & agreed with all terms & conditions mentioned above.

Date

Client Signature